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Clay Swelling in Dry Supercritical Carbon Dioxide: Effects of Interlayer Cations on the Structure, Dynamics, and Energetics of CO₂ Intercalation Probed by XRD, NMR and GCMD Simulations

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Abstract

In situ XRD and NMR experiments combined with molecular dynamics simulations using the grand canonical ensemble (GCMD) show that cation size, charge and solvation energy play critical roles in determining the interlayer expansion of smectite clay minerals when exposed to dry supercritical CO₂ under conditions relevant to the earth's upper crust, petroleum reservoirs, and geological CO₂ sequestration conditions (323 K and 90 bar). The GCMD results show that the smectite mineral, hectorite, containing interlayer alkali and alkaline earth cations with relatively small ionic radii and high solvation energies (e.g., Li⁺, Na⁺, Mg²⁺, and Ca²⁺) does not intercalate dry CO₂ and that the fully collapsed interlayer structure is the energetically most stable configuration. With increasing cation size and decreasing cation solvation energy, the energy barrier to CO₂ intercalation decreases. With K⁺, Rb⁺, Cs⁺, Sr²⁺, and Ba²⁺ the monolayer structure is the stable configuration, and CO₂ should spontaneously enter the interlayer. With Cs⁺ there is not even an energy barrier for CO₂ intercalation, in agreement with the experimental XRD and NMR results that show clay layer expansion and CO₂ incorporation. The number of intercalated CO₂ molecules decreases with increasing size of the alkali cation but does not vary with ion size for the alkaline earth cations. ¹³C NMR spectroscopy and the GCMD simulations show that the average orientation of the intercalated CO₂ molecules is with their O-C-O axes parallel to the basal clay surface and that they undergo a combination of rapid rotation about an axis perpendicular to the main molecular axis and wobbling motion with respect to the basal surface. Incorporation of CO₂ in the interlayer decreases the coordination of Cs⁺ by the oxygen atoms of the basal surfaces, which is compensated by CO₂ molecules entering their solvation shell, as predicted based on previously published NMR results. The GCMD simulations show that the strength of the interaction between the exchangeable cation and the clay structure dominates the intercalation energetics in dry scCO₂. With relatively small cations, the cation-clay interactions outcompete cation solvation by CO₂ molecules. The computed residence times for coordination among of interlayer species are consistent with the computed energetics.

Introduction

Injection of CO₂ into deep geological formations and depleted oil and gas reservoirs is an effective method for enhancing oil recovery and a potential pathway for reducing anthropogenic CO₂ in the atmosphere. Target geological storage sites are primarily composed of porous rock formations in which the CO₂ is confined by overlying caprocks that act as low permeability barriers to upward fluid flow.¹⁻⁸ Long-term confinement of CO₂ depends strongly on its interactions with these caprocks, which are typically shales containing significant amounts of clay minerals. Intercalation and nano-confinement of fluids in the 2-dimensional interlayer galleries of expandable clays (principally smectites and smectite layers in mixed layer illite-smectites) may affect the properties and porosity of the caprocks by expanding or shrinking the interlayer pores (tracked via the basal spacings)⁹⁻²⁴ and can lead to substantial changes in the structural and dynamical behavior of the intercalated fluid molecules with respect to the bulk fluid phase. Numerous studies²⁵⁻³⁹ provide critical molecular-scale information about the role of the clay composition, location of its structural charge, and the properties of the charge compensating cation in determining the structure, dynamics, energetics and reactivity of H₂O in the interlayer galleries and inter-particle pore space.

Recent experimental and molecular simulation studies at conditions relevant to subsurface injection of supercritical CO₂^{9-23,40-48} have shown that the intercalation and retention of CO₂ in smectite interlayers is possible but depends strongly on the amount and thermodynamic properties of water in the system. For example, Giesting et al.^{11,12} and Schaefer et al.^{17,19} have shown that the H₂O saturation state (relative humidity, R.H.) of supercritical CO₂ (scCO₂) controls CO₂ intercalation in Na-, Mg- and Ca-montmorillonite at 323 K and 90 bar. The results show that no CO₂ enters the dry and collapsed interlayers of these smectites and that CO₂ intercalation only occurs in the presence of at least a sub-monolayer of H₂O. This humidity-dependent intercalation was further validated by Loring et al.,²⁰⁻²² who also showed

that the maximum CO₂ intercalation at 90 bar and 323 K occurs when the interlayer H₂O content is equivalent to that of a monolayer hydrate structure. *In situ* experimental NMR, IR, and XRD of the similar smectite mineral, hectorite, at 90 bar CO₂ and 323 K by Bowers et al.⁹ showed that the properties of the charge balancing cation can greatly change the CO₂ intercalation behavior. These results show that for the cations with relatively high hydration energies and small ionic radii (Na⁺, Ca²⁺), CO₂ intercalation requires the presence of H₂O molecules to prop open the interlayers. In contrast, Cs⁺ with a larger ionic radius and smaller hydration energy allows Cs-hectorite to intercalate CO₂ readily even in the complete absence of water (R.H. of the scCO₂ = 0). With all three cations, increasing H₂O saturation of the scCO₂-rich fluid phase causes a decreasing interlayer molar CO₂/(CO₂+H₂O) ratio, with Cs-hectorite maintaining a higher ratio at all conditions. Computational molecular simulations of nano-confined CO₂-H₂O mixtures in smectites in equilibrium with water-saturated CO₂ at 348 K and 125 bar using the grand canonical Monte Carlo (GCMC) ensemble by Botan et al.⁴⁰ have suggested that the stable state of Na-montmorillonite exposed to supercritical CO₂ is the bilayer hydrate (basal spacing ~15.0 Å) but that maximum CO₂ intercalation occurs at the monolayer hydrate state. Recently GCMC simulations by Kadoura et al.⁴¹ show that for montmorillonite at high R.H.s (≥ 60%) at 323 K and 90 bar there is no significant difference in the interlayer CO₂ content with cations having different hydration energies (Na⁺, Ca²⁺, Mg²⁺). Simulation studies using GCMC and Gibbs ensemble Monte Carlo (GEMC) have indicated that for Na-smectite under similar conditions CO₂ intercalation can vary depending on the clay layer charge and its structural location.⁴²⁻⁴⁵ Recently, we reported grand canonical molecular dynamics (GCMD) simulations that show that varying temperature (323–368 K) and pressure (90–150 bar) have little impact on the structural environments and dynamics of intercalated CO₂ molecules in Na-hectorite in equilibrium with H₂O-saturated scCO₂.⁴⁸

