

# The JUNO experiment Top Tracker

Angel Abusleme, Thomas Adam, Shakeel Ahmad, Rizwan Ahmed, Sebastiano Aiello, Muhammad Akram, Abid Aleem, Tsagkarakis Alexandros, Fengpeng An, Qi An, et al.

## ▶ To cite this version:

Angel Abusleme, Thomas Adam, Shakeel Ahmad, Rizwan Ahmed, Sebastiano Aiello, et al.. The JUNO experiment Top Tracker. Nuclear Instruments and Methods in Physics Research Section A: Accelerators, Spectrometers, Detectors and Associated Equipment, 2023, 1057, pp.168680. 10.1016/j.nima.2023.168680. hal-04025982

# HAL Id: hal-04025982 https://hal.in2p3.fr/hal-04025982

Submitted on 13 Mar 2023

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# The JUNO experiment Top Tracker

Angel Abusleme<sup>f,e</sup>, Thomas Adam<sup>as</sup>, Shakeel Ahmad<sup>bq</sup>, Rizwan Ahmed<sup>bq</sup>, Sebastiano Aiello<sup>bf</sup>, Muhammad Akram<sup>bq</sup>, Abid Aleem<sup>bq</sup>, Tsagkarakis Alexandros<sup>ay</sup>, Fengpeng An<sup>u</sup>, Qi An<sup>w</sup>, Giuseppe Andronico<sup>bf</sup>, Nikolay Anfimov<sup>br</sup>, Vito Antonelli<sup>bh</sup>, Tatiana Antoshkina<sup>br</sup>, Burin Asavapibhop<sup>bv</sup>, João Pedro Athayde Marcondes de André<sup>as,\*</sup>, Didier Auguste<sup>aq</sup>, Weidong Bai<sup>u</sup>, Nikita Balashov<sup>br</sup>, Wander Baldini<sup>bg</sup>, Andrea Barresi<sup>bi</sup>, Davide Basilico<sup>bh</sup>, Eric Baussan<sup>as</sup>, Marco Bellato<sup>bk</sup>, Marco Beretta<sup>bh</sup>, Antonio Bergnoli<sup>bk</sup>, Daniel Bick<sup>az</sup>, Thilo Birkenfeld<sup>ay</sup>, Sylvie Blin<sup>av</sup>, David Blum<sup>be</sup>, Simon Blyth<sup>k</sup>, Anastasia Bolshakova<sup>br</sup>, Mathieu Bongrandau, Clément Bordereauar, an, Dominique Bretonaq, Augusto Brigattibh, Riccardo Brugnerabl, Riccardo Brunobf, Antonio Budano<sup>bo</sup>, Jose Busto<sup>at</sup>, Anatael Cabrera<sup>aq</sup>, Barbara Caccianiga<sup>bh</sup>, Hao Cai<sup>ah</sup>, Xiao Cai<sup>k</sup>, Yanke Cai<sup>k</sup>, Zhiyan Cai<sup>k</sup>, Stéphane Callierar, Antonio Cammibj, Agustin Campenyf,e, Chuanya Caok, Guofu Caok, Jun Caok, Rossella Carusobf, Cédric Cernaar, Vanessa Cerrone<sup>bl,bk</sup>, Chi Chan<sup>al</sup>, Jinfan Chang<sup>k</sup>, Yun Chang<sup>am</sup>, Chao Chen<sup>k</sup>, Guoming Chen<sup>ab</sup>, Pingping Chen<sup>s</sup>, Shaomin Chen<sup>n</sup>, Yixue Chen<sup>1</sup>, Yu Chen<sup>u</sup>, Zhiyuan Chen<sup>k</sup>, Zikang Chen<sup>u</sup>, Jie Cheng<sup>1</sup>, Yaping Cheng<sup>h</sup>, Yu Chin Cheng<sup>an</sup>, Alexander Chepurnov<sup>bt</sup>, Alexey Chetverikov<sup>br</sup>, Davide Chiesa<sup>bi</sup>, Pietro Chimenti<sup>c</sup>, Ziliang Chu<sup>k</sup>, Artem Chukanov<sup>br</sup>, Gérard Claverie<sup>ar</sup>, Catia Clementi<sup>bm</sup>, Barbara Clerbaux<sup>b</sup>, Marta Colomer Molla<sup>b</sup>, Selma Conforti Di Lorenzo<sup>ax</sup>, Alberto Coppi<sup>bl,bk</sup>, Daniele Corti<sup>bk</sup>, Flavio Dal Corso<sup>bk</sup> Olivia Dalager<sup>by</sup>, Christophe De La Taille<sup>ax</sup>, Zhi Deng<sup>n</sup>, Ziyan Deng<sup>k</sup>, Wilfried Depnering<sup>bb</sup>, Marco Diaz<sup>f</sup>, Xuefeng Ding<sup>k</sup>, Yayun Ding<sup>k</sup>, Bayu Dirgantara<sup>bx</sup>, Sergey Dmitrievsky<sup>br</sup>, Tadeas Dohnal<sup>ao</sup>, Dmitry Dolzhikov<sup>br</sup>, Georgy Donchenko<sup>bt</sup>, Jianmeng Dong<sup>n</sup>, Evgeny Doroshkevich<sup>bs</sup>, Wei Dou<sup>n</sup>, Marcos Dracos<sup>as,\*</sup>, Olivier Drapier<sup>aw</sup>, Frédéric Druillole<sup>ar</sup>, Ran Du<sup>k</sup>, Shuxian Du<sup>ak</sup>, Katherine Dugas<sup>by</sup>, Stefano Dusini<sup>bk</sup>, Hongyue Duyang<sup>z</sup>, Jessica Eck<sup>be</sup>, Timo Enqvist<sup>ap</sup>, Andrea Fabbri<sup>bo</sup>, Ulrike Fahrendholz<sup>bc</sup>, Lei Fan<sup>k</sup>, Jian Fangk, Wenxing Fangk, Marco Fargettabf, Dmitry Fedoseevbr, Zhengyong Feik, Giulietto Felicibn, Li-Cheng Fengal, Qichun Feng<sup>v</sup>, Federico Ferraro<sup>bh</sup>, Amélie Fournier<sup>ar</sup>, Haonan Gan<sup>af</sup>, Feng Gao<sup>ay</sup>, Alberto Garfagnini<sup>bl</sup>, Arsenii Gavrikov<sup>bl,bk</sup>, Vladimir Gerasimov<sup>br</sup>, Marco Giammarchi<sup>bh</sup>, Nunzio Giudice<sup>bf</sup>, Maxim Gonchar<sup>br</sup>, Guanghua Gong<sup>n</sup>, Hui Gong<sup>n</sup>, Yuri Gornushkin<sup>br</sup>, Alexandre Göttel<sup>ba,ay</sup>, Marco Grassi<sup>bl</sup>, Maxim Gromov<sup>bt</sup>, Vasily Gromov<sup>br</sup>, Minghao Gu<sup>k</sup>, Xiaofei Gu<sup>ak</sup>, Yu Gu<sup>t</sup>, Mengyun Guan<sup>k</sup>, Yuduo Guan<sup>k</sup>, Nunzio Guardone<sup>bf</sup>, Cong Guo<sup>k</sup>, Wanlei Guo<sup>k</sup>, Xinheng Guo<sup>i</sup>, Yuhang Guo<sup>ai</sup>, Semen Gursky<sup>br</sup>, Caren Hagner<sup>az</sup>, Ran Han<sup>h</sup>, Yang Han<sup>u</sup>, Miao He<sup>k</sup>, Wei He<sup>k</sup>, Tobias Heinz<sup>be</sup>, Patrick Hellmuth<sup>ar</sup>, Yuekun Heng<sup>k</sup>, Rafael Herrera<sup>f,e</sup>, YuenKeung Hor<sup>u</sup>, Shaojing Hou<sup>k</sup>, Yee Hsiung<sup>an</sup>, Bei-Zhen Hu<sup>an</sup>, Hang Hu<sup>u</sup>, Jianrun Hu<sup>k</sup>, Jun Hu<sup>k</sup>, Shouyang Hu<sup>j</sup>, Tao Hu<sup>k</sup>, Yuxiang Hu<sup>k</sup>, Zhuojun Hu<sup>u</sup>, Guihong Huang<sup>y</sup>, Hanxiong Huang<sup>j</sup>, Jinhao Huang<sup>k</sup>, Kaixuan Huang<sup>u</sup>, Wenhao Huang<sup>z</sup>, Qinhua Huang<sup>aw,as</sup>, Xin uang<sup>k</sup>, Xingtao Huang<sup>z</sup>, Yongbo Huang<sup>ab</sup>, Jiaqi Hui<sup>ad</sup>, Lei Huo<sup>v</sup>, Wenju Huo<sup>w</sup>, Cédric Huss<sup>ar</sup>, Safeer Hussain<sup>bq</sup>, Ara Ioannisian<sup>a</sup>, Roberto Isocrate<sup>bk</sup>, Beatrice Jelmini<sup>bl</sup>, Ignacio Jeria<sup>f</sup>, Xiaolu Ji<sup>k</sup>, Huihui