



# Searches for Lepton Flavour Violating decays at LHCb

Cedric Meaux

## ► To cite this version:

Cedric Meaux. Searches for Lepton Flavour Violating decays at LHCb. The 27th International Workshop on Weak Interactions and Neutrinos, Jun 2019, Bari, Italy. in2p3-02284627

HAL Id: in2p3-02284627

<https://hal.in2p3.fr/in2p3-02284627>

Submitted on 25 Mar 2020

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Searches for Lepton Flavour Violating decays at LHCb

Cédric Méaux<sup>1</sup>

On behalf of the LHCb collaboration

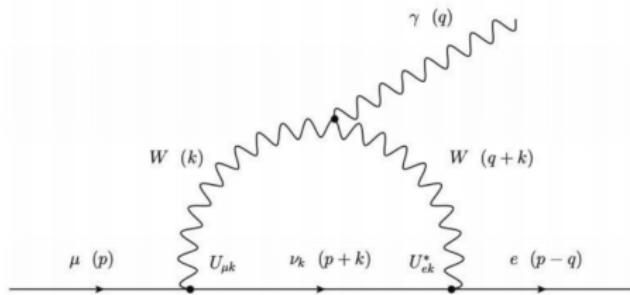
<sup>1</sup>Aix Marseille Univ, CNRS/IN2P3, CPPM, Marseille, France

WIN 2019  
2019 5<sup>th</sup> June



# Lepton Flavour Violation (LFV) in Standard Model (SM)

- LFV in **neutral sector**: neutrino oscillation (e.g.  $\nu_\mu \rightarrow \nu_\tau$ )
- **Charged** lepton flavor is conserved in SM  
not supported by strong theoretical reasons  
e.g. underlying symmetry



$$\mathcal{B}(\mu \rightarrow e\gamma) \simeq \frac{3\alpha}{32\pi} \left| \sum_{k=1,3} \frac{U_{\mu k} U_{ek}^* m_{\nu_k}^2}{M_W^2} \right|^2$$
$$\simeq 10^{-55} - 10^{-54}$$

- Any observation of charged LFV decay:  
⇒ **Indisputable sign of physics Beyond the SM (BSM)**

# Lepton Flavor Universality Violation (LFUV)

Interest in LFV decay renewed since:

**Set of coherent experimental evidences of LFUV** by LHCb/Belle/BaBar

- $b \rightarrow c$  charged currents:  $\tau$  vs light leptons ( $\mu, e$ ) [ $R_D, R_{D^*}, R_{J/\psi}$ ]
  - $b \rightarrow s$  neutral currents:  $\mu$  vs  $e$  [ $R_K, R_{K^*}, P'_5$ ]
- See talk of Julian Garcia Pardinas for more details

**LFU maybe just a low-energy property:**

The different generations may well have a different behavior at higher energies.

**What if LFU anomalies are due to BSM physics...?:**

**Many BSM models explaining LFU deviations predict large effect in LFV modes:**

SUSY, Extended Higgs, little Higgs, LQ,  $Z'$ , etc..

[JHEP09(2017)040, Phys.Rev.D 59, 034019 (1999), Phys.Rev.Lett. 114 (2015) 091801, Phys.Rev.D 92, 054013 (2015), arXiv:1211.5168v3v, Phys.Rev.D86 (2012) 054023,arXiv:1505.05164, Phys.Rev.Lett. 118 (2017), 011801, JHEP11(2017)044, Phys.Rev.D 98, 115002 (2018), JHEP10(2018)148, arXiv:1903.11517 etc...]

**LFUV  $\Rightarrow$  LFV:**

$$\frac{\mathcal{B}(B_s^0 \rightarrow \tau(e, \mu))}{\mathcal{B}(B_s^0 \rightarrow \mu\mu)_{SM}} \sim 4 \left( \frac{1 - R_K}{0.23} \right)^2, \quad \frac{\mathcal{B}(B_s^0 \rightarrow \mu^+ e^-)}{\mathcal{B}(B_s^0 \rightarrow \mu\mu)_{SM}} \sim 0.01 \left( \frac{1 - R_K}{0.23} \right)^2,$$

$$\mathcal{B}(B \rightarrow K\tau(e, \mu)) \sim 2 \cdot 10^{-8} \left( \frac{1 - R_K}{0.23} \right)^2, \text{ [Hiller, Loose and Schonwald, JHEP12(2016)027]}$$

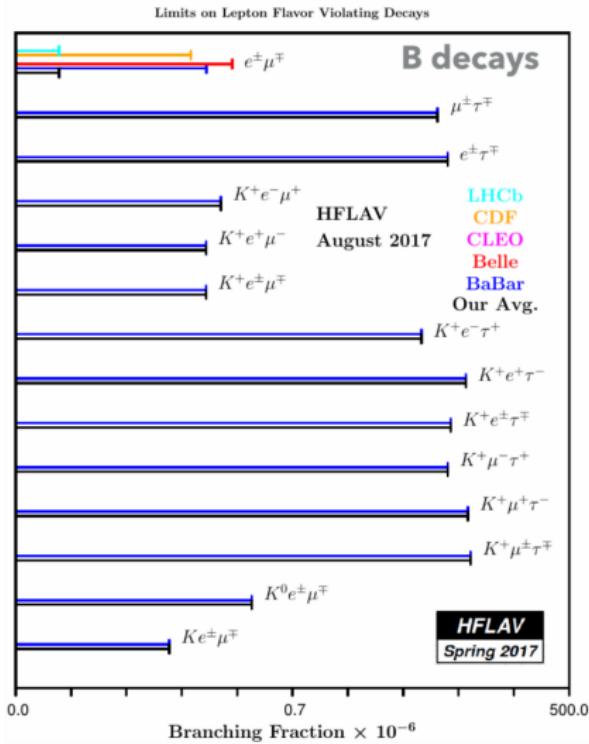
**EXCITING TIMES!!!**

# Historic of LFV searches at LHCb and other experiments

Decays	Experimental upper limit at 90% C.L.	LHCb -PAPER -Number
$B^+ \rightarrow K^+ \mu^- e^+$	$7.0 \times 10^{-9}$	2019-022*
$B^+ \rightarrow K^+ \mu^+ e^-$	$7.1 \times 10^{-9}$	2019-022*
$B_s^0 \rightarrow \tau \mu$	$3.4 \times 10^{-5}$	2019-016
$B_s^0 \rightarrow \tau \mu$	$1.2 \times 10^{-5}$	2019-016
$B_s^0 \rightarrow e \mu$	$5.4 \times 10^{-9}$	2017-031
$B^0 \rightarrow e \mu$	$1.0 \times 10^{-9}$	2017-031
H-like $\rightarrow \mu \tau$	$< 22 \text{ pb}^{**}$	2018-030
$D^0 \rightarrow e \mu$	$1.3 \times 10^{-8}$	2015-048
$\tau \rightarrow 3\mu$	$4.6 \times 10^{-8}$	2015-052
...		