Intercalation of dry CO₂ in smectite interlayers has been less extensively studied but is important as a limiting energetic and structural case and may have significant application to situations when dry CO₂ is injected into the subsurface. Mineralogical studies using X-ray computed tomography before and after CO₂ injection have suggested that scCO₂ can laterally displace the brine in that occupies the pore volumes of the host sedimentary rocks causing either anhydrous or nearly anhydrous conditions, especially during the early stages of injection.^{1,6,49,50} Under these circumstances, the dry CO₂ could potentially enter and dehydrate the smectite interlayers, cause swelling or shrinking of the clay, and thus generate mechanical stresses and alter the overall pore structure of shale caprocks. It could also suppress hydration reactions involving the mineral phases due to a lack of residual H₂O in the pore fluid. Our previous experimental studies have shown that collapsed Na-montmorillonite does not intercalate CO₂ or expand when exposed to dry CO₂ under reservoir conditions (323 K and 90 bar), whereas Cs- and NH₄-montmorillonite do.⁵¹ Parallel GCMD calculations are consistent with these results, showing a large energy barrier for CO₂ intercalation in Na-montmorillonite but no such barrier Cs- and NH₄-montmorillonites.⁵¹ These results suggest that the exchangeable cation has a significant effect on the intercalation behavior under dry CO₂ conditions, although the energetic origin of these effects and the associated structural and dynamical behavior have not been explored in detail.

In the present work, we combine *in situ* experimental nuclear magnetic resonance spectroscopy (NMR) and X-ray diffraction (XRD) studies at a CO₂ pressure of 90 bar and a temperature of 323K with GCMD calculations to investigate the structure, dynamics and energetics of the intercalation of dry CO₂ in the smectite mineral, hectorite, containing monovalent and divalent cations spanning a range of hydration and solvation properties. We used hectorite as our host material for the experiments and modeling studies, because it has a very low Fe content that improves NMR spectral resolution by minimizing paramagnetic

effects affecting most natural montmorillonites. Like montmorillonite, hectorite develops most of its permanent structural charge by cation substitution in the octahedral layer, and it has been used for many NMR studies to examine smectite behavior.^{9,24,26,28,29,31,52}

Experimental Methods

In Situ XRD

Na- and Cs-exchanged hectorite samples were characterized by XRD at 323 K first under vacuum (10^{-3} Torr) and then during exposure to dry CO₂ at a pressure of 90 bar using high pressure and temperature X-ray diffraction techniques previously described.^{9,17,19,51} The Cs-hectorite was also reexamined under vacuum conditions at 323K after the CO₂ was removed. The base hectorite was SHCa-1, which is available at the Clay Source Repository of the Clay Minerals Society.

Hectorite is a 2:1 trioctahedral smectite clay mineral that develops negative structural charge due to Li⁺ for Mg²⁺ substitution in the octahedral layer. The structural formula of this sample, that was processed to remove carbonate and quartz impurities, is $[M_{0.36}(Mg_{2.65}Li_{0.35})(Si_{3.99}Al_{0.01})O_{10}(F_{1.1}OH_{0.9})]$.^{28,29} For these experiments, measured aliquots of dilute clay suspensions in water were pipetted onto a beryllium post, with each sample occupying a circular area with an approximate diameter of 2-3 mm. Each clay sample was allowed to air dry to produce a thin preferentially oriented film. The beryllium post was then placed on an XYZ stage and the samples were aligned with the aid of a laser system, allowing exposure of only one clay film at a time to the XRD beam. Once the sample coordinates were established, the pressurized reactor was assembled, mounted into the instrument, and heated to 323 K. Subsequent exposure to vacuum for 30 minutes removed most of the water from the clay samples. Pressurization with CO₂ was accomplished through an ISCO pump connected directly to the reactor.

XRD patterns were collected using a Bruker D8 Discover instrument with a rotating Cu anode (CuK α = 1.5418 Å), a programmable XYZ customized stage, and a Vantec 500 detector set at a sample-to-detector distance of 15 cm. This instrument, operated at 50 kV and 24 mA, is capable of producing an intensely focused 0.5 mm beam. XRD patterns for each sample were acquired for 300 seconds, integrated over a 2θ range of 4-45°, and subsequently analyzed with the MDI JADE® XRD software package to obtain peak positions and peak profiles.

In Situ MAS NMR

In situ ^{13}C MAS NMR spectra were obtained at 323 K and 90 bars P_{CO_2} for the same Na- and Cs-exchanged hectorite samples examined by XRD using the high pressure apparatus that has been described previously.^{9,24} For these experiments, clay filled rotors were dried overnight in a vacuum oven at 323K and 10^{-3} Torr. The rotors were sealed after backfilling the vacuum oven with dry N_2 gas. Hectorite samples prepared in a similar way and analyzed by IR spectroscopy show no detectable H_2O content with Cs^+ and ≤ 0.5 H_2O per cation with Na^+ . 99% isotopically enriched $^{13}\text{CO}_2$ was then introduced into the rotor using a one-way flow system.^{53,54} The spectra were collected using a 7.0 T Varian DDR 2 console with a 5 mm HXY probe at a spin frequency of 3 kHz using a $\pi/2$ pulse width of 5 μs , a spectral width of 50 kHz, and a 30 s inter-pulse delay to obtain 3000 transients. The ^{13}C spectra were referenced to tetramethylsilane (TMS) through a secondary standard of adamantane using the high-frequency peak. All NMR data were processed using iNMR, a commercial program by MestReC, and all NMR results were iteratively fit using Abscissa, a freeware app written by Rudiger Bruhl. For ^{13}C , the first two data points were removed due to acoustic ringing before zero filling to 32k points and applying 20 Hz of exponential apodization. In almost all cases a standard baseline correction was performed to flatten the baseline. All peak fits were performed by iteratively fitting the data set to Lorentzian lineshapes.