Jia<sup>ag</sup>, Junji Jia<sup>ah</sup>, Siyu Jian<sup>j</sup>, Di Jiang<sup>w</sup>, Wei Jiang<sup>k</sup>, Xiaoshan Jiang<sup>k</sup>, Xiaoping Jing<sup>k</sup>, Cécile Jollet<sup>ar</sup>, Leonidas Kalousis<sup>as</sup>, Philipp Kampmann<sup>bd,ba</sup>, Li Kang<sup>s</sup>, Rebin Karaparambil<sup>as</sup>, Narine Kazarian<sup>a</sup>, Ali Khan<sup>bq</sup>, Amina Khatun<sup>bu</sup>, Khanchai Khosonthongkee<sup>bx</sup>, Denis Korablev<sup>br</sup>, Konstantin Kouzakov<sup>bt</sup>, Alexey Krasnoperov<sup>br</sup>, Sergey Kuleshov<sup>e</sup>, Nikolay Kutovskiy<sup>br</sup>, Pasi Kuusiniemi<sup>ap</sup>, Tobias Lachenmaier<sup>be</sup>, Cecilia Landini<sup>bh</sup>, Sébastien Leblanc<sup>ar</sup>, Victor Lebrin<sup>au</sup>, Frederic Lefevre<sup>au</sup>, Ruiting Lei<sup>s</sup>, Rupert Leitner<sup>ao</sup>, Jason Leung<sup>al</sup>, Demin Li<sup>ak</sup>, Fei Li<sup>k</sup>, Fule Li<sup>n</sup>, Gaosong Li<sup>k</sup>, Huiling Li<sup>k</sup>, Mengzhao Li<sup>k</sup>, Min Li<sup>k</sup>, Nan Li<sup>q</sup>, Qingjiang Li<sup>q</sup>, Ruhui Li<sup>k</sup>, Rui Li<sup>ad</sup>, Shanfeng Li<sup>s</sup>, Tao Li<sup>u</sup>, Teng Li<sup>z</sup>, Weidong Li<sup>k,o</sup>, Weiguo Li<sup>k</sup>, Xiaomei Li<sup>j</sup>, Xiaonan Li<sup>k</sup>, Xinglong Li<sup>j</sup>, Yi Li<sup>s</sup>, Yichen Li<sup>k</sup>, Yufeng Li<sup>k</sup>, Zepeng Li<sup>k</sup>, Zhaohan Li<sup>k</sup>, Zhibing Li<sup>u</sup>, Ziyuan Li<sup>u</sup>, Zonghai Li<sup>ah</sup>, Hao Liang<sup>j</sup>, Hao Liang<sup>w</sup>, Jiajun Liao<sup>u</sup>, Ayut Limphirat<sup>bx</sup>, Guey-Lin Lin<sup>al</sup>, Shengxin Lin<sup>s</sup>, Tao Link, Jiajie Lingu, Ivano Lippibk, Caimei Liuk, Fang Liul, Haidong Liuak, Haotian Liuah, Hongbang Liuab, Hongjuan Liux, Hongtao Liu<sup>u</sup>, Hui Liu<sup>t</sup>, Jianglai Liu<sup>ad,ae</sup>, Jiaxi Liu<sup>k</sup>, Jinchang Liu<sup>k</sup>, Min Liu<sup>x</sup>, Qian Liu<sup>o</sup>, Qin Liu<sup>w</sup>, Runxuan Liu<sup>ba,ay</sup>, Shenghui Liu<sup>k</sup>, Shubin Liu<sup>w</sup>, Shulin Liu<sup>k</sup>, Xiaowei Liu<sup>u</sup>, Xiwen Liu<sup>ab</sup>, Yankai Liu<sup>ai</sup>, Yunzhe Liu<sup>k</sup>, Alexey Lokhov<sup>bt,bs</sup>, Paolo Lombardi<sup>bh</sup>, Claudio Lombardo<sup>bf</sup>, Kai Loo<sup>bb</sup>, Chuan Lu<sup>af</sup>, Haoqi Lu<sup>k</sup>, Jingbin Lu<sup>p</sup>, Junguang Lu<sup>k</sup>, Peizhi Lu<sup>u</sup>, Shuxiang Lu<sup>ak</sup>, Bayarto Lubsandorzhiev<sup>bs</sup>, Sultim Lubsandorzhiev<sup>bs</sup>, Livia Ludhova<sup>ba,ay</sup>, Arslan Lukanov<sup>bs</sup>, Daibin Luo<sup>k</sup>, Fengjiao Luo<sup>x</sup>, Guang Luo<sup>u</sup>, Jianyi Luo<sup>u</sup>, Shu Luo<sup>aj</sup>, Wuming Luo<sup>k</sup>, Xiaojie Luo<sup>k</sup>, Vladimir Lyashuk<sup>bs</sup>, Bangzheng Ma<sup>z</sup>, Bing Ma<sup>ak</sup>, Qiumei Ma<sup>k</sup>, Si Ma<sup>k</sup>, Xiaoyan Ma<sup>k</sup>, Xubo Ma<sup>l</sup>, Jihane Maalmi<sup>aq</sup>, Marco Magoni<sup>bh</sup>, Jingyu Mai<sup>u</sup>, Yury Malyshkin<sup>bd,ba</sup>, Roberto Carlos Mandujano<sup>by</sup>, Fabio Mantovani<sup>bg</sup>, Xin Mao<sup>h</sup>, Yajun Mao<sup>m</sup>, Stefano M. Mari<sup>bo</sup>, Filippo Marini<sup>bl</sup>, Agnese Martini<sup>bn</sup>, Matthias Mayer<sup>bc</sup>, Davit Mayilyan<sup>a</sup>, Ints Mednieks<sup>bp</sup>, Yue Meng<sup>ad</sup>, Anita Meraviglia<sup>bd,ba,ay</sup>, Anselmo Meregaglia<sup>ar</sup>, Emanuela Meroni<sup>bh</sup>, David Meyhöfer<sup>az</sup>, Jonathan Miller<sup>g</sup>, Lino Miramonti<sup>bh</sup>, Paolo Montini<sup>bo</sup>, Michele Montuschi<sup>bg</sup>, Axel Müller<sup>be</sup>, Massimiliano Nastasi<sup>bi</sup>, Dmitry V. Naumov<sup>br</sup>, Elena Naumova<sup>br</sup>, Diana Navas-Nicolas<sup>aq</sup>, Igor Nemchenok<sup>br</sup>, Minh Thuan Nguyen Thi<sup>al</sup>, Alexey Nikolaev<sup>bt</sup>, Feipeng Ning<sup>k</sup>, Zhe Ning<sup>k</sup>, Hiroshi Nunokawa<sup>d</sup>, Lothar Oberauer<sup>bc</sup>, Juan Pedro Ochoa-Ricoux<sup>by,f,e</sup>, Alexander Olshevskiy<sup>br</sup>, Domizia Orestanobo, Fausto Orticabm, Rainer Othegravenbb, Alessandro Paolonibn, Sergio Parmeggianobh, Yatian Peik, Luca Pelicciba, ay, Anguo Peng<sup>x</sup>, Haiping Peng<sup>w</sup>, Yu Peng<sup>k</sup>, Zhaoyuan Peng<sup>k</sup>, Frédéric Perrot<sup>ar</sup>, Pierre-Alexandre Petitjean<sup>b</sup>, Fabrizio Petrucci<sup>bo</sup>,  $Oliver\ Pilarczyk^{bb},\ Luis\ Felipe\ Pi\~neres\ Rico^{as},\ Artyom\ Popov^{bt},\ Pascal\ Poussot^{as},\ Ezio\ Previtali^{bi},\ Fazhi\ Qi^k,\ Ming\ Qi^{aa},\ Sen$ Qian<sup>k</sup>, Xiaohui Qian<sup>k</sup>, Zhen Qian<sup>u</sup>, Hao Qiao<sup>m</sup>, Zhonghua Qin<sup>k</sup>, Shoukang Qiu<sup>x</sup>, Gioacchino Ranucci<sup>bh</sup>, Reem Rasheed<sup>ar</sup>, Alessandra Re<sup>bh</sup>, Abdel Rebii<sup>ar</sup>, Mariia Redchuk<sup>bk</sup>, Bin Ren<sup>s</sup>, Jie Ren<sup>j</sup>, Barbara Ricci<sup>bg</sup>, Mariam Rifai<sup>ba,ay</sup>, Mathieu Roche<sup>ar</sup>, Narongkiat Rodphai<sup>k</sup>, Aldo Romani<sup>bm</sup>, Victor Romanov<sup>br</sup>, Bedřich Roskovec<sup>ao</sup>, Xichao Ruan<sup>j</sup>, Arseniy Rybnikov<sup>br</sup>, Andrey Sadovsky<sup>br</sup>, Paolo Saggese<sup>bh</sup>, Deshan Sandanayake<sup>as</sup>, Simone Sanfilippo<sup>bo</sup>, Anut Sangka<sup>bw</sup>, Utane Sawangwit<sup>bw</sup>, Julia Sawatzki<sup>bc</sup>, Michaela Schever<sup>ba,ay</sup>, Jacky Schuler<sup>as</sup>, Cédric Schwab<sup>as</sup>, Konstantin Schweizer<sup>bc</sup>, Alexandr Selyunin<sup>br</sup>, Andrea Serafini<sup>bl</sup>, Giulio Settanta<sup>ba</sup>, Mariangela Settimo<sup>au</sup>, Vladislav Sharov<sup>br</sup>, Arina Shaydurova<sup>br</sup>, Jingyan Shi<sup>k</sup>, Yanan Shi<sup>k</sup>, Vitaly Shutov<sup>br</sup>, Andrey