\* in preparation: preliminary

\*\* Limit given on  $\sigma_H \times \mathcal{B}$  at 95% C.L., ranges from 22 pb for a Higgs-like boson mass at 45 GeV/c<sup>2</sup>, to 4 pb for 195 GeV/c<sup>2</sup>.



$$B_{(s)}^0 \rightarrow \tau\mu$$

Many BSM model explaining the anomalies predict large  $\mathcal{B}$  for  $B_{(s)}^0 \rightarrow \tau\mu$ :

- Z':  $10^{-8}$  [1] to  $10^{-5}$  [2]
- LQs:  $10^{-9}$  [3] to  $10^{-4}$  [4],  $10^{-5}$  [5]
- PS<sup>3</sup>:  $10^{-4}$  [6]

[1] Becirevic et al. [EPJ C76(2016)134]  
[2] Crivellin et al. [PRD 92 (2015) 050413]  
[3] Becirevic et al. [JHEP 11(2016)035]

[4] Bhattacharya et al. [JHEP 01(2017)15]  
[5] Smirnov [MPLA 33(2018)1550019]  
[6] Bordone et al. [JHEP10(2018)148]

### Experimental status before May 2019:

$\mathcal{B}(B^0 \rightarrow \tau\mu) < 2.2 \times 10^{-5}$  [BaBar, Phys.Rev.D77,091104(2008)]

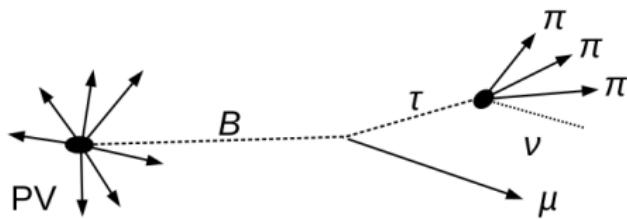
$\mathcal{B}(B_s^0 \rightarrow \tau\mu)$ : no limit yet

## Analysis strategy

- Run1 data ( $3 \text{ fb}^{-1}$ )
- Challenging reconstruction based on 3-prong  $\tau$  decays:  $\tau^- \rightarrow \pi^- \pi^+ \pi^- \nu_\tau$  ( $\mathcal{B} \sim 9\%$ )  
 $\tau^- \rightarrow \pi^- \pi^+ \pi^- \pi^0 \nu_\tau$  taken into account,  $\mathcal{B} \sim 5\%$   
⇒  $B$  mass reconstruction possible up to a 2-fold ambiguity
- Signal region blinded for data
- Background rejection:
  - ▶ BDT classifiers
  - ▶ Isolation variables
  - ▶ Background modeled from Same-Sign (SS) data ( $\tau^\pm \mu^\pm$ ) and MC simulation for qualitative studies.
- Signal yield extraction:  
Simultaneous fit to the mass distributions in bins of a final BDT
- Determine  $\mathcal{B}$  normalized to  $B^0 \rightarrow D^- (\rightarrow K^+ \pi^- \pi^-) \pi^+$

## B Mass reconstruction

Only 1 missing  $\nu$ , only 4 tracks,  $\mu$  points to the  $B$  decay vertex  
 ⇒ enough constraints to compute the  $\nu$  momentum

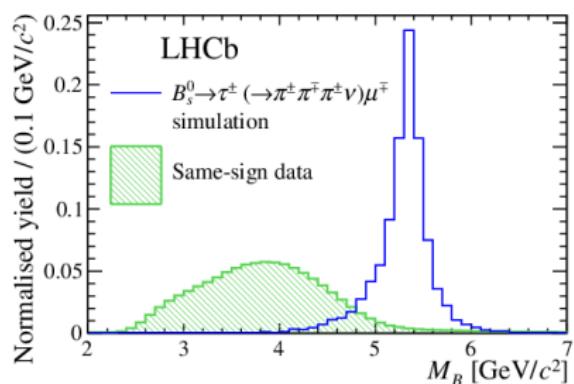


$$\left\{ \begin{array}{l} m_\tau^2 = (E_{3\pi} + |\vec{p}_\nu|)^2 - (\vec{p}_{3\pi} + \vec{p}_\nu)^2 \\ \vec{x}_B \in (d_\mu) \\ (\vec{p}_{3\pi} + \vec{p}_\nu) \parallel (\vec{x}_\tau - \vec{x}_B) \\ (\vec{p}_{3\pi} + \vec{p}_\mu + \vec{p}_\nu) \parallel (\vec{x}_B - \vec{x}_{PV}) \end{array} \right.$$

$$M_B = \sqrt{(E_{3\pi} + E_\mu + |\vec{p}_\nu|)^2 - (\vec{p}_{3\pi} + \vec{p}_\mu + \vec{p}_\nu)^2}$$

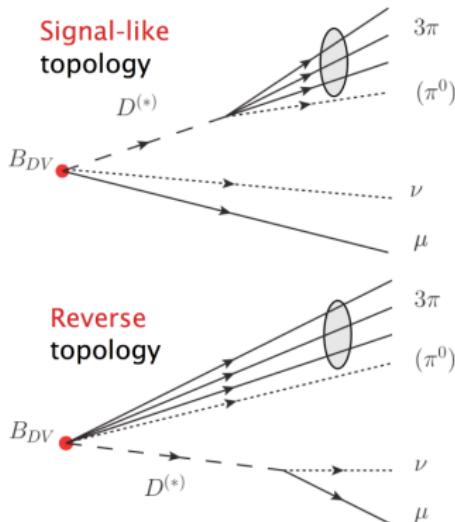
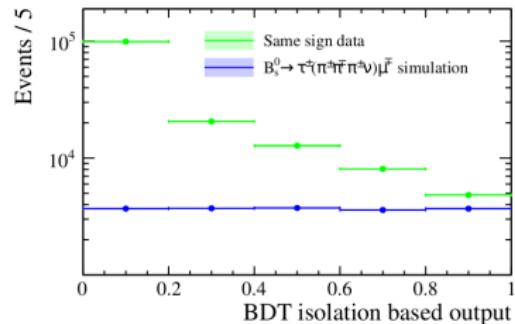
$B$  mass reconstructed with a 2-fold ambiguity:  
 Use solution with largest signal-vs-bkg separation

Data blinded for  $4.9 < M_B < 5.8$  GeV/c<sup>2</sup>



### Cut on an isolation based BDT classifier

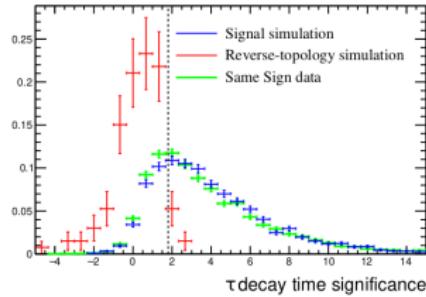
Uses charged, neutral, and vertex isolation variables  
40% of signal efficiency, > 90% bkg rejection