Simulation Methods

The model hectorite used in our simulations has a structural formula of $M^+(Mg_5Li)Si_8O_{20}(OH)_4$. This model has no tetrahedral substitutions, in accordance with the experimental sample, which contains a negligible fraction (0.25%) of tetrahedral Al^{3+} for Si^{4+} substitution.^{9,26,28,29,31} The simulation model, however, differs from the experimental sample in two important respects. First, the model has a 30% higher layer charge. Second, the OH^- site in the model contains only OH^- groups, whereas the experimental sample has 55% F^- for OH^- substitution. The hydration behavior of synthetic fluoro-hectorite has been discussed previously.^{27,55,56} Previous simulation studies employing this model have shown good agreement with experimental data justifying its use here.^{30,33,35,47,48}

The simulation supercell consists of 16 crystallographic unit cells of hectorite ($4 \times 2 \times 2$) encompassing two interlayer galleries with surface areas of $360.23 \text{ \AA}^2$. This supercell size is sufficient to eliminate any finite size effects. Isomorphic substitutions of Li^+/Mg^{2+} in the supercell were performed in a quasi-disordered pattern following Lowenstein's rule to prevent Li^+-O-Li^+ next nearest neighbor arrangements.⁵⁷ The structural details of the model are described elsewhere.^{30,33,35,48} Overall charge balance for the model is obtained by cation substitution in the interlayer galleries. Nine different compositions were simulated, each with the interlayer occupied by a single type of alkali or alkaline earth cation (Li^+ , Na^+ , K^+ , Rb^+ , Cs^+ , Mg^{2+} , Ca^{2+} , Sr^{2+} , or Ba^{2+}).

Molecular dynamics simulations were performed in the grand canonical ensemble using the RASPA software package.^{58,59} Basal spacings were varied from $9.0 \text{ \AA}$ to $18.0 \text{ \AA}$ at steps of $0.2 \text{ \AA}$ and were constrained at the initial value throughout each simulation run. All of the charge compensating cations were initially placed randomly in the interlayer region and were allowed to move during the GCMD runs. Importantly, during the simulations we permit lateral movements of the T-O-T layers parallel to each other (in the x and y directions) without

disrupting the structure. These translational movements are essential, because the minimum energy interlayer structure depends on the relative positions of the adjacent T-O-T layers and varies with the type of the interlayer cation and the number of intercalated fluid molecules, as reported in previous studies.^{40,48,51,60}

All the GCMD simulations were performed as described in our previous study of H₂O and CO₂ exchange in Na-hectorite.⁴⁸ The GCMD approach is a hybrid technique which incorporates both Monte Carlo and molecular dynamics procedures by sampling the insertion and deletion of fluid molecules with equal probability at every MD time step. Therefore, temperature, volume and the chemical potential (μ) of the fluid molecules are fixed in our simulations, but the number of fluid molecules absorbed in the interlayer can fluctuate. The interatomic interactions for the T-O-T clay structure and the metal cations were described using the ClayFF force field,⁶¹ which is widely used in clay interfacial simulations. The CO₂ molecules were represented using the rigid EPM2 model.⁶² Three-dimensional periodic boundary conditions were employed with a cutoff of 9.0 Å for short range non-electrostatic interactions, and the long-range electrostatic interactions were computed using Ewald summation⁶³ with an accuracy of 10⁻⁶. Fugacities are required to determine the acceptance of insertion and deletion moves for the fluid species (here CO₂) and were calculated using the Peng-Robinson equation of state.⁶⁴

All simulations were performed at $T=323$ K and $P=90$ bar (the experimental conditions described above) for 15 ns to reach equilibrium followed by another 10 ns of data production with a time step of 1 fs. The reported adsorption profile and related energetics, interlayer structure and dynamics were calculated using the data from the last 5 ns of each equilibrium simulation run. The methods of analysis can be found in our previous papers.^{33,35,48,65} The computed energetics of each system is evaluated using the immersion energies, which were computed by considering the largest basal spacings (18.0 Å) as the reference state because its

value is the closest to that of bulk CO₂ than any other basal spacing. The use of immersion energies to investigate the equilibrium states of smectites have been discussed previously mostly for water adsorption^{33,35,37,48,51,65} and is extended here to CO₂.

Results and Discussion

XRD

The *in situ* XRD results (Figure 1) show that the basal spacing (d_{001} basal reflection) of Cs-hectorite increases reversibly by about 1 Å on exposure to dry CO₂, but that the basal spacing of Na-hectorite does not change. We interpret these results to indicate that under our experimental conditions, CO₂ readily enters the interlayer galleries of Cs-hectorite but not Na-hectorite. The specific basal spacings of the Cs-hectorite before and after exposure to dry CO₂ (11.06 Å and 12.05 Å, respectively) are the same as previously reported.⁹ They are also consistent with values reported in the literature for similar samples and conditions.^{33,37,51,57,65,66} After a few minutes of exposure to vacuum conditions following equilibration with dry scCO₂, the basal spacing of Cs-hectorite returns to its original value, suggesting that there is not a significant quantity of recalcitrant CO₂ in the interlayers.⁹ For Na-hectorite, previous *in situ* IR data for this sample⁹ show nearly complete removal of interlayer water and a lack of expansion when exposed to dry scCO₂. Fully dehydrated Na-SHCa-1 sample has a basal spacing of 9.7 Å,²⁶ and the value of 9.81 Å observed here indicates removal of all or most of the interlayer water. In addition, XRD did not detect formation of a carbonate phase in either the Cs- or Na-hectorite.

¹³C MAS NMR

As for the XRD results, the ¹³C MAS NMR results indicate sorption of CO₂ in the interlayers of Cs-hectorite but not Na-hectorite. As discussed by Bowers, et al.,⁹ the spectrum of CO₂ in an empty rotor and with Na-SHCa-1 are very similar (Figure 2). Both contain a center band at ~124 ppm with a full width at half height (FWHH) of ~0.11 ppm that represents CO₂ molecules that are undergoing rapid, isotropic tumbling in the supercritical fluid phase and that