Sidorenkov<sup>bs</sup>, Fedor Šimkovic<sup>bu</sup>, Chiara Sirignano<sup>bl</sup>, Jaruchit Siripak<sup>bx</sup>, Monica Sisti<sup>bi</sup>, Maciej Slupecki<sup>ap</sup>, Mikhail Smirnov<sup>u</sup>, Oleg Smirnov<sup>br</sup>, Thiago Sogo-Bezerra<sup>au</sup>, Sergey Sokolov<sup>br</sup>, Julanan Songwadhana<sup>bx</sup>, Boonrucksar Soonthornthum<sup>bw</sup>, Albert Sotnikov<sup>br</sup>, Ondřej Šrámek<sup>ao</sup>, Warintorn Sreethawong<sup>bx</sup>, Achim Stahl<sup>ay</sup>, Luca Stanco<sup>bk</sup>, Konstantin Stankevich<sup>bt</sup>, Dušan Štefánik<sup>bu</sup>, Hans Steiger<sup>bb,bc</sup>, Jochen Steinmann<sup>ay</sup>, Tobias Sterr<sup>be</sup>, Matthias Raphael Stock<sup>bc</sup>, Virginia Strati<sup>bg</sup>, Alexander Studenikin<sup>bt</sup>, Jun Su<sup>u</sup>, Shifeng Sun<sup>1</sup>, Xilei Sun<sup>k</sup>, Yongjie Sun<sup>w</sup>, Yongzhao Sun<sup>k</sup>, Zhengyang Sun<sup>ae</sup>, Narumon Suwonjandee<sup>bv</sup>, Michal Szelezniak<sup>as</sup>, Akira Takenaka<sup>ae</sup>, Jian Tang<sup>u</sup>, Qiang Tang<sup>u</sup>, Quan Tang<sup>x</sup>, Xiao Tang<sup>k</sup>, Vidhya Thara Hariharan<sup>az</sup>, Eric Theisen<sup>bb</sup>, Alexander Tietzsch<sup>be</sup>, Igor Tkachev<sup>bs</sup>, Tomas Tmej<sup>ao</sup>, Marco Danilo Claudio Torri<sup>bh</sup>, Francesco Tortorici<sup>bf</sup>, Konstantin Treskov<sup>br</sup>, Andrea Triossi<sup>bl</sup>, Riccardo Triozzi<sup>bl,bk</sup>, Giancarlo Troni<sup>f</sup>, Wladyslaw Trzaska<sup>ap</sup>, Yu-Chen Tung<sup>an</sup>, Cristina Tuve<sup>bf</sup>, Nikita Ushakov<sup>bs</sup>, Vadim Vedin<sup>bp</sup>, Giuseppe Verde<sup>bf</sup>, Maxim Vialkov<sup>bt</sup>, Benoit Viaud<sup>au</sup>, Cornelius Moritz Vollbrecht<sup>ba,ay</sup>, Katharina von Sturm<sup>bl</sup>, Vit Vorobel<sup>ao</sup>, Dmitriy Voronin<sup>bs</sup>, Lucia Votano<sup>bn</sup>, Pablo Walker<sup>f,e</sup>, Caishen Wang<sup>s</sup>, Chung-Hsiang Wang<sup>am</sup>, En Wang<sup>ak</sup>, Guoli Wang<sup>v</sup>, Jian Wang<sup>w</sup>, Jun Wang<sup>u</sup>, Lu Wang<sup>k</sup>, Meng Wang<sup>x</sup>, Meng Wang<sup>z</sup>, Ruiguang Wang<sup>k</sup>, Siguang Wang<sup>m</sup>, Wei Wang<sup>u</sup>, Wenshuai Wang<sup>k</sup>, Xi Wang<sup>q</sup>, Xiangyue Wang<sup>u</sup>, Yangfu Wang<sup>k</sup>, Yaoguang Wang<sup>k</sup>, Yi Wang<sup>k</sup>, Yi Wang<sup>n</sup>, Yifang Wang<sup>k</sup>, Yuanqing Wang<sup>n</sup>, Zhe Wang<sup>n</sup>, Zheng Wang<sup>k</sup>, Zhimin Wang<sup>k</sup>, Apimook Watcharangkool<sup>bw</sup>, Wei Wei<sup>k</sup>, Wei Wei<sup>2</sup>, Wenlu Wei<sup>k</sup>, Yadong Wei<sup>s</sup>, Kaile Wen<sup>k</sup>, Liangjian Wen<sup>k</sup>, Jun Weng<sup>n</sup>, Christopher Wiebusch<sup>ay</sup>, Rosmarie Wirth<sup>az</sup>, Bjoern Wonsak<sup>az</sup>, Diru Wu<sup>k</sup>, Qun Wu<sup>z</sup>, Zhi Wu<sup>k</sup>, Michael Wurm<sup>bb</sup>, Jacques Wurtz<sup>as</sup>, Christian Wysotzki<sup>ay</sup>, Yufei Xi<sup>af</sup>, Dongmei Xia<sup>r</sup>, Xiang Xiao<sup>u</sup>, Xiaochuan Xie<sup>ab</sup>, Yuguang Xie<sup>k</sup>, Zhangquan Xie<sup>k</sup>, Zhao Xin<sup>k</sup>, Zhizhong Xing<sup>k</sup>, Benda Xu<sup>n</sup>, Cheng Xu<sup>x</sup>, Donglian Xu<sup>ae,ad</sup>, Fanrong Xu<sup>t</sup>, Hangkun Xu<sup>k</sup>, Jilei Xu<sup>k</sup>, Jing Xu<sup>i</sup>, Meihang Xu<sup>k</sup>, Yin Xu<sup>ag</sup>, Yu Xu<sup>u</sup>, Baojun Yan<sup>k</sup>, Qiyu Yan<sup>o</sup>, Taylor Yan<sup>bx</sup>,  $Xiongbo\ Yan^k,\ Yupeng\ Yan^{bx},\ Changgen\ Yang^k,\ Chengfeng\ Yang^{ab},\ Jie\ Yang^{ak},\ Lei\ Yang^s,\ Xiaoyu\ Yang^k,\ Yifan\ Yang^k,\ Y$ Yang<sup>b</sup>, Haifeng Yao<sup>k</sup>, Jiaxuan Ye<sup>k</sup>, Mei Ye<sup>k</sup>, Ziping Ye<sup>ae</sup>, Frédéric Yermia<sup>au</sup>, Zhengyun You<sup>u</sup>, Boxiang Yu<sup>k</sup>, Chiye Yu<sup>s</sup>, Chunxu Yu<sup>ag</sup>, Guojun Yu<sup>aa</sup>, Hongzhao Yu<sup>u</sup>, Miao Yu<sup>ah</sup>, Xianghui Yu<sup>ag</sup>, Zeyuan Yu<sup>k</sup>, Zezhong Yu<sup>k</sup>, Cenxi Yuan<sup>u</sup>, Chengzhuo Yuan<sup>k</sup>, Ying Yuan<sup>m</sup>, Zhenxiong Yuan<sup>n</sup>, Baobiao Yue<sup>u</sup>, Noman Zafar<sup>bq</sup>, Vitalii Zavadskyi<sup>br</sup>, Shan Zeng<sup>k</sup>, Tingxuan Zeng<sup>k</sup>, Yuda Zeng<sup>u</sup>, Liang Zhan<sup>k</sup>, Aiqiang Zhang<sup>n</sup>, Bin Zhang<sup>ak</sup>, Binting Zhang<sup>k</sup>, Feiyang Zhang<sup>ad</sup>, Haosen Zhang<sup>k</sup>, Honghao Zhang<sup>u</sup>, Jialiang Zhang<sup>aa</sup>, Jiawen Zhang<sup>k</sup>, Jie Zhang<sup>k</sup>, Jin Zhang<sup>ab</sup>, Jingbo Zhang<sup>v</sup>, Jinnan Zhang<sup>k</sup>, Mohan Zhang<sup>k</sup>, Peng Zhang<sup>k</sup>, Qingmin Zhang<sup>ai</sup>, Shiqi Zhang<sup>u</sup>, Shu Zhang<sup>u</sup>, Shuihan Zhang<sup>k</sup>, Tao Zhang<sup>ad</sup>, Xiaomei Zhang<sup>k</sup>, Xin Zhang<sup>k</sup>, Xuantong Zhang<sup>k</sup>, Yinhong Zhang<sup>k</sup>, Yiyu Zhang<sup>k</sup>, Yongpeng Zhang<sup>k</sup>, Yu Zhang<sup>k</sup>, Yuanyuan Zhang<sup>ae</sup>, Yumei Zhang<sup>u</sup>, Zhenyu Zhang<sup>ah</sup>, Zhijian Zhang<sup>s</sup>, Jie Zhao<sup>k</sup>, Rong Zhao<sup>u</sup>, Runze Zhao<sup>k</sup>, Shujun Zhao<sup>ak</sup>, Dongqin Zheng<sup>t</sup>, Hua Zheng<sup>s</sup>, Yangheng Zheng<sup>o</sup>, Weirong Zhong<sup>t</sup>, Jing Zhou<sup>j</sup>, Li Zhou<sup>k</sup>, Nan Zhou<sup>w</sup>, Shun Zhou<sup>k</sup>, Tong Zhou<sup>k</sup>, Xiang Zhou<sup>ah</sup>, Jingsen Zhu<sup>ac</sup>, Kangfu Zhu<sup>ai</sup>, Kejun Zhu<sup>k</sup>, Zhihang Zhu<sup>k</sup>, Bo Zhuang<sup>k</sup>, Honglin Zhuang<sup>k</sup>, Liang Zong<sup>n</sup>, Jiaheng Zou<sup>k</sup>, Sebastian Zwickel<sup>bc</sup>

```
<sup>a</sup>Yerevan Physics Institute, Yerevan, Armenia
                                                            <sup>b</sup>Université Libre de Bruxelles, Brussels, Belgium
                                                          <sup>c</sup>Universidade Estadual de Londrina, Londrina, Brazil
                                              <sup>d</sup>Pontificia Universidade Catolica do Rio de Janeiro, Rio de Janeiro, Brazil
                                  <sup>e</sup>Millennium Institute for SubAtomic Physics at the High-energy Frontier (SAPHIR), ANID, Chile
                                                        <sup>f</sup>Pontificia Universidad Católica de Chile, Santiago, Chile
                                                     g Universidad Tecnica Federico Santa Maria, Valparaiso, Chile
                                               <sup>h</sup>Beijing Institute of Spacecraft Environment Engineering, Beijing, China
                                                                <sup>i</sup>Beijing Normal University, Beijing, China
                                                            <sup>j</sup>China Institute of Atomic Energy, Beijing, China
                                                             <sup>k</sup>Institute of High Energy Physics, Beijing, China
                                                         <sup>1</sup>North China Electric Power University, Beijing, China
                                                           <sup>m</sup>School of Physics, Peking University, Beijing, China
                                                                    <sup>n</sup>Tsinghua University, Beijing, China
                                                      <sup>o</sup>University of Chinese Academy of Sciences, Beijing, China
                                                                    <sup>p</sup>Jilin University, Changchun, China
                          <sup>q</sup>College of Electronic Science and Engineering, National University of Defense Technology, Changsha, China
                                                                 <sup>r</sup>Chongqing University, Chongqing, China
                                                         <sup>s</sup>Dongguan University of Technology, Dongguan, China
                                                                    <sup>t</sup>Jinan University, Guangzhou, China
                                                               <sup>u</sup>Sun Yat-Sen University, Guangzhou, China
                                                              VHarbin Institute of Technology, Harbin, China
                                                     w University of Science and Technology of China, Hefei, China
                                <sup>x</sup>The Radiochemistry and Nuclear Chemistry Group in University of South China, Hengyang, China
                                                                     y Wuyi University, Jiangmen, China
Shandong University, Jinan, China, and Key Laboratory of Particle Physics and Particle Irradiation of Ministry of Education, Shandong University, Qingdao,
                                                                                     China
                                                                   aa Nanjing University, Nanjing, China
```