### Cut on a second BDT

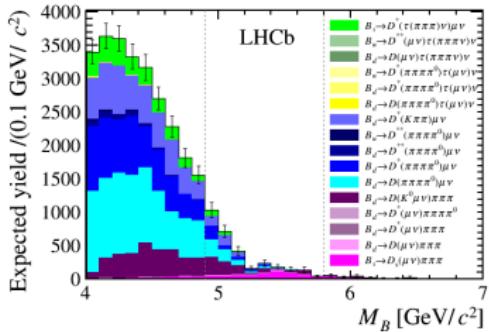
→ Suppress Signal-like topology  
Trained on SS data and MC  
Use vertex related variables and opening angles

**Cut on  $\tau$  lifetime significance**  
→ Suppress reverse topology  
(peaking in the signal region)

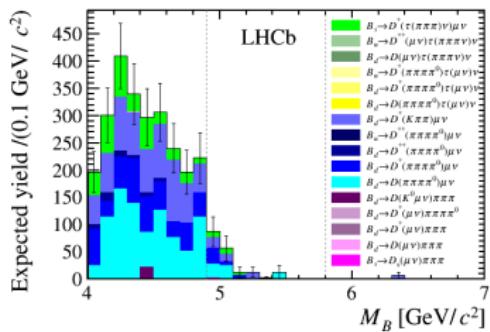


Set of 16 exclusive backgrounds (signal-like and reverse topology)

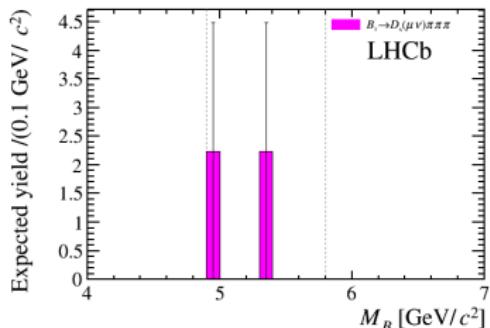
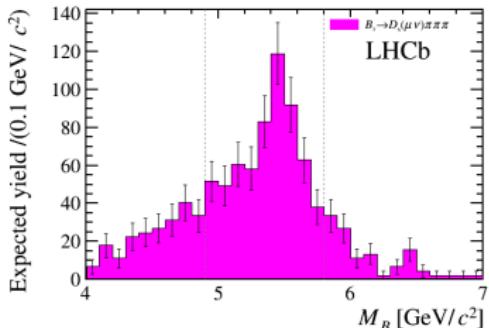
### Before the full selection



### After the full selection



Zoom on the reverse-topology background  $B_s^0 \rightarrow D_s(\mu\nu)\pi\pi\pi\pi$

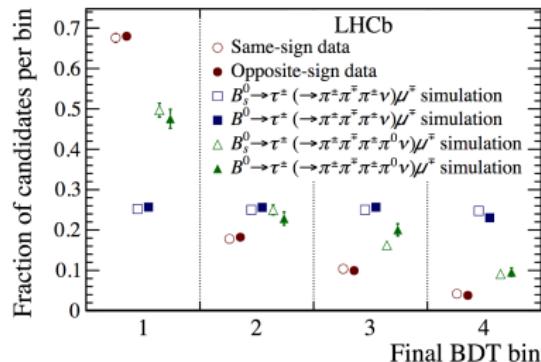
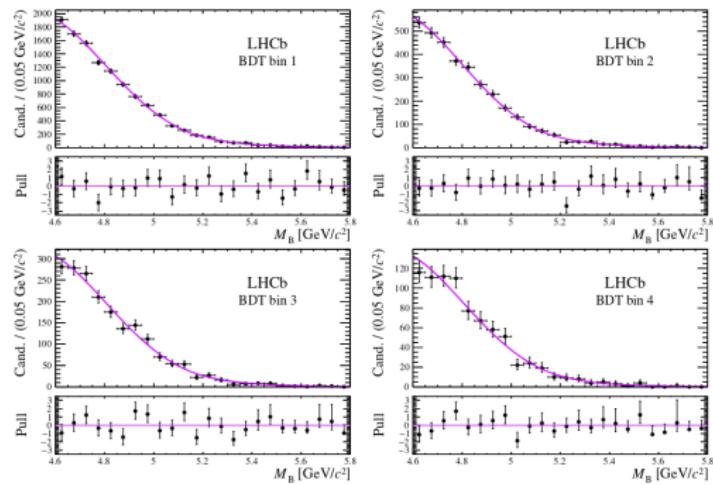


## Final BDT

Trained on same sign data and MC signal

Uses output of first BDTs, vertex related variables and  $2/3\pi$ -masses.

## Simultaneous fit to the mass distributions in bins of the final BDT



Separation between  $B_s^0$  and  $B^0$  limited  
⇒ fitting  $B_s^0$  neglecting  $B^0$  and vice versa

No signal found:

$$N_{B_s^0} = -16 \pm 38$$

$$N_B^0 = -65 \pm 58$$

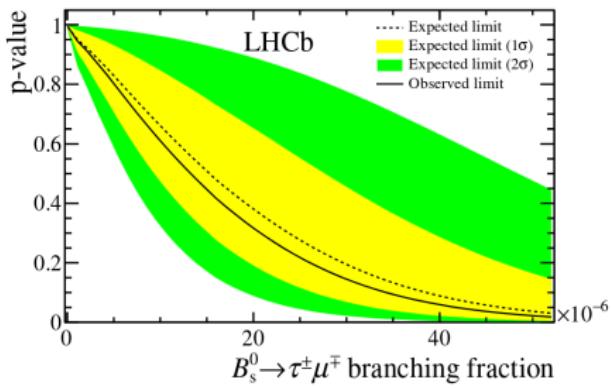
Determine  $\mathcal{B}$  normalized to  $B^0 \rightarrow D^- (\rightarrow K^+ \pi^- \pi^-) \pi^+$

$$\mathcal{B}(B_{(s)}^0 \rightarrow \tau\mu) = \frac{f_B^0}{f_{B_{(s)}^0}} \cdot \frac{\mathcal{B}(B^0 \rightarrow D^- (\rightarrow K^+ \pi^- \pi^-) \pi^+)}{\mathcal{B}(\tau^- \rightarrow \pi^- \pi^+ \pi^+ \nu_\tau)} \cdot \frac{\epsilon_{B^0 \rightarrow D\pi}}{\epsilon_{B_{(s)}^0 \rightarrow \tau\mu}} \cdot \frac{N_{(s)}^{sig}}{N^{Norm}} = \alpha_{(s)} \cdot N_{(s)}^{sig}$$

$$\begin{aligned}\alpha_s &= (4.32 \pm 0.61) \times 10^{-7} \\ \alpha_d &= (1.25 \pm 0.16) \times 10^{-7}\end{aligned}$$