experience little interaction with the clay surface.⁹ Both these samples also show a pair of small spinning sidebands (SSBs). For the *sc*CO₂ without clay present, these SSBs must be associated with CO₂ either adsorbed on the rotor wall or being compressed and densified against the wall due to the centrifugal forces caused by the rotor rotation. For the Na-SHCa-1 sample, the SSBs are likely to arise from similar effects, possibly including weak adsorption on or compressed against the exterior surfaces of the clay particles.⁹ Note that the spectrum for the Na-SHCa-1 sample in Figure 2 is greatly expanded vertically to show the SSBs. In contrast, for the Cs-hectorite, the center band is much broader, with a FWHH of 0.67 ppm, and there is a broader, asymmetric spinning sideband manifold. The sideband manifold for this sample is very similar to that reported previously for Cs-hectorite dried over P₂O₅ or equilibrated at 43% R.H. before exposure to dry *sc*CO₂.⁹ It indicates a residual chemical shift anisotropy (CSA) associated with adsorption of CO₂ molecules in an environment where they undergo anisotropic rotation. We previously assigned this pattern to adsorbed CO₂ molecules in a monolayer hydrate-type (~12.5 Å) interlayer arrangement with their long (O=C=O) molecular axes having a time-averaged orientation parallel to the basal clay surface and experiencing rapid (>10⁵ Hz) reorientation about an axis normal to the clay basal surface.⁹

The ¹³C MAS NMR spectra for Cs-hectorite here are also similar to those published previously for Cs-exchanged Wyoming montmorillonite (Cs-SWy-2) with dry CO₂ at 90 bar and 323 K.⁵¹ Most importantly, both show similar SSB patterns indicating CO₂ intercalation into the interlayer galleries where confinement induces restricted molecular reorientation. For the Cs-hectorite, however, the sidebands have on average a FWHH of ~2.92 ppm, compared to ~1.37 ppm for the Cs-montmorillonite. The FWHH of the center band for the Cs-hectorite is also about twice that of the center band of the Cs-montmorillonite. This difference is surprising, because SWy-2 contains substantially more structural Fe than SHCa-1,^{9,29,31,51} and we expected that its ¹³C NMR spectrum would show significant paramagnetic broadening as a

result. Thus, it appears that in Cs-montmorillonite the motion of the CO₂ molecules is effectively decoupling the ¹³C and electron spin systems. The origin of the differences in peak widths for the Cs-hectorite and montmorillonite are uncertain at this time, but may be due to dynamical disorder, small differences in the interlayer *z* dimension, or differences in the NMR powder averaging behavior related to the mean particle size, crystallite size, and packing density/geometry in the rotors. The spectrum for Cs-hectorite was obtained with a 5 mm rotor, whereas that for the Cs-montmorillonite was obtained with a 7.5 mm rotor, and the Cs-hectorite samples are ground and sieved to provide a controlled particle size in the rotors. In general, the *sc*CO₂ fluid pockets in the center of the rotor cavity are larger with 7.5 mm than with 5 mm rotors, which may influence the exchange of CO₂ between interlayer and bulk fluid environments.

Computational Results

Adsorption Profile and Energetics

The computational modeling results show that the properties of the exchangeable cations have significant effects on the structural and energetic behavior of smectite-CO₂ systems and are in good agreement with the experimental results discussed above. For all the simulated systems, CO₂ intercalation begins at a basal spacing of ~11.0 Å except with Mg²⁺, for which it begins at ~10.7 Å. The amount of intercalated CO₂ then increases stepwise with increasing basal spacing (Figures 3a and 3b). Except with Cs⁺, the basal spacing at which intercalation begins is greater than the basal spacings of the fully collapsed structures.

In parallel, the computed immersion energies show an energy barrier to CO₂ intercalation that decreases with increasing cation size for both the alkali and alkaline earth series (Figures 3c and 3d). This energy barrier is the difference between the maximum energy of the system (typically near 10.5 – 11.0 Å) and the value for the fully collapsed structure with the same cation. With Cs⁺, there is no energy barrier, and the immersion energy decreases

continuously from that of the collapsed structure to the first energy minimum near 12.5 Å. With K^+ , Rb^+ , Sr^{2+} , and Ba^{2+} , the barrier is quite small, but it is much larger with Li^+ , Na^+ , Mg^{2+} and Ca^{2+} . With Li^+ , Na^+ , Mg^{2+} and Ca^{2+} , the global minimum energy structure is the collapsed state, whereas it is the monolayer structure near 12.5 Å with K^+ , Rb^+ , Cs^+ , Sr^{2+} and Ba^{2+} .

We interpret these results to show that CO_2 should spontaneously enter the interlayer galleries of fully dehydrated and collapsed K^+ , Rb^+ , Cs^+ , Sr^{2+} , and Ba^{2+} hectorite, but not Li^+ , Na^+ , Mg^{2+} and Ca^{2+} hectorite. This conclusion is in full agreement with the experimental XRD results above that show CO_2 intercalation in Cs-hectorite but not Na-hectorite. Note that the computed immersion energies here are not free energies and do not include entropic effects. This could make a difference in interpreting relatively small immersion energy differences between the monolayer and bilayer structures of the K^+ , Rb^+ , Cs^+ , Sr^{2+} , and Ba^{2+} systems, but are unlikely to be significant for interpreting the results for the Li^+ , Na^+ , Mg^{2+} and Ca^{2+} systems. The computed intercalation energies are consistent with the results of comparable GCMD calculations for Na-, NH_4 -, and Cs-montmorillonite, which show a large barrier to CO_2 intercalation with Na^+ but essentially no barrier with NH_4^+ and Cs^+ .⁵¹ This conclusion is also consistent with the free energy calculations by Makaremi et al.⁴³, which show a large energy barrier for CO_2 intercalation into Na-montmorillonite.

The relationship between the basal spacings and computed energies that highlight the need to prop open the interlayers of smectites that contain relatively small charge-balancing cations to allow CO_2 intercalation, as observed in previous experimental and simulation studies.^{9-14,16-23,40-45,48} These studies have indicated that the small cations with high hydration energies, the interlayers needed to be propped open by some H_2O molecules to incorporate CO_2 , and the maximum CO_2 intercalation (maximum CO_2/CO_2+H_2O ratio) occurs near the monolayer hydrate interlayer structure.^{9-14,40-45,48} To our knowledge, there have been no studies of CO_2 intercalation in pillared clays, which are propped open by interlayer precipitates.