<sup>ab</sup>Guangxi University, Nanning, China

<sup>ac</sup>East China University of Science and Technology, Shanghai, China

<sup>ad</sup>School of Physics and Astronomy, Shanghai Jiao Tong University, Shanghai, China

<sup>ae</sup>Tsung-Dao Lee Institute, Shanghai Jiao Tong University, Shanghai, China

<sup>af</sup>Institute of Hydrogeology and Environmental Geology, Chinese Academy of Geological Sciences, Shijiazhuang, China

<sup>ag</sup>Nankai University, Tianjin, China

<sup>ah</sup>Wuhan University, Wuhan, China

```
aiXi'an Jiaotong University, Xi'an, China
                                             <sup>aj</sup>Xiamen University, Xiamen, China
                    ak School of Physics and Microelectronics, Zhengzhou University, Zhengzhou, China
                         <sup>al</sup>Institute of Physics, National Yang Ming Chiao Tung University, Hsinchu
                                            <sup>am</sup>National United University, Miao-Li
                                 <sup>an</sup>Department of Physics, National Taiwan University, Taipei
                     <sup>ao</sup>Charles University, Faculty of Mathematics and Physics, Prague, Czech Republic
                            <sup>ap</sup>University of Jyvaskyla, Department of Physics, Jyvaskyla, Finland
                           aq IJCLab, Université Paris-Saclay, CNRS/IN2P3, 91405 Orsay, France
            ar University of Bordeaux, CNRS, LP2i, UMR 5797, F-33170 Gradignan, F-33170 Gradignan, France
                        as IPHC, Université de Strasbourg, CNRS/IN2P3, F-67037 Strasbourg, France
                             at Centre de Physique des Particules de Marseille, Marseille, France
                    au SUBATECH, Université de Nantes, IMT Atlantique, CNRS-IN2P3, Nantes, France
                              av APC, Université de Paris, CNRS/IN2P3, F-75013 Paris, France
                           <sup>aw</sup>LLR, École polytechnique, CNRS/IN2P3, F-91120 Palaiseau, France
                         ax OMEGA, École polytechnique, CNRS/IN2P3, F-91120 Palaiseau, France
                        <sup>ay</sup>III. Physikalisches Institut B, RWTH Aachen University, Aachen, Germany
                      <sup>az</sup>Institute of Experimental Physics, University of Hamburg, Hamburg, Germany
                   ba Forschungszentrum Jülich GmbH, Nuclear Physics Institute IKP-2, Jülich, Germany
             bb Institute of Physics and EC PRISMA+, Johannes Gutenberg Universität Mainz, Mainz, Germany
                                   bc Technische Universität München, München, Germany
              bd Helmholtzzentrum für Schwerionenforschung, Planckstrasse 1, D-64291 Darmstadt, Germany
                     <sup>be</sup>Eberhard Karls Universität Tübingen, Physikalisches Institut, Tübingen, Germany
            <sup>bf</sup>INFN Catania and Dipartimento di Fisica e Astronomia dell Università di Catania, Catania, Italy
       <sup>bg</sup>Department of Physics and Earth Science, University of Ferrara and INFN Sezione di Ferrara, Ferrara, Italy
               <sup>bh</sup>INFN Sezione di Milano and Dipartimento di Fisica dell Università di Milano, Milano, Italy
                          bi INFN Milano Bicocca and University of Milano Bicocca, Milano, Italy
                               <sup>bj</sup>INFN Milano Bicocca and Politecnico of Milano, Milano, Italy
                                           bk INFN Sezione di Padova, Padova, Italy
        <sup>bl</sup>Dipartimento di Fisica e Astronomia dell'Università di Padova and INFN Sezione di Padova, Padova, Italy
<sup>bm</sup>INFN Sezione di Perugia and Dipartimento di Chimica, Biologia e Biotecnologie dell'Università di Perugia, Perugia, Italy
                                   n Laboratori Nazionali di Frascati dell'INFN, Roma, Italy
                             bo University of Roma Tre and INFN Sezione Roma Tre, Roma, Italy
                                bp Institute of Electronics and Computer Science, Riga, Latvia
                        bq Pakistan Institute of Nuclear Science and Technology, Islamabad, Pakistan
                                    <sup>br</sup>Joint Institute for Nuclear Research, Dubna, Russia
                    bs Institute for Nuclear Research of the Russian Academy of Sciences, Moscow, Russia
                                   bt Lomonosov Moscow State University, Moscow, Russia
          <sup>bu</sup>Comenius University Bratislava, Faculty of Mathematics, Physics and Informatics, Bratislava, Slovakia
                 bv Department of Physics, Faculty of Science, Chulalongkorn University, Bangkok, Thailand
                       bw National Astronomical Research Institute of Thailand, Chiang Mai, Thailand
                            bx Suranaree University of Technology, Nakhon Ratchasima, Thailand
                 by Department of Physics and Astronomy, University of California, Irvine, California, USA
```

## Abstract

The main task of the Top Tracker detector of the neutrino reactor experiment Jiangmen Underground Neutrino Observatory (JUNO) is to reconstruct and extrapolate atmospheric muon tracks down to the central detector. This muon tracker will help to evaluate the contribution of the cosmogenic background to the signal. The Top Tracker is located above JUNO's water Cherenkov Detector and Central Detector, covering about 60% of the surface above them. The JUNO Top Tracker is constituted by the decommissioned OPERA experiment Target Tracker modules. The technology used consists in walls of two planes of plastic scintillator strips, one per transverse direction. Wavelength shifting fibres collect the light signal emitted by the scintillator strips and guide it to both ends where it is read by multianode photomultiplier tubes. Compared to the OPERA Target Tracker, the JUNO Top Tracker uses new electronics able to cope with the high rate produced by the high rock radioactivity compared to the one in Gran Sasso underground laboratory. This paper will present the new electronics and mechanical structure developed for the Top Tracker of JUNO along with its expected performance based on the current detector simulation.

Keywords: JUNO, Top Tracker, plastic scintillator, photomultiplier, PMT, multianode, WLS fibre, neutrino, muons

<sup>\*</sup>Corresponding authors

#### 1. Introduction

The Jiangmen Underground Neutrino Observatory (JUNO) [1, 2], under installation in the South of China at a distance of about 53 km from two nuclear plants, is a multipurpose experiment with the main goal of determining the neutrino mass ordering. For this purpose, the experiment will use a 20 kt liquid scintillator detector with a very good energy resolution. At the same time, JUNO will also measure with a sub-percent accuracy the oscillation parameters  $\theta_{12}$ ,  $\Delta m_{21}^2$  and  $\Delta m_{31}^2$ . JUNO will be able to detect neutrinos from other natural sources as, e.g., geo-neutrinos and supernova explosions [2, 3].

The JUNO detector is composed of a central detector containing an acrylic sphere in which the liquid scintillator is located, surrounded by 17612 large (20") and 25600 small (3") photomultipliers (PMTs). The central detector is immersed in a water Cherenkov detector instrumented with 2400 large PMTs. A muon tracker, called Top Tracker (TT), is placed on top of those detectors. The water Cherenkov detector and the Top Tracker combined form the Veto system of JUNO that was conceived to track and veto almost all muons crossing the detector. A detailed description of the experimental equipment can be found in [2] and the above listed elements are also shown in Fig. 1. In this figure is also identified the position of the calibration house, required for the central detector calibration, and the chimney connecting them. In order to fit the chimney and calibration house, the central layers of the Top Tracker were moved to a higher position, as is also shown in Fig. 1. The detector will be placed in an underground laboratory with an overburden corresponding to about 700 m depth (1800 m.w.e). At this depth it is still expected to have about 15 atmospheric muons per hour and per m<sup>2</sup> with a mean energy of 207 GeV. These muon tracks can induce a background very similar to the inverse beta decay (IBD) interactions produced by reactor neutrinos.

The most dangerous background induced by these atmospheric muons, which are produced by the interaction of cosmicrays with the Earth's atmosphere, comes from the generation in the detector or in the surrounding rock of <sup>9</sup>Li and <sup>8</sup>He unstable elements, and fast neutrons. Fig. 1 presents schematically these background contributions. The main contribution comes from <sup>9</sup>Li and <sup>8</sup>He produced directly in the central detector and in the water Cherenkov detector while the last one concerns the neutron production in the surrounding rock.

The present simulations show that IBD interactions and cosmogenic background mimicking these interactions have comparable rates. For this reason the rejection of this background contribution and the study of its impact on the systematic errors is very important in JUNO. This is the main role of the Top Tracker. All OPERA experiment [4] Target Tracker [5] plastic scintillating modules have been recovered and sent to China where they will be used to form the JUNO Top Tracker.

In the OPERA experiment, the Target Tracker modules were shielded by the emulsion/lead bricks, while in the JUNO cavern the TT will be directly exposed to rock radioactivity. On top of that, the radioactivity in the JUNO cavern is measured to be about two orders of magnitude higher than the one observed in the underground Laboratori Nazionali del Gran Sasso (LNGS)

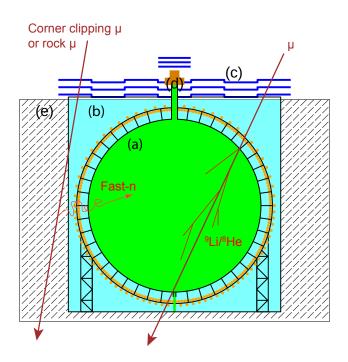


Figure 1: Schematic view of the JUNO detector with the (a) central detector, (b) water Cherenkov detector and (c) Top Tracker. The (d) calibration house and the chimney connecting it to the central detector are also shown. Configurations considered for the induced noise, from either fast neutrons or cosmogenic isotopes, in the central detector, the water Cherenkov detector and the (e) surrounding rock are also illustrated.

where the OPERA experiment was located. For these reasons, the electronics of the OPERA Target Tracker had to be replaced by a significantly faster version.

The 496 OPERA Target Tracker modules are only enough to cover only about 60% of the top surface of the JUNO central detector and thus can track only 30% of the total muon flux. For this reason, the TT will participate in the JUNO veto for the muons traversing it. It is worth noticing here that about half of the atmospheric muons pass by the sides of the water Cherenkov detector without crossing the top surface where the TT is located. The water Cherenkov detector surrounding all the JUNO central detector will be used as veto to reject events coming from all directions, including those missed by the TT.

A large number of well tagged <sup>9</sup>Li and <sup>8</sup>He will allow to well measure the energy spectrum and rate of this background. The TT can also be used to select and well reconstruct a sample of muon tracks to be used to tune and validate the reconstruction algorithms based on central and water Cherenkov detectors' information. This is possible because of the centimetre level accuracy on the position of the muon tracks crossing the TT

This paper describes in Sec. 2 the detection principle and TT geometry. This is followed by a description of the expected rates in the detector along different levels of trigger in Sec. 3. The Top Tracker electronics designed to perform the data readout and trigger under the expected background conditions is extensively presented in Sec. 4. In Sec. 5 the current Top Tracker reconstruction and its performance is presented. Details on the

operation modes of the Top Tracker are detailed in Sec. 6, which is followed by a description of a prototype of the Top Tracker in Sec. 7 where these modes were extensively tested. Elements concerning the supporting structure for the Top Tracker modules and installation are provided in Sec. 8. Long term stability monitoring of the Top Tracker modules is presented in Sec. 9. Finally, a summary and concluding remarks are presented in Sec. 10.

#### 2. Description of the Top Tracker

As described in [5] presenting the OPERA Target Tracker, the TT uses 6.86 m long, 10.6 mm thick, 26.4 mm wide scintillator strips read on both sides using Wave Length Shifting (WLS) fibres and Hamamatsu $^1$  8 × 8 channels multianode photomultipliers (MA-PMT) H7546. The technology used in the TT is very reliable due to the robustness of its components. Delicate elements, like electronics and MA-PMTs are located outside the sensitive area where they are easily accessible.