### Systematics dominated by:

- Bkg shape ( $\sim 35\%$ )
- Trigger ( $\sim 11\%$ )
- External inputs ( $\sim 8\%$ )
- Data-vs-MC corr. ( $\sim 2\%$ )



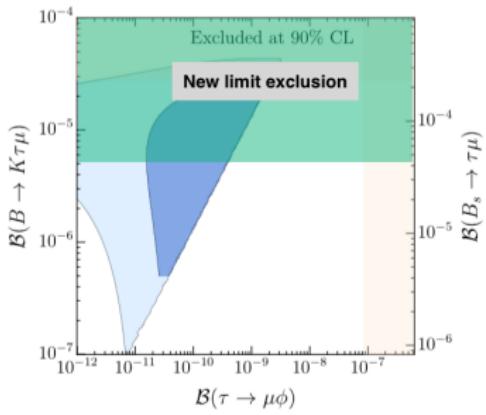
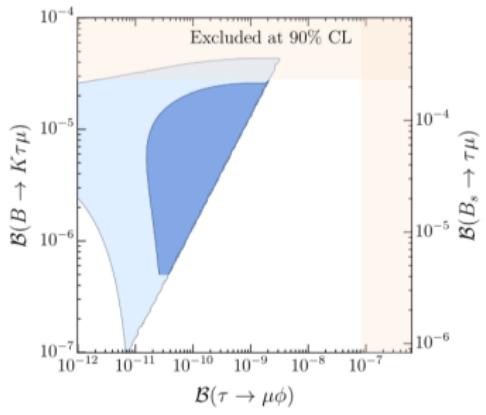
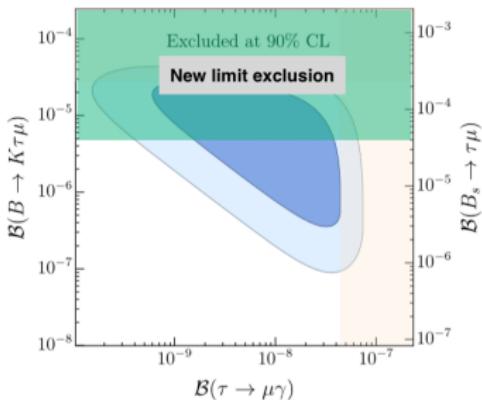
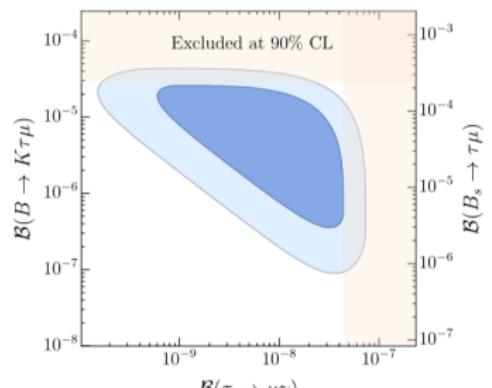
Mode	Limit	90% CL	95% CL
$B_s^0 \rightarrow \tau^\pm \mu^\mp$	Observed	$3.4 \times 10^{-5}$	$4.2 \times 10^{-5}$
	Expected	$3.9 \times 10^{-5}$	$4.7 \times 10^{-5}$
$B^0 \rightarrow \tau^\pm \mu^\mp$	Observed	$1.2 \times 10^{-5}$	$1.4 \times 10^{-5}$
	Expected	$1.6 \times 10^{-5}$	$1.9 \times 10^{-5}$

First measurement for  $B_s^0$   
Best world limit for  $B^0$ , improvement by a factor  $\sim 2!$

# $B_{(s)}^0 \rightarrow \tau\mu$ limit impact

Preferred 2D fit regions for vector LQ (U1):

[Cornella,  
Fuentes-Martin and  
Isidori,  
arXiv:1903.11517]



Many BSM models predict large  $\mathcal{B}$

- LQs:  $\mathcal{B}(B \rightarrow K\mu e) \sim 3 \cdot 10^{-8} (\frac{1-R_K}{0.23})^2 \sim 10^{-8}$  [1], [2]
- $Z'$ :  $\mathcal{B} \sim 10^{-8}$  [3]
- CPV in  $\nu$  oscillations:  $\mathcal{B} \sim 10^{-10}$  [4]

[1] Medeiros Vaezilas and Hiller, JHEP06 (2015) 072

[2] Hiller ar al., JHEP12 (2016) 027

[3] Crivellin et al. PRD92 (2015) 054013

[4] Boucenna et al. PLB (2015) 09 040

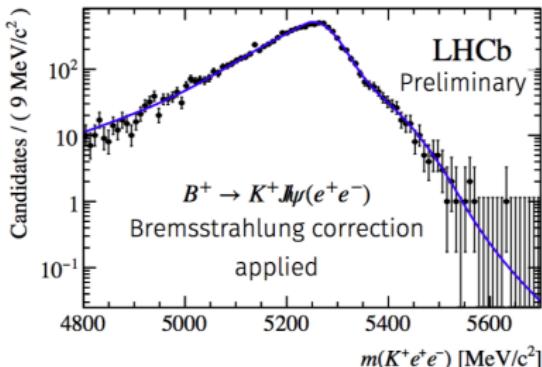
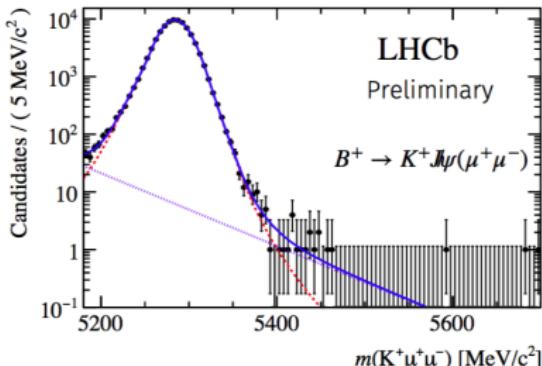
### Previous experimental status:

$\mathcal{B}(B^+ \rightarrow K^+ e^+ \mu^-) < 9.1 \times 10^{-8}$  [BaBar, PRD73 (2006) 092001]

$\mathcal{B}(B^+ \rightarrow K^+ e^- \mu^+) < 13 \times 10^{-8}$

## Analysis strategy

- Run1 data ( $3 \text{ fb}^{-1}$ )
- Search designed for two charge configurations:  
 $B^+ \rightarrow K^+ e^+ \mu^-$   
 $B^+ \rightarrow K^+ e^- \mu^+$
- Normalized with  $B^+ \rightarrow K^+ J/\Psi(\mu^- \mu^+)$
- MC corrected using data:  
 $B^+ \rightarrow K^+ J/\Psi(\mu^- \mu^+)$   
 $B^+ \rightarrow K^+ J/\Psi(e^- e^+)$
- Background rejection:  
Double semileptonic decays  
Charmonium decays  
Suppressed by vetoes and double-stage BDT
- Developed blind in the region  
 $m(K e \mu) \in [4985, 5385] \text{ GeV}/c^2$