For all the simulated systems here, the increase in interlayer CO₂ content with increasing basal spacing shows 2 broad plateaus between 12.4-13.5 Å and 15.5-16.3 Å (Figures 3a and 3b), corresponding to monolayer and bilayer CO₂ structures, respectively. In parallel, the immersion energies have broad minima at the same basal spacing ranges (Figures 3c and 3d). Basal spacings associated with monolayer CO₂ adsorption were previously reported for Na-fluorohectorite exposed to gaseous CO₂ at much lower temperature and pressure conditions (253 K and 15 bar) using XRD.⁶⁷ For our hectorite simulations with Li⁺, Na⁺, Mg²⁺ and Ca²⁺, these monolayer and bilayer structures are metastable with respect to the collapsed structures, and for Na-hectorite interlayer adsorption of CO₂ is not experimentally accessible. We expect fully dry Li-, Mg-, and Ca-hectorites to behave in the same way. As for the Cs-hectorite XRD experiments and simulations, the computational results suggest that dry hectorite exchanged with K⁺, Rb⁺, Sr²⁺, and Ba²⁺ should intercalate CO₂ and expand. For the Cs-hectorite simulations reported here, the monolayer structure is the experimentally observed stable state, in agreement with the global energy minimum in the computed immersion energy at the monolayer structure compared to the bilayer structure. This is also the case with Rb⁺, K⁺, Sr²⁺ and Ba²⁺. However, with divalent cations, the immersion energy differences between the monolayer and bilayer structures are small.

For our systems with alkali cations, the computed interlayer CO₂ content decreases as the size of the cation increases from Li⁺ to Cs⁺. For the monolayer structures this decrease is from 2.7 to 1.8 CO₂ per unit cell, and for the bilayer structures, it is from 5.0 to 3.8 molecules per unit cell. In contrast, with the alkaline earth cations cation size has no effect on the amount of intercalated CO₂, with all systems showing ~2.6 and ~5.3 CO₂ per unit cell at the monolayer and bilayer spacings, respectively. The decreasing interlayer CO₂ content with increasing ionic radii with the alkali metal cations correlates well with experimental and simulation studies of montmorillonite exposed to dry CO₂ under identical thermodynamic conditions.⁵¹ In contrast,

recent experimental study by Bowers et al.⁹ have reported that the interlayer CO₂ content of Cs-hectorite exposed to scCO₂ with increasing water content is greater than that of Na-hectorite. This difference is probably due to the larger hydration energy of Na⁺ causing more interlayer H₂O adsorption leaving less open interlayer space for CO₂ intercalation in the studies by Bowers et al.⁹ However, under dry scCO₂ exposure, the smaller the size of the cation, the larger the interlayer space available for CO₂ intercalation thus leading to higher CO₂ content for Na- than Cs-hectorite.

In the current study, at any given basal spacing, the number of intercalated CO₂ molecules per cation is always greater with the alkaline earth cations than with the alkali cations. As discussed in our previous experimental and simulation studies,^{9,19} this difference can be attributed to the smaller number of divalent cations required to compensate the hectorite layer charge, thereby increasing the interlayer space accessible for CO₂ compared to smectites with monovalent cations. With alkali cations the plateau regions also become increasingly flat with increase in ionic size, whereas the plateau shapes are similar for all the alkaline earth cations. This is likely because the change in ion size is substantially greater for the alkali cation series (Li⁺ vs Cs⁺) than the alkaline earth cation series (Mg²⁺ vs Ba²⁺). The absence of substantial differences with the different alkaline earth cations despite the ~160 kJ/mol difference in the CO₂ solvation energies between Mg²⁺ and Ba²⁺ supports the geometrical origin of this effect.

The energetic contributions from the cation-clay layer, cation-CO₂, and CO₂-clay layer interactions provide deeper insight into the origin of the dependence of the CO₂ intercalation on the properties of the cation and demonstrate that the magnitude of the interaction between the cation and the clay T-O-T layers dominates this behavior (Figure 4). For both the alkali and alkaline earth cation series, the magnitude of the cation-clay layer interaction for the fully collapsed structures decreases (becomes less negative) with increasing cation size (Figures 4a

and 4b). The energies at the collapsed basal spacings are the relevant comparators, since for each system expansion starts from this value. With the alkali cations, this energy varies from -3675.3 kJ/mol with Li^+ to -3400.1 kJ/mol with Cs^+ , and with the alkaline earth cations it varies -3764.9 kJ/mol with Mg^{2+} to -3487.9 kJ/mol with Ba^{2+} . Importantly, the difference in the clay-cation interaction energies between the collapsed structures and the basal spacings at which intercalation begins ($\sim 11.0\text{\AA}$) is large for cations with high hydration energies and decreases with increasing cation size. Hence, there is a cost of $>\sim 150$ kJ/mol in the clay-cation interaction energies with Li^+ , Na^+ , Mg^{2+} and Ca^{2+} for any CO_2 to intercalate, in contrast to $<\sim 60$ kJ/mol with the other cations. At monolayer spacings the clay-cation interaction energies are very similar for both the alkali and alkaline earth cations, and the differences at larger basal spacings are very small. Despite a need for only half the number of cations to compensate the layer charge with the alkaline earth cations vs. the alkali cations, the magnitude of the interaction energies for both types of cations with the clay surface are very similar. This similarity indicates that the divalent cations have a greater interaction energy per cation with the clay structure than the monovalent cations, as expected from the charge differences. For the cation- CO_2 interactions at a given basal spacing, the interaction energies are negative and decrease (becomes less negative) with increasing cation size in each cation series. They are larger in general for the alkaline earth series (Figures 4c and 4d). Both these trends are expected based upon recently computed cation- CO_2 solvation energies in scCO_2 fluid.^{51,68} The interaction energies of CO_2 molecules with the clay structure are slightly negative but do not vary greatly with the different alkaline and alkaline earth cations (Figures 4e and 4f). Together, these results show that the increase in the cation- CO_2 interaction energy with decreasing cation size cannot overcome the decreasing cation-clay interaction energy in the absence of H_2O .