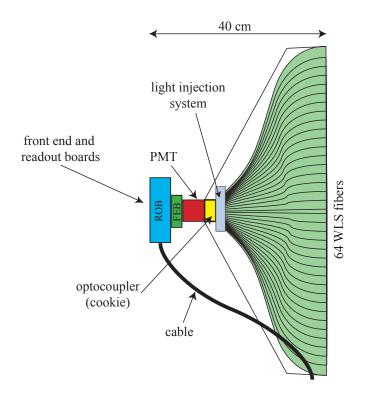


Figure 2: Schematic view of an end-cap of a scintillator strip module.

A basic unit (module) of the TT consists of 64 strips read out by WLS fibres routed at both ends to two 8×8-channel photodetectors placed inside the end-caps (Fig. 2). Four modules are assembled together to construct a tracker plane covering  $6.7\times6.7$  m² sensitive surface. Two planes of 4 modules each are placed perpendicularly to form a tracker wall providing 2D track information (Fig. 3). The inherited OPERA Target

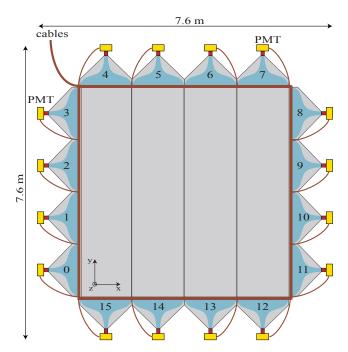


Figure 3: Schematic view of a plastic scintillator strip wall. In addition to the 4 modules clearly visible in the drawing, there are 4 additional modules placed below them in a perpendicular orientation, going for example between the PMTs numbered 0–11.

Tracker contains 62 walls, with a total number of 31744 scintillating strips for 63488 electronic channels.

The JUNO Top Tracker walls, contrarily to their utilisation in OPERA, have to be placed horizontally as shown by Fig. 4. A bridge is placed on top of the JUNO Central Detector serving as support for the TT structure. The three layers of the TT are placed on this bridge, with overlapping walls to limit the dead zones. The distance of 1.5 m between each layer is a compromise between the muon track reconstruction accuracy and the total size of the needed supporting mechanical structure. The position of the bottom layer is defined by the minimum distance between the surface of the water of the Cherenkov veto detector and the TT. Three walls over a distance of 21 m are placed in one horizontal direction and 7 walls over a distance of 40 m in the other direction, leaving a hole in the middle for the JUNO chimney (20 walls per layer). In this way, 60 walls are used, while the modules of the remaining two walls are reshuffled and placed on top of the chimney, part of the JUNO detector not surrounded by the Water Cherenkov veto detector. To have three full layers just on top of the chimney, one full TT wall is placed in the lowest part and two partial TT walls are placed in the middle and upper parts, each of those separated by 23 cm. The partial walls above the chimney contain only the 2 central modules in each layer, for a total of 4 modules per wall, and thus fully covering only the centre of the chimney.

According to their position, the modules of each wall are placed facing the top or bottom directions in a way to have their end caps, and, in particular, their electronics, accessible from outside.

<sup>&</sup>lt;sup>1</sup>Hamamatsu Photonics K.K., Electron Tube Center, 314–5, Shimokanzo, Toyooka-village, Iwata-gun, Shizuoka-ken, 438–0193, Japan.

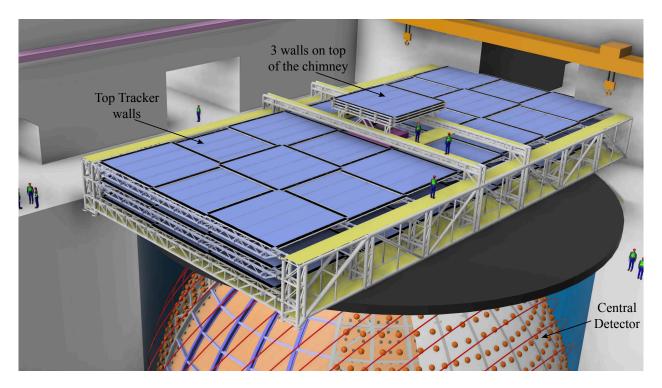


Figure 4: Schematic view of the JUNO Top Tracker on top of the Central Detector.

#### 3. Trigger rate

While, as discussed previously, the goal of the TT is to detect atmospheric muons, these are not the most abundant particles reaching the TT. Indeed, the natural radioactivity of the elements composing the TT (0.29  $\pm$  0.05 Hz/strip, or  $\sim$  9 kHz in the entire detector) [5] is larger than the expected ~ 4 Hz of muons crossing the entire Top Tracker. This natural radioactivity rate is, however, also about three orders of magnitude smaller than the events induced in the TT due to the radioactivity of the rock of the cavern where the detector will be installed. The trigger rate of the TT will be directly tied to this rock radioactivity, which will be described in this section. To cope with it, specific electronics described in Sec. 4 will be needed. Due to the sizeable difference in rate between the detector natural radioactivity and that from the cavern, along with an expected similarity between the two types of signature, the detector's natural radioactivity will be neglected for the rest of this paper.

During the prospecting of the JUNO site, rock samples were collected to determine the content of radioisotopes. It was determined<sup>2</sup> that the rock radioactivity at the JUNO site is about two orders of magnitude higher than that in the LNGS where the detector had been previously used, as shown in Tab. 1. In addition to the higher radioactivity from the cavern, in JUNO the TT will also be directly exposed to the rock, while the OPERA TT modules were shielded by lead/emulsion bricks.

The MA-PMT trigger rate induced by the rock radioactivity is estimated using the JUNO official simulation software [6],

Table 1: Rock radioactivity in LNGS and in the JUNO site.

Isotope	Activity (Bq/kg)		
	LNGS	JUNO site	
<sup>40</sup> K	$26 \pm 2$	$1340 \pm 50$	
$^{238}U$	$1.8 \pm 1$	$110 \pm 10$	
<sup>232</sup> Th	$1.5 \pm 1$	$105 \pm 10$	

where the TT modules are placed as previously described. In this study decays were individually simulated from the surrounding rock (within a 50 cm thickness from the rock surface) and the decay particles are then propagated using GEANT4 [7]. All energy deposited in the plastic scintillator is then converted into the expected signal at the MA-PMT based on the detector description in [5]. The MA-PMT is triggered when the collected signal is higher than the threshold of 1/3 photoelectron (p.e.). Based on these simulations, the average trigger rate per MA-PMT varies between 20 kHz and 60 kHz, as shown in Fig. 5. The trigger rate per channel has an average value of about 670 Hz, with some channels exceeding 1 kHz, which has to be compared with about a 20 Hz rate for the TT operation in the LNGS. As is also shown in Fig. 5, the rate observed in each MA-PMT will depend on its position given that the modules closer to the rock will be more exposed to radiation than the more central ones, and the upper layers provide some shielding for the lower ones.

The high trigger rate at the MA-PMT level expected due to the rock radioactivity imposes strong constraints on the new TT acquisition system. Given that the radioactivity produced by the decay of <sup>40</sup>K, <sup>232</sup>Th, <sup>238</sup>U and their decay products will not typically traverse more than one layer of scintillator, it is pos-

<sup>&</sup>lt;sup>2</sup>Measurements realised by Ph. Hubert (CENBG Bordeaux) using a Ge detector.

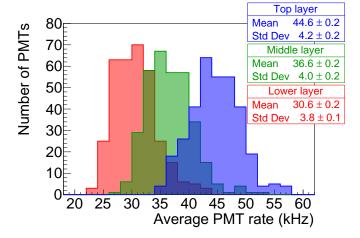


Figure 5: Trigger rate per MA-PMT for the three TT layers. The vertical axis represents how many MA-PMT have each expected average rate shown in the horizontal axis. A MA-PMT trigger threshold of 1/3 p.e. is assumed.

sible to reduce the amount of data generated during the JUNO experiment by requiring a time coincidence between triggers related to MA-PMTs along perpendicular modules in the same wall. Additionally, to further reduce the produced data, it is also required that these time coincidences are found in the three aligned TT walls of different layers. With these coincidence criteria the full detector event rate can be reduced from about 8 MHz, which corresponds to the total rate over the full detector from the per PMT rates shown in Fig. 5, to about 2 kHz, as shown in Fig. 6, which can be handled by the acquisition system. The two levels of time coincidence described correspond to the L1 and L2 triggers. The L1 trigger relates to the time coincidences within a single wall while the L2 trigger does the same at the level of the entire TT. The implementation of these coincidence criteria in the electronics is discussed in the following sections.

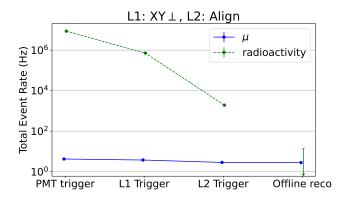


Figure 6: Total event rate of the full detector at various trigger levels, from the MA-PMT trigger rate up to the rate after events are reconstructed by the Top Tracker offline software, due to radioactivity in the surrounding rocks (green) and due to atmospheric muons (blue). The L1 selection criteria requires an X and Y coincidence involving 3 out of 4 MA-PMTs at the end of the corresponding modules in the perpendicular X and Y directions. The L2 selection criteria requires the L1 coincidences to be on 3 walls of the Top Tracker located on different layers.

It is worth noting that in Fig. 6 were chosen the L1 and L2 algorithms that are the most likely to be used in the Top Tracker, however the final decision has not yet been made. The L1 trigger, which is discussed in Sec. 4.3, provides about an order of magnitude reduction of the rate by requiring 100 ns coincident MA-PMT triggers in the two perpendicular planes of any given wall, and with at least one of the planes having the MA-PMTs on both sides of the module triggered. The L2 trigger, which is discussed in Sec. 4.4, provides another reduction factor of about 400 to the event rate by requiring at least 3 aligned walls on different layers of the detector to have produced a L1 trigger within a 300 ns sliding time window. In case an alternative algorithm is used in the L1 and L2 electronics cards, due to difficulty in implementing them, and the data reduction is not as efficient as discussed here, it is foreseen that the data acquisition system will be able to apply online additional criteria to reduce it to the rate described above.

It is also useful to note, as shown in Fig. 6 that the radioactivity rate can be further reduced offline by performing a full 3D reconstruction of the muon track, rather than just requiring walls to be aligned. This reconstruction is presented in Sec. 5 and corresponds to the "offline reco" level on the aforementioned figure, for which only a 68% upper limit is shown for radioactivity. Any potential remaining radioactivity induced events after the reconstruction can still be further reduced by requiring a correlated signal between the Top Tracker and the central detector or the water Cherenkov veto detector, after that the impact of the radioactivity becomes negligible compared to the atmospheric muon rate.