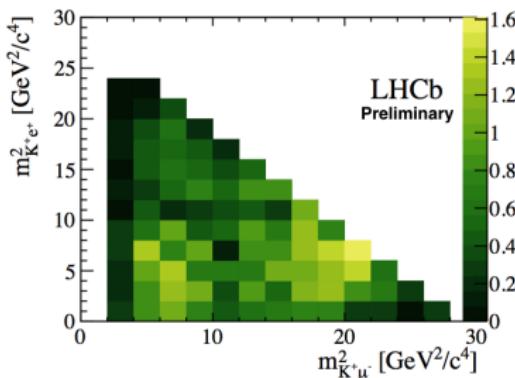
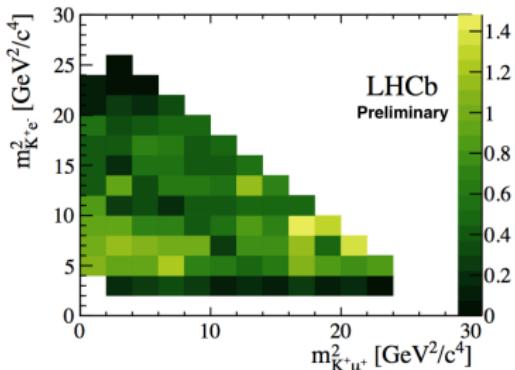


## Preselection

- Most significant backgrounds from  $B^+ \rightarrow D^0 X \ell^+ \nu_\ell$ , with  $D^0 \rightarrow K^+ Y \ell^- \bar{\nu}_\ell$   
►  $m(K^+ \ell^-) < 1885$  MeV/c<sup>2</sup>
- Charmonium decays  
 $B^+ \rightarrow K^+ J/\Psi$ ,  $\Lambda_b^0 \rightarrow p K^- J/\Psi$ , ...  
► Veto on charmonium regions
- Strong requirements on particle identification criteria

## BDT selection

- BDT to remove random tracks combinations trained on upper mass sideband  
 $B$  kinematics, topological variables, isolation
- BDT to remove partially reconstructed  $b$ -hadron decays  
trained on lower mass sideband  
same set of discriminant variables used



Determine  $\mathcal{B}$  normalized to  $B^+ \rightarrow K^+ J/\Psi(\mu\mu)$

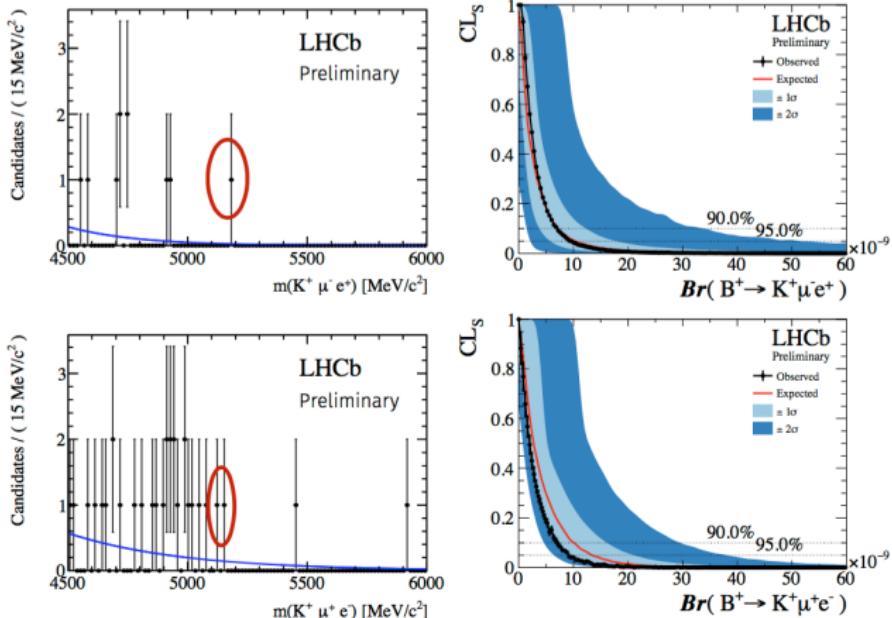
$$\alpha_{B^+ \rightarrow K^+ e^+ \mu^-} = (1.97 \pm 0.14) \times 10^{-9}$$

$$\alpha_{B^+ \rightarrow K^+ e^- \mu^+} = (2.21 \pm 0.14) \times 10^{-9}$$

### Systematics dominated by:

- ▶ Knowledge of  $\mathcal{B}(B^+ \rightarrow K^+ J/\Psi(\mu\mu))$
- ▶ Background modeling
- ▶ Particle Identification

Mode	Limit at 90 % C.L.
$B^+ \rightarrow K^+ \mu^- e^+$	$7.0 \times 10^{-9}$
$B^+ \rightarrow K^+ \mu^+ e^-$	$7.1 \times 10^{-9}$



Reduce current world best limit by more than 10!

# Prospects at LHCb

- A whole family to be searched for:

$B_{(s)}^0 \rightarrow e\mu$  released, Run2 to be added

$B_{(s)}^0 \rightarrow \tau\mu$  just released! Run2 to be added

$B_{(s)}^0 \rightarrow K\tau\mu$

$B_{(s)}^0 \rightarrow K^*\tau\mu$  (on-going)

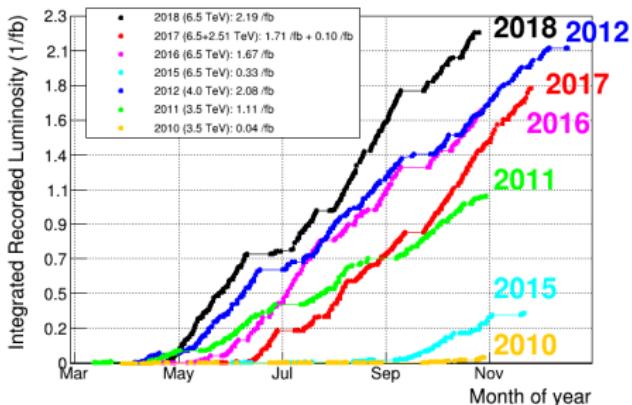
$B^+ \rightarrow K^+ e\mu$  soon released!

$\Lambda_b \rightarrow \Lambda^0 e\mu$

$\tau \rightarrow 3\mu$

$\tau \rightarrow p\mu\mu$

...



Only Run1 dataset exploited so far:  $3 \text{ fb}^{-1}$  of  $pp$  collisions at 7/8 TeV.