Computed Interlayer Structure

It is normally not possible to determine the detailed arrangement of the cations and fluid molecules in smectite interlayer galleries by diffraction methods due to static and dynamic structural disorder, and computed structures provide insight into the interlayer fluid structures. For the computed systems here, the atomic density profiles (ADPs) normal to the clay basal surface show that the average positions of the different species vary somewhat with the cation. For the monolayer structures here, the ADPs of C_{CO_2} , O_{CO_2} , and the cation are all centered at the middle of the interlayer at ~ 2.8 Å to ~ 3.0 Å from both basal surfaces and become narrower with increasing cation size in each series (Figures 5a-5i). The ADPs of Li^+ , Na^+ and Mg^{2+} are significantly broader than those of the other cations, reflecting their relatively small size and ability to move perpendicular to the basal surfaces. The ADPs of the intercalated CO_2 molecules do not vary significantly with cation size, and both O_{CO_2} and C_{CO_2} share the same plane as the cations, centered at ~ 2.9 Å from the two basal surfaces. With the alkali cations, the O_{CO_2} and C_{CO_2} intensities decrease with increasing cation size due to the decreasing amount of intercalated CO_2 (Figures 5a-5e). They do not vary with the different alkaline earth cations, reflecting the independence of the amount of intercalated CO_2 on the cation for this series. This result is consistent with much of the CO_2 being located in free volume in the interlayer rather than being coordinated to the cations, as the energetic results above suggest (Figures 5f-5i).

The average orientation of the O-C-O axis of the intercalated CO_2 molecules lies parallel to the basal surfaces with all the cations (Figures 6a and 6b), as expected from the ADPs of O_{CO_2} and C_{CO_2} and in agreement with the ^{13}C NMR results above. However, the range of angles probed by the O-C-O vector is somewhat larger with the alkali cations (45° - 135°) than with the alkaline earth cations (55° - 125°), indicating slightly more dynamically restricted local structural environment with the alkaline earth cations. This difference is probably due to the larger interlayer CO_2 contents with the alkaline earth cations leading to less interlayer space. Visual analysis of the trajectories shows that the individual CO_2 molecules spend part of the

time oriented parallel to the clay layers and part of the time wobbling. The fraction of the time spent wobbling decreases with increasing cation size with the alkali cations, but does not change significantly with the alkaline earth cations (Figure 6).

As expected, the computed mean interatomic distance between the cations and CO₂ molecules increases with increasing cation size in the order Cs⁺ > Ba²⁺ > Na⁺ > Mg²⁺ (Figures 7a -7d). Similarly, cations with small ionic radii are coordinated to the basal hectorite surface at shorter interatomic M-O_b distances (<2.5 Å for Na⁺ and Mg²⁺) than Cs⁺ (~3.0 Å). The mean interatomic distances between CO₂ molecules and surface oxygen atoms (O_b), however, do not change with changing cation size. Paralleling the cation-oxygen interatomic distances, the running coordination numbers (RCN) between all the interlayer species vary considerably with the size of the cation. For the monolayer structures, the total coordination of CO₂ molecules by cations, surface O_b atoms, and other CO₂ molecules is ~15.2 for Na⁺, Cs⁺, and Mg²⁺ but is ~17.0 for Ba²⁺ ions. The RCN of cations with O_b atoms, however, increases from ~2 for Na⁺ and Mg²⁺ to ~6.5 and ~7.5 for Ba²⁺ and Cs⁺, respectively. The adsorption structure and RCN values for Na- and Cs-hectorites are in excellent agreement with previous studies on hectorites under ambient and elevated *T* and *P* conditions.^{14,17,47}

The two-dimensional density maps (also called planar atomic density distributions, or PADDs) for the monolayer structures show that the differences in the RCNs for different cations are the result of significantly different local adsorption environments (Figures 8a-8d). The Na⁺ and Mg²⁺ ions are located predominately between two O_b atoms, one on each basal surface giving rise to the O_b RCN of ~2. For Na-hectorite (Figure 8a), the sets of three neighboring site maxima represent the same Na⁺ ion (blue) that is hopping between different sites, illustrating a potential pathway for Na⁺ diffusion along these narrow channels unhindered by intercalated CO₂. Likewise, the pairs of maxima for Mg²⁺ (Figure 8c) represent the same ion, but in this case the site hopping is more hindered by the increased number of intercalated

CO₂ molecules. As a result, the arrangement of CO₂ molecules is more ordered in Mg-hectorite than in Na-hectorite, where the CO₂ molecules adsorb in narrow channels formed by Na⁺ ions and wobble between adjacent hectorite surfaces. This difference correlates well with the more restricted orientation distribution for CO₂ molecules with Mg²⁺ than with Na⁺. Nonetheless, there are on average four CO₂ molecules around both Na⁺ and Mg²⁺, consistent with the RCN values (Figures 7a and 7b). For both Na- and Mg-hectorite, the intercalated CO₂ molecules form small clusters, with their arrangement predominantly in a slipped parallel geometry. A small fraction of CO₂ molecules in these clusters are in a distorted T-shaped arrangement, particularly in Mg-hectorite because of its larger number of intercalated CO₂ molecules. A T-shaped arrangement is highly unlikely for Na-hectorite because of the narrow channels formed by the Na⁺ ions and CO₂ molecules. For both Na- and Mg-hectorite here, the intercalated CO₂ molecules are adsorbed with one O_{CO2} located near the center of a ditrigonal cavity with the other above a neighboring Si tetrahedron.

Surprisingly, the adsorption structures of CO₂ molecules in Cs- and Ba-hectorite are generally similar to that in Na- and Mg-hectorite, despite the considerably different ionic sizes and interlayer CO₂ contents. Importantly, the RCNs of intercalated CO₂ with surface O_b atoms is ~7-8 with all cations because of the similar adsorption structures described above. The RCN of CO₂ molecules with interlayer cations is ~4 for Na⁺-, Cs⁺- and Mg²⁺- and is ~5 with Ba²⁺, in agreement with the PADDs in Figures 8a-8d. Similarly, the RCN between intercalated CO₂ molecules varies significantly between the structures with alkali cations (~3.5-4) and alkaline earth cations (~5-6) and is due to higher interlayer CO₂ content in the latter case. The contour patterns for CO₂ molecules in Cs-hectorite are more disordered than in the other models due to the lower CO₂/cation ratio and subsequently larger free interlayer space available for librational/wobbling motion. The coordination environments of the Cs⁺ and Ba²⁺ ions, however, are quite different (Figures 8b and 8d). The large RCN of Cs⁺ with O_b atoms (~7.5)

is due to the fact that on average the Cs^+ ions are located above the center of a ditrigonal cavity of one surface and above a Si tetrahedron on the opposite surface. The Cs^+ ions oscillate between the centers of different ditrigonal cavities and above different Si tetrahedra the, resulting in the disordered contours in Figure 8b. In contrast, the Ba^{2+} ions are adsorbed between Si tetrahedra from both basal surfaces, leading to the average RCN value of ~ 6.0 .