#### 4. Electronics

The global electronics conceptual readout chain is shown in Fig. 7. As it was previously mentioned, the TT is a set of 63 identical walls with similar mechanical constraints per wall which leads to the development of individual electronics per wall. This electronics chain is implemented at the TT wall level as shown in Fig. 8. Due to the limited thickness of the module end-caps (3 cm) the PMT readout had to be split in two boards: the front end board (FEB) directly connected to the MA-PMTs and the readout board (ROB) connected to the FEB and the wall concentrator board (CB). Both FEB and ROB are placed in the module end-caps next to the MA-PMT. The CB, located in the centre of each wall, configures and controls the 16 FEBs and ROBs in a wall, and performs the data readout for the full wall which will subsequently be transferred to the data acquisition system by the CB. The MA-PMTs are read out by the 64-channel MAROC3 [8] ASIC placed on the FEB. The ROB is placed after the FEB to receive the MA-PMT data and to send them to the CB that gathers the data from the 16 sensors of a TT wall. A split-power placed at the level of the CB powers the MA-PMTs, the FEB, the ROB and the CB.

The charge and hit information are given by the MAROC3 chip together with an "OR" trigger signal used by the CB for time-stamping. The CB identifies x - y correlations at the level of the wall (L1 trigger) with a sliding time window of 100 ns. In the absence of correlations in a wall the CB will send back

a RESET signal to the ROB in order to reduce the dead time of the FEB MAROC3 (between 7–14  $\mu$ s). In case a coincidence is detected the CB sends this information to a Global Trigger Board (GTB), which further searches for correlations at the level of all three TT layers (L2 trigger) with a sliding window of 300 ns. The GTB sends back a validation or a rejection signal to the CBs that, correspondingly, either sends the related data to the JUNO data acquisition system or issues a reset signal to the relevant ROBs in about 1  $\mu$ s. Both trigger levels are essential to significantly reduce the TT data rate, as discussed previously, and to reduce the detector dead time of the FEB MAROC3.

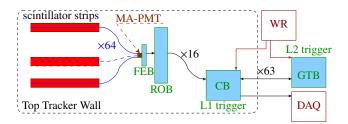


Figure 7: TT electronics chain. All electronics cards developed for the TT are shown in blue and are the front end board (FEB), readout board (ROB), concentrator board (CB) and global trigger board (GTB). The FEB and ROB are installed in each module end-cap. The FEB is connected to the MA-PMT that observes the light collected by WLS fibres in the 64 scintillator strips of each module and to the ROB. The ROB is connected to the FEB and to the CB of that specific wall. The CB is also connected to the wall and is connected to all 16 ROBs of the wall. The CB is also connected to the white rabbit (WR) switch, to the data acquisition system (DAQ) and to the GTB. The GTB, in addition to being connected to the 63 CBs of the TT, will also be connected to the WR switch.

## 4.1. Front End Board (FEB)

The FEB is an 8-layer PCB, 3 cm × 13 cm in size, carrying the MAROC3 and a small Spartan6 FPGA used to serialise the hit signals from 64 parallel outputs to 8 serial links. This operation allows the ROB to obtain the information of which channels have triggered, which is then used for online zero suppression if that is the set acquisition mode. The board is directly plugged to the MA-PMT, as shown in Fig. 9. The lines from the MA-PMT to the MAROC3 inputs are well separated and protected from external noise sources by four ground planes in the PCB.

The readout electronics of the Top Tracker is based on a 64-channel ASIC, the MAROC3 designed by the Omega laboratory [8]. The chip is provided in a  $12 \text{ mm} \times 12 \text{ mm}$  TFBGA package with 353 pins. The main characteristics of this chip are:

• Configurable gain compensation (called gain equalisation factor in the following, g.e.f.), varying from 0 to 3.9, to equalise the anode-to-anode gain differences of the MA-PMT channels [5]. The MAROC3 is equipped with an adjustable gain system incorporated in the preamplifier stage delivering an identical amplification factor to both, the fast shaper (trigger arm) and slow shaper (charge measurement arm), of each channel.

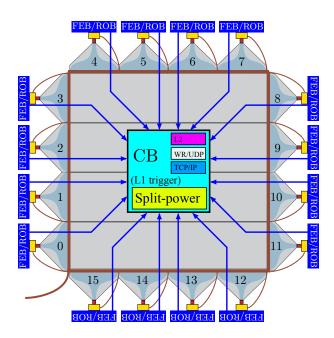


Figure 8: Schematic view of the TT wall electronics. The FEB and ROB at each end-cap are connected to the CB which performs the L1 trigger. The CB connections are shown as boxes in the top right corner of the CB box. In particular the CB is connected to the L2, to the white rabbit (WR) switch via UDP and to the data acquisition system via TCP. Next to the CB in the wall is also located the split-power board that distributes the power for all ROBs and the CB in each wall.

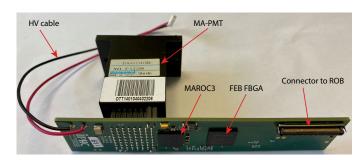


Figure 9: The FEB connected to a MA-PMT.

- Delivery of a global low noise auto-trigger with 100% trigger efficiency for minimum ionising particles, using a threshold as low as 1/3 p.e., corresponding to 53 fC for a total gain of 10<sup>6</sup>.
- Delivery of a charge proportional to the signal detected by each MA-PMT channel in a dynamic range up to 5 pC (~ 30 p.e.<sup>3</sup>).

As mentioned in the list above, each of the 64 channels comprises a low noise variable gain preamplifier that feeds both a trigger and a charge measurement arm (Fig. 10). The autotrigger includes a fast shaper followed by a comparator. This signal is compared with an adjustable threshold to deliver a trigger signal for each channel. The trigger decision is provided by

<sup>&</sup>lt;sup>3</sup>A gain of 10<sup>6</sup> is assumed in all charge to p.e. conversions in this paper.

the logical "OR" of all 64 channels. A malfunctioning channel can be disabled from the trigger decision through a mask register.

The charge measurement arm consists of a slow shaper followed by a Track&Hold buffer. The slow shaper has a gain of 121 mV/pC (equivalent to 19 mV/p.e.) and a long peaking time to minimise the sensitivity to the signal arrival time. Upon a trigger decision, charges are stored in capacitors and the 64 channels are readout sequentially through a single analogue output, called OutQ, at a 10 MHz frequency. The charge output can also be directly read with an 8, 10 or 12 bits internal Wilkinson ADC. For the TT the 8 bit readout was selected to be used with the Wilkinson ADC to reduce the readout deadtime. The output clocking frequency is 80 MHz. In the 8 bit case all 64 channels are read in less than 14 µs, and while the channels are being read the MAROC3 will not be available to measure another charge resulting in detector deadtime. This time can be reduced if a reset signal is received from the CB via the ROB, at which point the readout and transfer process is stopped and the MAROC3 is ready to start again charge measurement. It's worth noting that this deadtime does not affect the trigger system discussed in the previous paragraph.

The MAROC3 chip consumes approximately 220 mW (3.5 mW/channel), with a small variation depending upon the gain correction settings and ranges.

The variable gain system is activated by eight switches which allow setting the g.e.f. varying from 0 to 3.9. By turning off all current switches of a channel, the g.e.f. is zeroed and the channel is disabled. For a g.e.f. of 1, the switches are set to 64.

The trigger efficiency can be measured as a function of the injected charge for each individual channel. 100% trigger efficiency is obtained for input charge as low as 0.1 p.e., independently of the g.e.f. With the trigger threshold set externally and common to all channels, the output spread among the 64 channels can be carefully controlled. It is found to be around 0.01 p.e., an order of magnitude smaller than the useful threshold level.

Upon a trigger decision, all the capacitors storing the charge are read sequentially through a shift register made by D-flip-flop. The pedestal level is less than 1/3 p.e. considered as the final TT trigger level.

The reproducibility in the charge measurement has been determined for all channels of the same chip and found to be better than 2% over the full range 1–10 pC for a g.e.f. of 1, corresponding to 1–35 p.e. (Fig. 11).

Cross-talk related to the ASIC has been carefully considered. Two main sources of cross-talk have been identified. The first one comes from a coupling between the trigger and the charge measurement arms and has been determined to be lower than 0.1%. The second one affects the nearest neighbours of a hit channel, where a cross-talk of the order of 1% has been measured. This effect is mainly due to the routing of traces in the board and is negligible for far channels.

The FEB contains buffer amplifiers for the differential charge output signals of the MAROC3 and logic level translators for the digital signals. The FEB is connected to the ROB board by means of one 100 pins coaxial connector. This two-board

arrangement conforms to the mechanical constraints of the TT module's end-cap geometry.

### 4.2. ReadOut Board (ROB)

The ROB manages the FEB power, acquisition and slow control. It is an 8-layer PCB developed in collaboration with CAEN (mod. A1703) based on an ALTERA Cyclone V GX FPGA. A picture of the PCB top and bottom views is shown in Fig. 12.

In standard acquisition mode, the FPGA state machine waits for the detection of a FEB "OR" signal. Following the detection of this signal, a Hold signal is issued, for charge measurement in the MAROC3 chip, with a tunable delay and a time jitter lower than 1 ns. In this way, a charge measurement precision better than 1% is achieved. The triggered channel pattern is also acquired and used for zero-suppression, as discussed previously. The ROB features a 12 bit Flash ADC converter AD9629BCPZ-65 [9] by Analog Devices for the read out of the OutQ signal from MAROC3 chip (analogue output proportional to the charge to be measured). In such a way, the readout of one event is performed in about 7 µs. The readout of the charge converted by the internal Wilkinson ADC discussed previously is also possible, however that will require up to the previously mentioned 14 µs. Due to this difference in the time required for the charge readout, the Flash ADC is expected to be used for charge measurement during data taking.

The ROB also hosts a High Voltage module to supply the MA-PMT, which is operated in a range from -1000 to -800 V, with a current lower than 500  $\mu A$ , and a test pulse unit for calibration purposes.

The HV module is the A7511N DC–DC converter by CAEN [10] with a ripple lower than 10 mV. Tests carried out on a detector prototype have shown that the DC–DC converter noise contribution to the MA-PMT output signal is lower than 1 mV.

The test pulse generators, illuminating the fibres in the endcaps of the TT modules, are the same used in OPERA [5]. The generator produces short pulses, 20 ns wide with adjustable amplitude, powering blue LEDs which excite the WLS fibres exiting the scintillator strips. In the calibration procedure the pulse amplitude is set in such a way to simulate the single photoelectron signal on the MA-PMT while the HOLD signal is issued with an adjustable delay with respect to the LED driver pulses, emulating the acquisition of standard signals. Pedestals can be measured with random emission of HOLD signals inside the ROB. These various data acquisition modes will be further described in Sec. 6.

At nominal working conditions on a detector prototype, an operating current of 350 mA has been measured at the nominal operating voltage of 12 V supplied to the ROB, powering also the FEB and the MA-PMT.

Finally, the ROB includes the possibility to remotely reflash the FEB Spartan6 FPGA, to facilitate remote system operation.