Run2 dataset:  $6 \text{ fb}^{-1}$  of  $pp$  collisions at 13 TeV ( $\sigma_{b\bar{b}} \times 2$ )

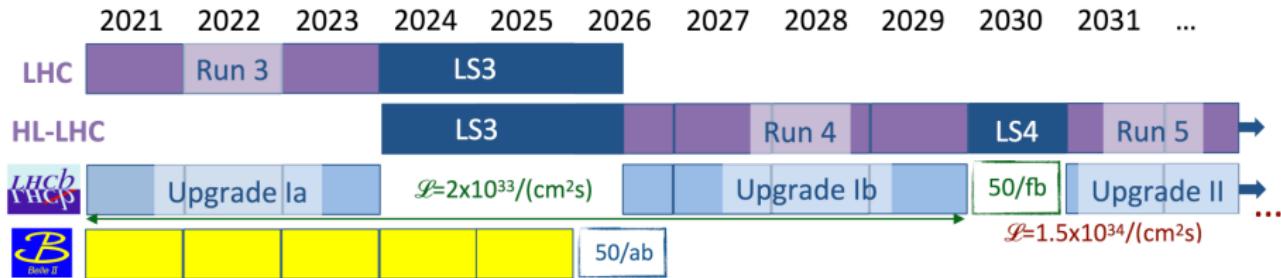
$\Rightarrow \sim 4$  more data to analyze!!

**Significant improvements expected by adding Run2 dataset!**

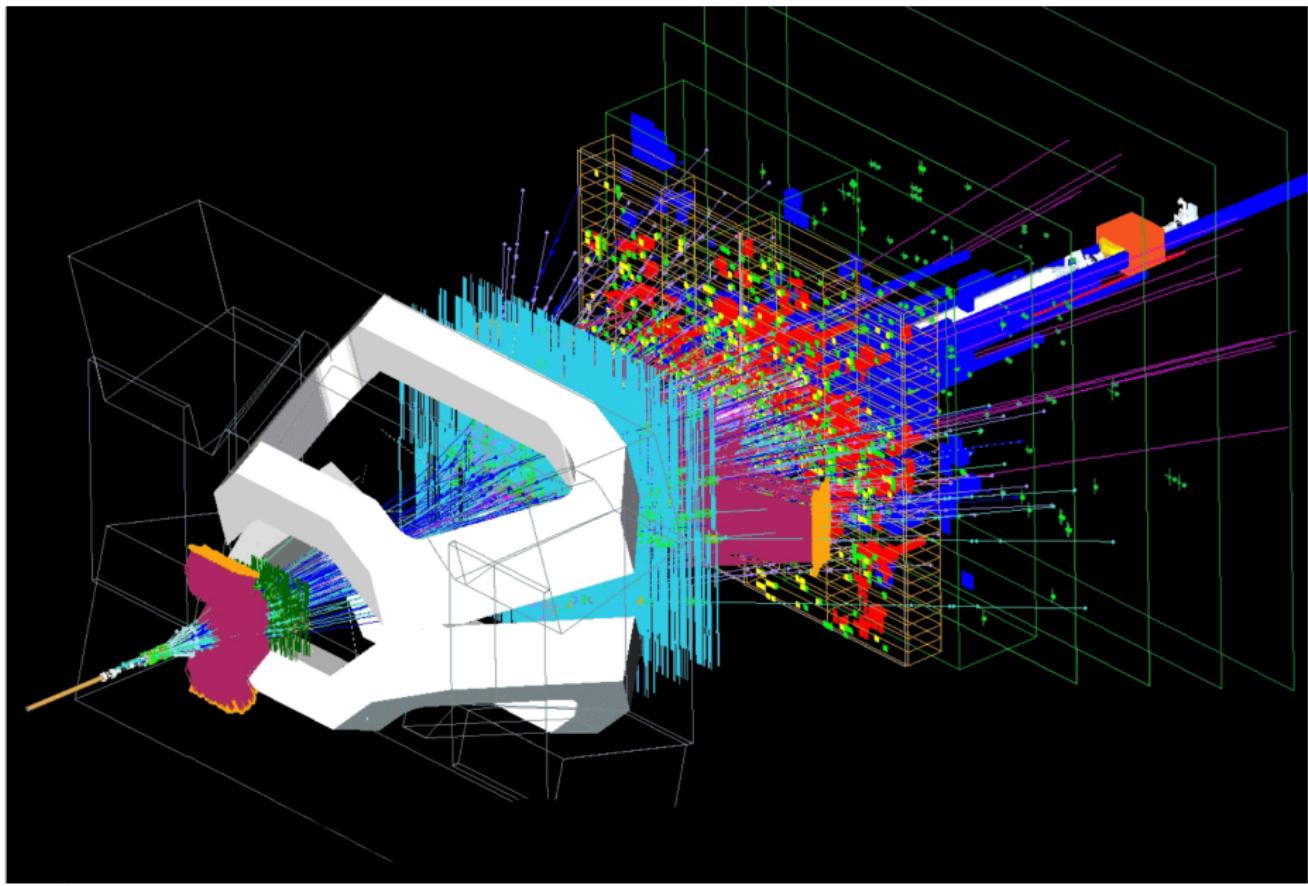
# Conclusions

- A lot of work have been done by LHCb on LFV decay
- First and world-best limits recently set on  $B_{(s)}^0 \rightarrow \tau\mu$  and  $B^+ \rightarrow K^+\mu^\pm e^\mp$
- Very challenging at LHCb
  - Missing energy
  - Electron ID
  - High level and variety of backgrounds
- Most of analysis are handmade by small group of people!
- New experiments coming (Belle II, CMS, ...)
  - Great for double-checking, interplay among experiments.