The computed CO_2 orientations and locations in the PADDs are in excellent agreement with the ^{13}C NMR results for Cs-hectorite, which show a restricted environment for the CO_2 molecules in which the time averaged O-C-O vector is parallel to the basal surfaces and the molecules undergo rapid rotation or libration (frequencies $> \sim 10^5$ Hz) about an axis perpendicular to their O-C-O axes. The PADD results show that on the 10 ns time scale of the simulations the motion is best thought of as hindered libration rather than free rotation. The tight contours in the PADDs show that the positions of the O_{CO_2} are typically quite restricted, even with Cs^+ . On the $\sim$ ms time scale of probed by the ^{13}C NMR line shapes, however, this may not be true. As shown in our previous experimental study of variably humidified CO_2 /hectorite interactions,⁹ the absence of CO_2 molecules with O-C-O vectors near 0° and 180° (Figure 6) shows that they never experience isotropic reorientation because they are never oriented perpendicular to the basal surfaces.

Residence times

The dynamic interaction among the interlayer species and O_b atoms of the basal surfaces can be quantitatively characterized by the computed mean residence times, which for our systems decrease with increasing cation size for all interatomic pairs (Table 1). Overall, the mean residence times are at least twice as long with smaller cations (Li^+ , Na^+ , Mg^{2+} , Ca^{2+}) than with larger cations (K^+ , Rb^+ , Cs^+ and Ba^{2+}). This relationship holds for both the intermittent residence times, $c(t)$, which allow for the possibility that individual atomic pairs

can re-coordinate after becoming separated during the simulation, and the continuous residence times, $C(t)$, which do not allow such re-coordination.^{33,35,48} Here, the intermittent residence times are typically about an order of magnitude longer than the continuous ones, as also reported in our previous studies of hydrated interlayers and hectorite exposed to H₂O saturated scCO₂.^{33,35,48} The $c(t)$ and $C(t)$ values for cation-O_b pairs are consistently longer than those for cation-O_{CO2} and O_b-O_{CO2} coordination, indicating that interactions between cations and basal surfaces are the principal controls of the interlayer structure and dynamics. This conclusion is consistent with the energetic results above, which show that the extent of CO₂ intercalation is strongly dependent on cation-clay interactions (Figures 4a-4f). As the size of interlayer cations increases, the residence times for cation-O_b pairs decreases by an order of magnitude paralleling the expected stronger coordination of smaller cations with clay surfaces, which are dominated by electrostatic interactions. This result is in good agreement with the atomic density maps for especially the alkali cations, which show much more ordered contours for Na⁺ than for Cs⁺ (Figures 8a and 8b). This difference is less pronounced with the divalent cations, because their higher charge leads to overall stronger coordination with the surface. The cation-O_b residence times are in reasonable agreement with previous simulation studies of hectorite under ambient conditions.^{33,35} The cation-CO₂ residence times are longer than O_b-CO₂ residence times, particularly for smaller ions, consistent with their CO₂ solvation energies.^{51,68} The difference in cation-CO₂ and O_b-CO₂ residence times decreases with increasing cation size, especially with the alkali cations.

Comparison of Na- and Cs-hectorite

The effects of the exchangeable cations on the structure and energetics of CO₂ molecules intercalated in smectites and the connections to the experimental results are well illustrated by comparing the specific details of the Na- and Cs-hectorite systems. The collapsed interlayer distances for Na- (9.5 Å) and Cs-hectorite (10.7 Å) computed from our simulations

are in good agreement with the values obtained in XRD measurements under vacuum conditions (9.8 Å and Cs-11.08 Å, respectively) and also in good agreement with published computed values, which vary slightly depending on the interatomic potentials used in the simulations.^{12,14,16,17,20,69,70,71}

Upon exposure to dry scCO₂ at 323 K and 90 bar, the XRD data indicate interlayer expansion for Cs-hectorite but not for Na-hectorite. This is in excellent agreement with our simulations that show that the collapsed structure is the most energetically favorable state for Na-hectorite (Figure 3c). In contrast, there is no energy barrier for Cs-hectorite, and this system favors interlayer expansion when in contact with dry scCO₂. Our simulations also indicate that despite the stronger interaction energy between Na⁺ and CO₂ molecules (-95 kJ/mol) than between Cs⁺ and CO₂ molecules (-30 kJ/mol), CO₂ intercalates in Cs-hectorite because the stronger cation-clay interactions with Na⁺ result in a much larger energy cost for expansion from the collapsed to the monolayer structure (~400 kJ/mol) than with Cs⁺ (~190 kJ/mol). Thus, the energy difference for the cation-clay interactions between the collapsed state and the basal spacing at which CO₂ intercalation starts is ~175 kJ/mol with Na⁺ and only ~35 kJ/mol with Cs⁺ (see Figure 4a). Because of the small energy barrier, CO₂ intercalation is favourable in Cs-hectorite, and not in Na-hectorite. These results indicate that the cation-clay interactions play a critical role during CO₂ intercalation.

Conclusions

The intercalation of CO₂ in the interlayers of the smectite clay hectorite with a range of mono- and divalent cations in contact with dry scCO₂ at 323 K and 90 bar was quantitatively analyzed by GCMD simulations and *in situ* XRD and NMR experiments. The GCMD results show that clays containing small charge compensating cations, do not spontaneously incorporate CO₂ in their interlayers when exposed to dry scCO₂, but that those with larger exchangeable cations do. The thermodynamic energy barriers between the collapsed state of

the clay structure and the onset of CO₂ intercalation are large with small cations and decrease with increasing cation size. With Li⁺, Na⁺, Mg²⁺, and Ca²⁺, the fully collapsed state has the global energy minimum, but with K⁺, Rb⁺, Cs⁺, Sr²⁺, and Ba²⁺ the monolayer state has the global energy minimum, suggesting that hectorite with these cations should spontaneously intercalate CO₂ and expand but that with the smaller cations it should not. This conclusion is in good agreement with the experimental observation that Na-hectorite does not incorporate CO₂ under these conditions but that Cs-hectorite does. These results are also in good agreement with experimental and GCMD results showing that the similar smectite mineral montmorillonite incorporates dry CO₂ and expands with interlayer NH₄⁺ and Cs⁺, but not with Na⁺. A detailed quantitative analysis of different contributions to the total interaction energies in the investigated systems demonstrates that the structural and energetic characteristics of interlayer expansion with dry scCO₂ are largely controlled by the strength of the interactions between the cations and the clay T-O-T structure. This is unlike the case of H₂O intercalation, where the hydration energy of cations dominates the interlayer expansion.^{33,35}