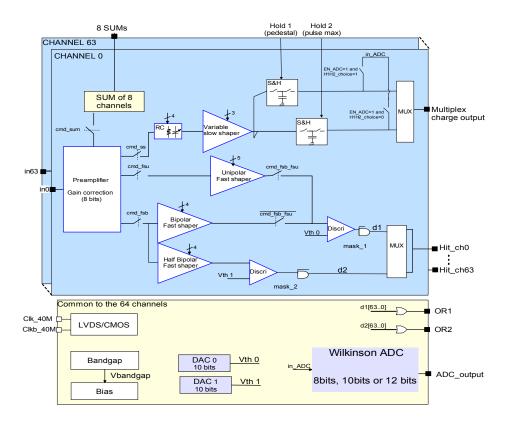


Figure 10: Architecture of MAROC3 ASIC [8].

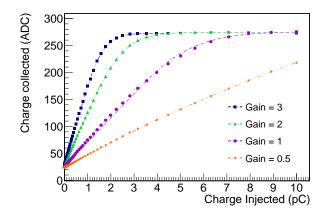


Figure 11: Linearity of the charge measurement as function of the input charge for a g.e.f. set to 0.5, 1, 2 and 3.

## 4.3. Concentrator Board (CB)

At the centre of each TT wall is the CB, as shown in Fig. 8. Its main role is to aggregate the 16 incoming ROB data streams, perform data selection (Level 1 trigger), and forward the reduced data stream to the data acquisition system. All 63 CBs present in the detector operate in parallel and fully independently, but with a well-synchronised timing distribution system based on the White-Rabbit (WR) standard [11].

#### 4.3.1. Interfacing to 16 ROBs

Placing the CB at each wall makes it possible to reduce the number of connections to the data acquisition system from 992 (counting one for each ROB) to just 63 for the whole detector. Such wall-centric organisation is shown in Fig. 8.

The board provides four remote connections: one copper cable for the system power supply at +12~V~(PWR) and three optical fibres for long-distance data links. One of the optical links implemented in the CB is used for the connection to the Detector Control System using the User Datagram Protocol (UDP) and for the WR time synchronisation system. Another optical link implements a dedicated ethernet connection (TCP) to the data acquisition system and the final link connects the CB to the GTB (see Sec. 4.4) for the Level 2 trigger selection.

All local connections between ROBs and the CB are implemented using Cat5e cables. Associated with the CB board is a small power-distribution card referred to as Split-Power Board that is briefly described in Sec. 4.3.4.

## 4.3.2. L1 trigger selection

As explained in Sec. 3, the data stream produced by a ROB card is dominated by radioactivity induced background events. At the expected 50 kHz average ROB trigger rate, the resulting data rate from a thousand ROBs would be unmanageable at the data acquisition level.

As discussed previously, a reduction of the data rate by an order of magnitude can be achieved using the CB to select only

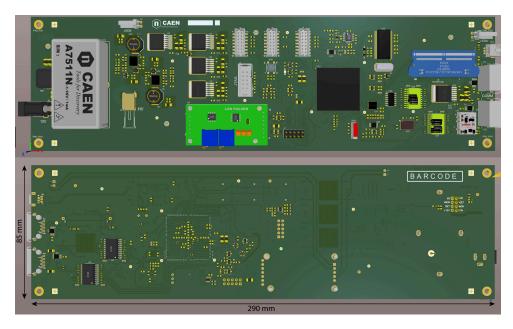


Figure 12: Top and bottom sides of the ROB.

events in x-y coincidence (L1 trigger level). A coincidence is defined here as a set of 2, 3 or 4 FEB/ROB triggers arriving in the same time window of 100 ns and associated with two perpendicular modules in the same wall. The L1 selection algorithm is implemented as a sliding window on the signals from all 16 ROBs. To protect from classification ambiguities, any coincidence detected within this window will result in accepting all ROB data inside the window, even if some signals are not correlated to the event.

The triggers arriving at the CB are time-stamped with a resolution of 1 ns using the absolute time reference provided by the WR node implemented in the CB. For FEB/ROB triggers found to be in coincidence, the CB waits for the associated ROB data packets containing the signal position and its charge value. After merging the timing and charge information, the modified packets are transferred to the data acquisition system, provided the L2 trigger did not reject this L1 trigger. FEB/ROB triggers that do not produce a L1 trigger, or that are rejected by the L2 trigger, are rejected and a reset signal is sent to the corresponding ROB to abort charge digitisation as well as the associated data transfer to the CB.

The overall system synchronisation provided by the WR protocol is necessary for a correct data reconstruction in the data acquisition system and for data analysis. It is also used for communicating the coincidences to the GTB (a partial timestamp of the L1 trigger is sent from the CB to the GTB) that will confirm or reject them in accordance with the L2 trigger selection applied at the detector level.

#### 4.3.3. CB implementation

The CB has been implemented as an FPGA-centred readout system with a motherboard that accommodates a daughter card hosting the processing unit (System-On-Module, SOM). A block diagram of the CB is shown in Fig. 13 and the preproduction prototype is shown in Fig. 14.

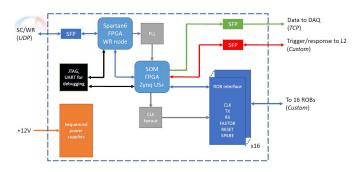


Figure 13: CB block diagram.

The CB motherboard is a 34 cm  $\times$  20 cm, 8-layer card that provides all the interface-specific electronics components for supporting the LVDS<sup>4</sup>-based connections to the 16 ROBs and the optical connections as described earlier. It provides a set of all necessary power supply voltages and their sequenced activation to satisfy the requirements of this FPGA-based readout system. The board also hosts an integrated WR-node that is implemented in a Spartan6 FPGA [12]. This FPGA also interfaces the slow-control UDP traffic going to and from the CB with the main FPGA in the daughter card.

The daughter-card is an embedded high-performance, FPGA-based system on module, which controls and processes all incoming and outgoing data streams. It is a 10 cm × 7 cm, 16-layer PCB that hosts the Xilinx System-on-Chip (SoC) of the latest generation, Zynq Ultrascale+CG [13], accompanied by a 2 GB of on-board DDR4 memory. The programmable-logic part of this FPGA controls the communication with the 16 ROBs and the link to the GTB, both based on custom protocols. Two of the four available dedicated hardware CPUs in

<sup>&</sup>lt;sup>4</sup>Low Voltage Differential Signalling.



Figure 14: CB motherboard and the daughtercard.

the SoC manage in parallel the TCP traffic going to the data acquisition system and the slow-control.

#### 4.3.4. Split-power Board

The Split-power board, shown in Fig. 15, is a dedicated PCB for distributing power supply to all TT wall components. This board is approximately 15 cm  $\times$  15 cm in size and implemented in 2 copper layers. The power delivered by a remote power supply is fanned out to 16 ROBs and one CB. The board features re-settable fuses, shunt resistors and 16-bit precision I2C<sup>5</sup> current/voltage monitors in all the output power branches. An I2C bus runs between this PCB and the CB to allow the CB to monitor the power consumption of all these readout components.



Figure 15: Split-power board.

#### 4.4. Global Trigger Board (GTB)

The main role of the GTB is to perform a second level (L2) trigger working on the x-y coincidences selected by the L1 trigger of each TT wall. The idea is to accept interesting events depending on the spatial position of the walls involved by the passage of the crossing muons. The requirement of the algorithm implemented in the GTB is to find an alignment of the

The GTB will occupy the top of the trigger chain acting as the final sink of all the trigger requests and the single source of all the trigger validations. Such topmost position will give the possibility for the GTB to achieve also secondary tasks typical of a trigger root node, such as an injection of a technical trigger (periodically or randomly generated), a throttling of the trigger in case of anomalous situation in which part of the detector is affected by more noise, or a prescale of the trigger for commissioning studies. GTB will have to take validation/rejection decisions based only on the spatial position of the incoming requests and on the trigger time information associated to them. Indeed, each trigger request will be associated with a timestamp that specifies the time the corresponding hits have been generated in the FEB.

Currently, the algorithm for finding aligned x - y correlations over the three TT layers has not been decided yet. Several solutions have been studied in simulation. The most promising is the coincidence of three aligned walls each on a different layer, however the possibility of just requiring three walls without any alignment was also considered. Fig. 6 shows the reduction in terms of the total event rate applied by the L2 trigger for the most promising case. When only three walls, without any alignment, are required the GTB performs about 20 times worse. This both underlines the importance of alignment, while still showing that even a significantly less resource demanding algorithm on the GTB would already provide more than an order of magnitude reduction to the radioactivity rate passing the L1 trigger. Further solutions exploiting neural networks on the FPGA are also under study. Indeed, these tools are widely used for solving the problem of pattern recognition and are implementable in hardware with a limited number of resources with respect to an analytic solution of the problem. Given the nonhomogeneous geometry of the detector, specially with respect to the displaced walls for accommodating the chimney in the middle of the detector, an approximate solution of the alignment problem via pattern matching could lead to much easier implementation still keeping a very good level of efficiency in comparison to a linear fit of the positions in the centre of all triggered walls.

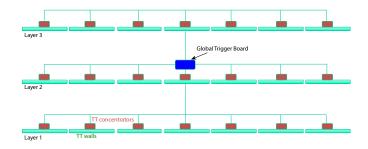


Figure 16: Schematic view of the TT global trigger strategy.

x-y coincidences over the three TT layers in a given time window. The second requirement is that this algorithm has to be very fast in order to give an online feedback. As discussed previously, this level of trigger will be able to further remove the background noise given by radioactivity, correlated electronic noise or MA-PMT dark noise.

<sup>&</sup>lt;sup>5</sup>Inter-Integrated Circuit.

The hardware implementation of the GTB relies on a single device connected to all the CBs (see Section 4.3) by means of bidirectional optical links. Indeed, each CB should send the trigger requests and receive back a validation/rejection that will be used for resetting the FEB and the data acquisition buffers. Fig. 16 shows a sketch of the connection scheme where the GTB is placed in the middle of the detector. The GTB should also accommodate a connection to the WR network that would guarantee the synchronisation with the CBs and will be used for configuring the GTB. An additional optical link is also needed for the GTB to be able to receive external triggers from the other JUNO systems. A total of 65 optical links is therefore needed in the GTB as shown in Fig. 17. While these two latter links are Gb connections, the 63 links from the CB are not expected to have a bandwidth larger than 400 Mbps since only a partial timestamp will be sent along with the trigger requests. This is possible because the GTB belongs to the common clock distribution system and hence it receives its full timestamp from the WR network.