**Need analysis improvement and upgrades to get to more interesting regimes:**



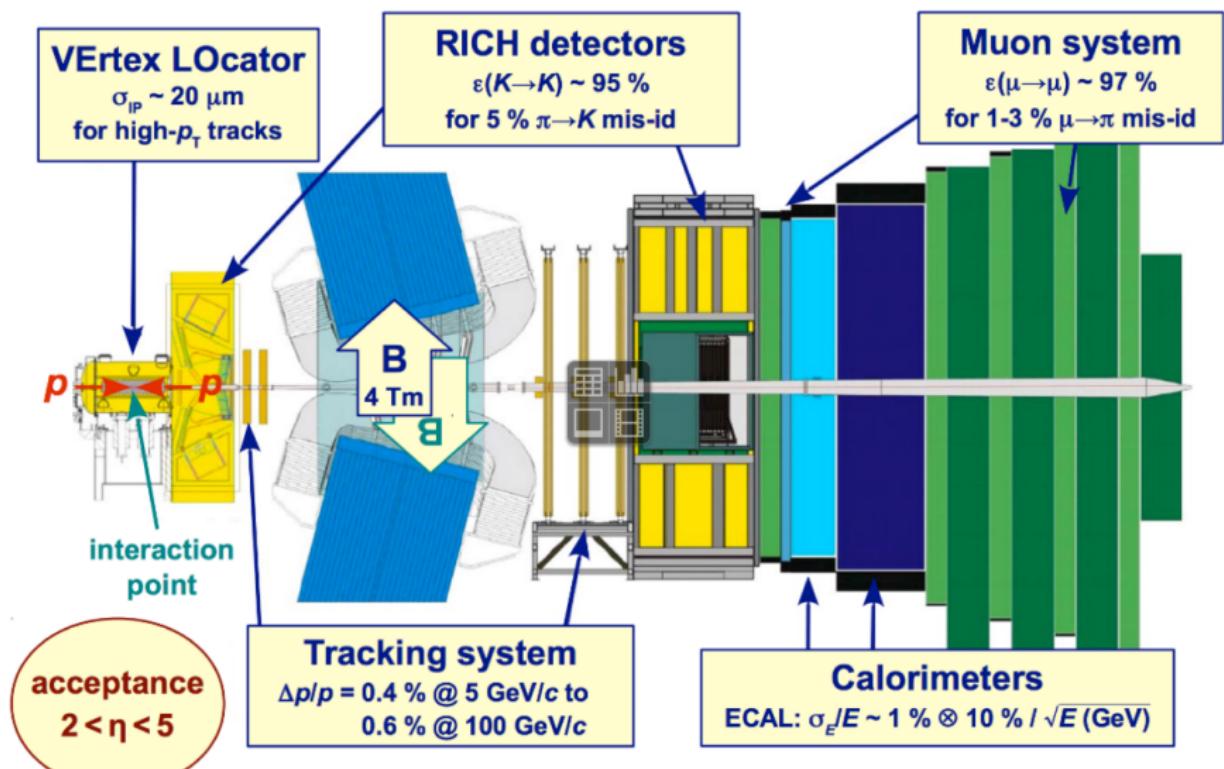
# BACK-UP



# LHCb detector

[LHCb performance, Int.J.Mod.Phys. A30 (2015) no.07, 1530022]

[The LHCb Detector at the LHC, JINST 3 (2008) S08005]



# Other LFV measurements

## $\mu^-$ DECAY MODES

		Fraction ( $\Gamma_i/\Gamma$ )	Confidence level	$p$ (MeV/c)
$e^- \nu_e \bar{\nu}_\mu$	LF	$[f] < 1.2$	%	90% 53
$e^- \gamma$	LF	$< 4.2$	$\times 10^{-13}$	90% 53
$e^- e^+ e^-$	LF	$< 1.0$	$\times 10^{-12}$	90% 53
$e^- 2\gamma$	LF	$< 7.2$	$\times 10^{-11}$	90% 53

$$\mathcal{B}(Z^0 \rightarrow e^\pm \mu^\mp) < 7.5 \times 10^{-7} \text{ (@95%CL)}$$

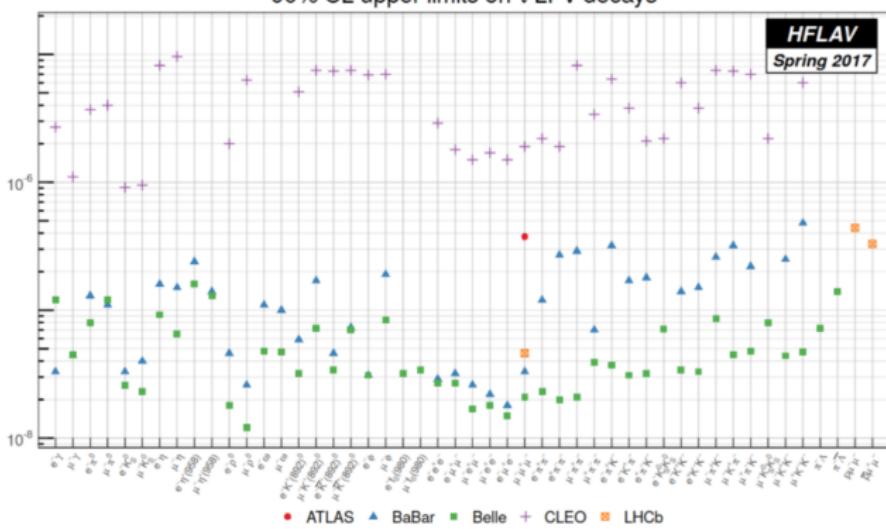
$$\mathcal{B}(Z^0 \rightarrow e^\pm \tau^\mp) < 9.8 \times 10^{-6} \text{ (@95%CL)}$$

$$\mathcal{B}(Z^0 \rightarrow \mu^\pm \tau^\mp) < 1.2 \times 10^{-5} \text{ (@95%CL)}$$

$$\mathcal{B}(H^0 \rightarrow \mu\tau) < 0.25\% \text{ (@95%CL)}$$

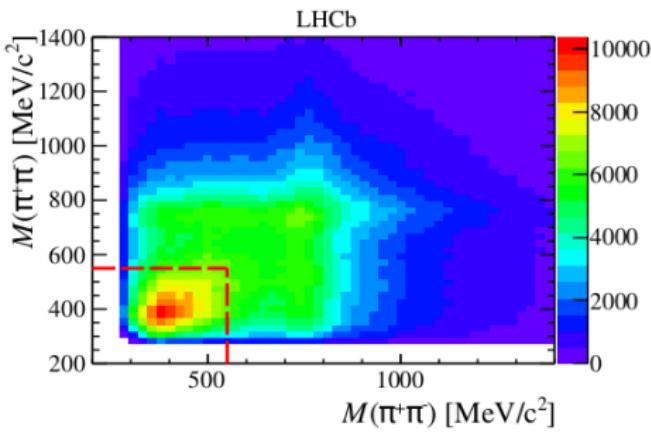
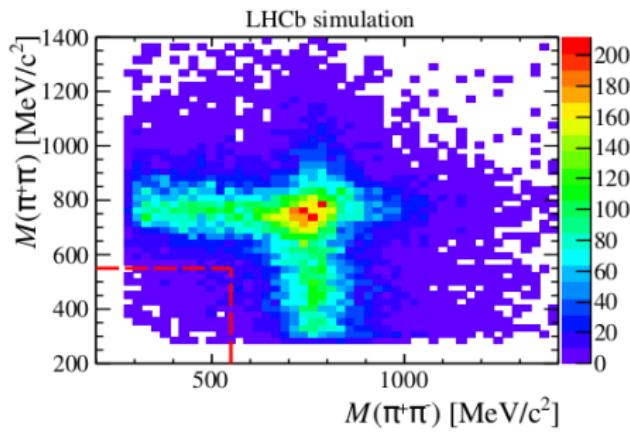
$$\mathcal{B}(H^0 \rightarrow e\tau) < 0.61\% \text{ (@95%CL)}$$