Structurally, irrespective of the cation size, charge and CO₂ solvation energy, at monolayer basal spacings the computed average positions of the intercalated CO₂ molecules and the cations are all at the mid-plane of the interlayer. Both the ¹³C NMR spectra and GCMD simulations show that the intercalated CO₂ molecules are oriented on average with their O-C-O axes parallel to the basal hectorite surfaces. These NMR results for Cs-hectorite show restricted dynamics for the interlayer CO₂ in which they undergo rapid (frequency > ~10⁵ Hz) rotation or libration around an axis perpendicular to their long molecular axes. The GCMD calculations suggest that on the time scale of our simulations (~ 10 ns) this is principally librational hopping among reasonably well-defined sites. The GCMD results suggest that aggregation of the interlayer CO₂ molecules results in both slipped parallel and T-shaped arrangements with slipped parallel dominant. The number of intercalated CO₂ molecules is

larger for interlayers with higher charge cations due to the larger free interlayer space available for CO₂ intercalation due to the smaller number of charge compensating interlayer cations present. Since the cation-clay interactions dominate the thermodynamics of interlayer expansion with dry CO₂, the expansion behavior of smectites with different layer charges or positions of isomorphic substitution (e.g., tetrahedral Al for Si substitution vs. dominantly the octahedral substitution in hectorite and montmorillonite) could be quite different than that discussed here.

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Notes

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Cation	Cation-O _b	Cation-O _{CO2}	O _b -O _{CO2}
$c(t) : C(t)$			
Li ⁺	10.4 : 0.81	6.3 : 0.65	3.1 : 0.29
Na ⁺	7.7 : 0.54	3.8 : 0.42	2.4 : 0.21
K ⁺	2.1 : 0.31	1.9 : 0.29	1.1 : 0.13
Rb ⁺	0.9 : 0.14	1.1 : 0.09	0.9 : 0.08
Cs ⁺	0.7 : 0.08	0.8 : 0.02	0.8 : 0.05
Mg ²⁺	12.1 : 1.0	8.4 : 0.64	5.5 : 0.31
Ca ²⁺	8.8 : 0.79	6.2 : 0.48	3.8 : 0.24
Sr ²⁺	5.2 : 0.51	4.1 : 0.34	2.1 : 0.15
Ba ²⁺	3.1 : 0.35	2.5 : 0.25	1.3 : 0.12

Table 1. Calculated intermittent $c(t)$ and continuous $C(t)$ residence times (ns) for different atomic pairs for monolayer basal spacing (12.4 Å) in the interlayers of hectorite with different charge compensating cations at 323 K and 90 bar.

FIGURES

Figure 1. X-ray diffraction tracings of the Cs-SHCa-1 (left) and the Na-SHCa-1 (right) clay under vacuum (10^{-3} Torr, 323 K) and during exposure to 90 bar scCO₂ at 323 K. Note that the smaller peak intensities in the samples exposed to scCO₂ are due to signal attenuation by the dense CO₂ fluid.

Figure 2. ¹³C MAS NMR spectra Cs-SHCa-1 in scCO₂ (top), Na-SHCa-1 in scCO₂ (middle), and a rotor only filled with scCO₂, all at 90 bar pressure and 323 K. The broad peak near 110 ppm is the ¹³C background of the NMR probe, and the narrow singularity near 100 ppm reflects a DC offset in the receiver channels.

Figure 3. Average number of intercalated CO_2 molecules (per unit cell) and computed immersion energies for hectorite models with different charge compensating cations as functions of interlayer basal spacing at 323 K and 90 bar. Dotted vertical lines indicate the collapsed interlayer distances for every cations used in our study. The reference state for computing the immersion energies is the 18.0 $\text{\AA}$ basal spacing.

Figure 4. Computed variation in interaction energies between 3 different pairs (Hectorite–Cation (top), Cation–CO₂ (middle), Hectorite–CO₂ (bottom)) as functions of interlayer spacing using hectorite with different of mono- and di-valent cations at 323 K and 90 bar. Dotted vertical lines indicate the collapsed interlayer distances for every cations used in our study.

Figure 5. Computed atomic density profiles of O_b (dark blue vertical lines), interlayer mono- and divalent cations (orange), O_{CO_2} (green) and C_{CO_2} (violet) of hectorite as functions of distance from the basal clay surface at monolayer distances (12.4 $\text{\AA}$) under conditions of 323 K and 90 bar.

Figure 6. Computed orientational distributions of intercalated CO₂ molecules in the interlayers of hectorite at monolayer distances under 323 K and 90 bar conditions with different charge compensating cations. θ is the angle between the O-O vector of a CO₂ molecule and the normal to the hectorite basal surface. Top panel – monovalent cations: Bottom panel – divalent cations.

Figure 7. Radial distribution functions (RDFs, solid lines) and corresponding running coordination numbers (RCNs, dashed lines) for different atomic pairs in the interlayers of hectorite at monolayer distances under 323 K and 90 bar conditions.

Figure 8. Computed PADDs of interlayer metal cations and intercalated CO₂ molecules in hectorite interlayers at monolayer distances and 323 K and 90 bar. a) Na⁺ and CO₂ in Na-hectorite; b) Cs⁺ and CO₂ in Cs-hectorite; c) Mg²⁺ and CO₂ in Mg-hectorite; d) Ba²⁺ and CO₂ in Ba-hectorite. Color code: O_b – gray (dark and light), Si – yellow (dark and light), Cation (Na⁺, Cs⁺, Mg²⁺, Ba²⁺) – blue, O_{CO2} – green, C_{CO2} – pink, Dark colors correspond to the O_b and Si of one hectorite basal surface, and light colors correspond to those atoms of the opposite basal surface.

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