90% CL upper limits on  $\tau$  LFV decays



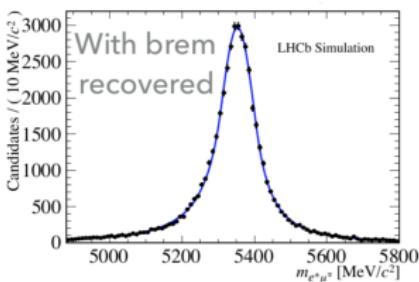
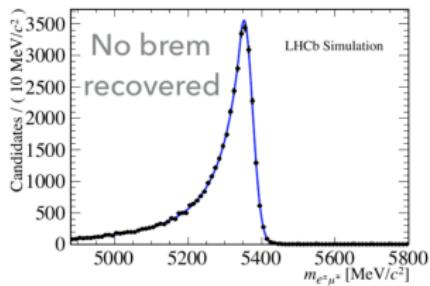
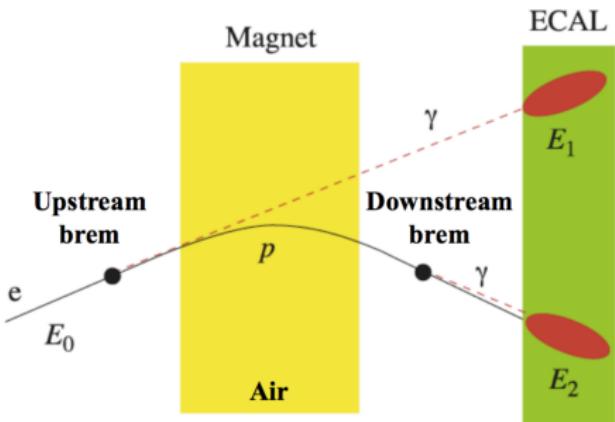
**More about the preselection:** $M_B < 4 \text{ GeV}/c^2$  discarded

$$\begin{aligned}\tau^- \rightarrow & a1(1260)^- \nu_\tau \\ \hookrightarrow & \pi_1^- \rho(770)^0 \\ \hookrightarrow & \pi_2^+ \pi_3 -\end{aligned}$$



# Bremsstrahlung recovery

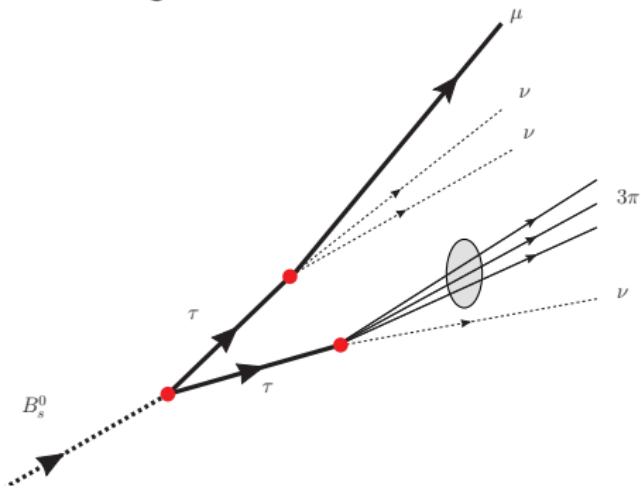
Electron energy resolution  
degraded by energy loss from  
bremsstrahlung effect



Examples taken from  
 $B_s^0 \rightarrow e\mu$   
[JHEP 1803 (2018) 078]

# Isolation variables

- Neutral isolation variables  
Count neutral objects in a cone around the B candidate
- Vertex isolation variables  
Combine tracks making a  $\tau$  candidate with other tracks in the events, refit vertex, and check for improvement
- Track isolation variables  
BDT-based, identify tracks coming from other vertex



**Observables entering in the  $\chi^2$  fit:**

Observable	Experiment	Corr.	SM
$R_D$	$0.334(31)$ [5]	-0.37	$0.299(3)$ [63–65]
$R_{D^*}$	$0.297(15)$		$0.258(5)$ [64–66]
$\mathcal{B}(B \rightarrow \tau\nu)$	$1.09(24) \cdot 10^{-4}$ [67]	—	$0.812(54) \cdot 10^{-4}$ [68]
$\Delta C_9^{\mu\mu} = -\Delta C_{10}^{\mu\mu}$	$-0.40 \pm 0.12$		—
$\Delta C_9^U$	$-0.50 \pm 0.38$ [41, 42]	-0.5	—
$\mathcal{B}(B_s \rightarrow \tau^+\tau^-)$	$0.0(3.4) \cdot 10^{-3}$ [69]	—	$7.73(49) \cdot 10^{-7}$ [70]
$\mathcal{B}(B^+ \rightarrow K^+\tau^+\tau^-)$	$1.36(0.71) \cdot 10^{-3}$ [71]	—	$1.5(0.2) \cdot 10^{-7}$
$\mathcal{B}(\tau \rightarrow \mu\gamma)$	$0.0(3.0) \cdot 10^{-8}$ [53]	—	—
$\mathcal{B}(B^+ \rightarrow K^+\tau^+\mu^-)$	$0.0(1.7) \cdot 10^{-5}$ [72]	—	—
$\mathcal{B}(\tau \rightarrow \mu\phi)$	$0.0(5.1) \cdot 10^{-8}$ [73]	—	—
$(g_\tau/g_\mu)_{\ell,\pi,K}$	$1.0000 \pm 0.0014$ [53]	—	1.

**Effective Lagrangian:**

$$\mathcal{L}_{\text{eff}} = -\frac{2C_U}{v^2} \left[ -2 (\beta_L^{i\alpha})^* \beta_R^{l\beta} (\bar{\ell}_L^\alpha e_R^\beta) (\bar{d}_R^l q_L^i) + \text{h.c.} + \beta_L^{i\alpha} (\beta_R^{l\beta})^* (\bar{e}_R^\beta \gamma_\mu e_R^\alpha) (\bar{d}_R^l \gamma^\mu d_R^l) + \frac{1}{2} \beta_L^{i\alpha} (\beta_L^{l\beta})^* (\bar{\ell}_L^\beta \gamma_\mu \ell_L^\alpha) (\bar{q}_L^i \gamma^\mu q_L^l) + \frac{1}{2} \beta_L^{i\alpha} (\beta_L^{l\beta})^* (\bar{\ell}_L^\beta \sigma^a \gamma_\mu \ell_L^\alpha) (\bar{q}_L^i \sigma^a \gamma^\mu q_L^l) \right], \quad (2.5)$$

where  $C_U \equiv g_U^2 v^2 / (4M_U^2)$  and  $v = (\sqrt{2} G_F)^{-1/2} \approx 246$  GeV is the SM Higgs vacuum expectation value (vev).

**Fit results:**

$$C_U \in [0.3, 1.0] \cdot 10^{-2}, \quad \beta_L^{s\tau} \in [0.08, 0.25], \quad \beta_L^{d\tau} \in [-0.17, -0.01], \\ \beta_L^{b\mu} \in [-0.42, -0.07], \quad \beta_L^{s\mu} \in [0.01, 0.08].$$