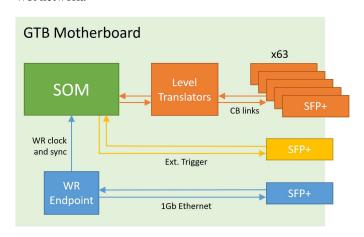


Figure 17: Block diagram of the GTB motherboard. The GTB motherboard hosts a SOM, a WR endpoint for clock distribution and synchronisation and 65 bidirectional optical transceivers (SFP+).

The present proposal is to implement the GTB as a motherboard hosting a SOM. Fig. 17 shows a block diagram of the GTB motherboard and Fig 18 shows a photo of the board. It will accommodate the optical modules and provide the power needed by the SOM that will host an FPGA able to receive the two network links on fast transceivers and the 63 CB links on normal pins in order to minimise the latency of the link. For homogeneity with the rest of the system, a Xilinx FPGA has been chosen. In particular, the commercially available off-the-shelf module Origami B20 [14] has been chosen as SOM for the GTB. It hosts a Kintex Ultrascale XCKU060 FPGA [15] that has more than 150 single ended I/Os routed to a Z-Ray connector.

## 5. Reconstruction

The main goal of the TT reconstruction is to determine the direction of atmospheric muons crossing the detector. In order to achieve this goal, the track reconstruction is divided in three

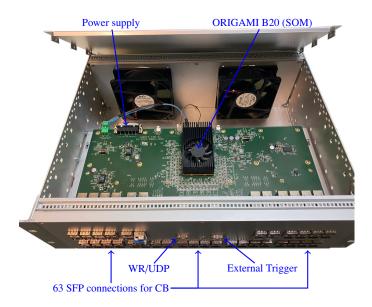


Figure 18: GTB motherboard and SOM.

successive steps. In the first step, for each MA-PMT, neighbouring triggered channels are clustered to reduce the impact of the cross-talk in the reconstruction. In the second step, the 3D crossing points of the muon are reconstructed based on information from both perpendicular detection planes of each wall participating in the x-y coincidence. In the final step, a 3D line is fitted to these 3D points via a  $\chi^2$  minimisation. This last step is done independently for every ensemble of more than three 3D points at different vertical positions, and fits with a bad  $\chi^2$  are rejected.

This track reconstruction is able to reduce the remaining radioactive noise rate in the detector by at least 2 orders of magnitude, as shown in Fig. 6, achieving a rate comparable to the expected atmospheric muon rate in the TT. While this algorithm is very efficient to reject background events due to radioactivity, it is also able to reconstruct almost all muons passing through the detector, with  $\sim 97\%$  of the muons having passed the L2 trigger condition being reconstructed in a sample simulated merging individual muons  $^6$  and the expected radioactive noise in a  $\pm 15~\mu s$  time window around them.

Thanks to the high granularity of the TT and the lever arm provided by the distance between its layers, the median angular resolution of the detector is of about 0.2°, as shown in Fig. 19. Projecting both the simulated and reconstructed tracks to the bottom of the water Cherenkov detector, which corresponds to the largest error of the reconstruction while still within the JUNO detector, the median resolution would correspond to about 20 cm, as shown in Fig. 20.

The long tail in these resolutions, containing about 1% of all reconstructed events, is due to poorly filtered cross-talk and noise leading to reconstructed tracks not corresponding to the simulated muons. Some more strict quality criteria for the TT reconstruction are under evaluation which can significantly re-

<sup>&</sup>lt;sup>6</sup>The muons were simulated using the expected energy and angular distribution in the JUNO cavern.

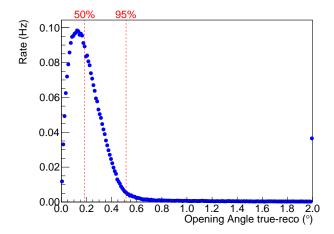


Figure 19: Expected angular resolution of the TT reconstruction. The last point includes also all events having an angular resolution larger than  $2^{\circ}$ .

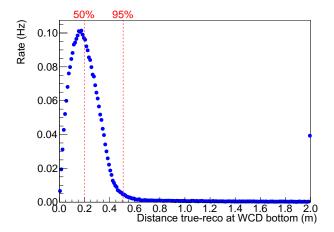


Figure 20: Distance between the points defined by the crossing of the plane corresponding to the bottom of the water Cherenkov detector (WCD) and the lines from the simulated and reconstructed muons. Note that the bottom of the WCD is 45 m below the bottom of the TT, and corresponds to the largest projected error of the TT tracking within JUNO. The last point includes also all events with a distance larger than 2 m.

duce this tail, however at the moment they also reduce by a third the fraction of reconstructed muons, which is not desirable. Alternative reconstruction algorithms are also under evaluation. Going beyond looking only at TT data, a joint reconstruction using the TT, the water Cherenkov detector and the central detector is expected to be able to easily identify all cases where the TT alone identified a fake muon track and thus reduce both the long tail in the TT resolution and remove any remaining noise-induced events in the TT, without negatively impacting on the reconstruction efficiency. This joint reconstruction is still under study.

## 6. TT operation modes

There are four different modes foreseen for the TT operation. These modes were thought to make it possible to monitor the TT stability, calibrate it and perform data acquisition. Each of those modes can also have additional configurable options for added flexibility, while keeping the same global goal. The four modes foreseen, which will be described in details in the following subsections, are the trigger rate test (TRT), pedestal measurement (PED), LED light injection (LED), and normal data taking modes. Tab. 2 shows some characteristics for these four modes.

Table 2: TT operation mode characteristics

	TRT	PED	LED	Normal
Trigger	auto	external	external	auto
Charge measured	no	yes	yes	optional
Zero-suppression	_	no	no	optional
Fixed data size	yes	yes	yes	no

## 6.1. Trigger rate test mode

This mode is used to measure the trigger rate per channel. The main goals of this mode are to characterise the electronic noise, define the trigger threshold and search for light leaks in the TT modules. In the TRT mode, the acquisition is set in auto-trigger mode without charge acquisition, only the number of triggers in a given time window (typically 1 s) for each channel is returned. It is also foreseen that the trigger rate of all channels will be monitored during data taking automatically, in addition to this acquisition mode. The main advantage of having the possibility to request this data is to reduce the latency in this measurement for direct usage during the above-mentioned calibration and search for light leaks.

Fig. 21 shows an example of the determination of the threshold and electronic noise for one particular channel of the FEB using multiple acquisitions using the TRT mode with a varying threshold set in the FEB. For the determination of the electronic noise no charge is injected, while for the determination of the threshold a known charge (corresponding to 1/3 p.e.) is injected in the tested channel. Both measurements have been done, using a test setup, for all channels of all FEB cards to validate the FEB production. In all accepted cards, a clear distinction between the electronic noise (red) and the 1/3 p.e. injected charge (blue) is observed, with a change of the 50% threshold from 357 DAC units to 420 DAC units in Fig. 21. The exact thresholds are not at the same DAC values for each FEB and have to be set individually from the values obtained during testing. For all accepted FEB, the standard deviation of threshold values among the 64 channels in the board is in average 6.5 DAC units, and it is always below 10 DAC units.

In addition to determining the desired operational threshold, the TRT mode can be used to check noisy channels during normal operation. The presence of noisy channels can be induced, for example, by light leaks inside the detector. Fig. 22 shows an example of such a measurement done on the TT prototype (described in Sec. 7) before and after fixing a grounding issue due to an improper installation of the ROB.

#### 6.2. Pedestal mode

This mode is used to determine the measured charge in the absence of a signal. This is achieved by measuring the charge

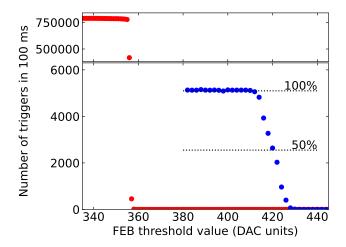


Figure 21: TRT measurement as a function of the threshold set in one channel of MAROC3 with no charge injected (red) and with  $\approx 53$  fC injected at 50 kHz (blue).

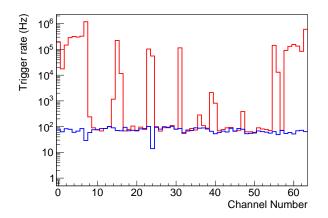
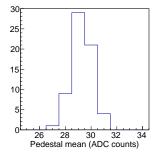


Figure 22: Trigger frequency versus channel for one sensor before (red) and after (blue) fixing noisy channels for one of the cards of the TT prototype.

with a fixed trigger frequency rather than using the MA-PMT signal to trigger the detection. It is possible that some of the fixed triggers will coincide in time with regular triggers from the MA-PMT by chance. These rare coincidences will produce events with charge away from the pedestal peak and can easily be removed from the pedestal distribution when the pedestal run is being analysed. Fig. 23 presents the pedestal values of the 64 channels of one sensor (left) and their standard deviation values (right). The mean of the pedestal standard deviation, using the 8-bit Wilkinson charge readout mode, is of the order of 0.3 ADC counts, representing about 0.04 p.e.

# 6.3. LED light injection mode

This mode works similarly to the Pedestal mode described before, however the measurement happens at about the same time of the light injection. As in the Pedestal mode, in the LED mode data is taken with a fixed trigger frequency, with which the LED is pulsed ignoring the MA-PMT trigger.



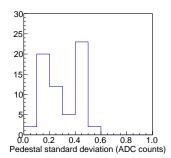


Figure 23: Mean (left) and standard deviation (right) of pedestal data for one MA-PMT used in the TT prototype. Wilkinson charge readout used.

The light injected in the system uses the same system described in [5]. In this system, light is injected at each end-cap into the WLS fibres just in front of the fibre–MA-PMT opto-coupler with the help of two blue LEDs, straight PMMA light guides and a white painted diffusive box as shown in Fig. 24.

The LEDs are pulsed by a signal from the ROB, which is equipped with a driver designed for this application, as described previously. After a certain configurable delay from the LED pulse, to account for the time required to flash the LED, an external trigger is generated to perform the charge measurement in the MAROC3. The LED intensity can be configured to produce a signal with a mean intensity around the single p.e. as shown in Fig. 25 with the digitized charge readout for the 8-bit Wilkinson mode. In this figure a fit to the SPE distribution using the function described in [16] is also drawn. In this distribution the peak related to the pedestal is still clearly visible, with an additional set of distributions corresponding to the cases where one to five p.e. have been detected by that MA-PMT channel. In this case, the measured mean intensity of the light  $(\mu)$  corresponds to  $1.92 \pm 0.02$  p.e. and the 1 p.e. measured charge (Q1) is  $11.29 \pm 0.09$  ADC units. This measured charge is used to calibrate the conversion between ADC units and charge as the PMT gain had been set to  $10^6$ .

While the system is designed to produce an approximately uniform illumination of all fibres, since the illumination is done from the sides, the fibres at the edge of the opto-coupler receive more light and shadow the more central fibres. Due to these ge-

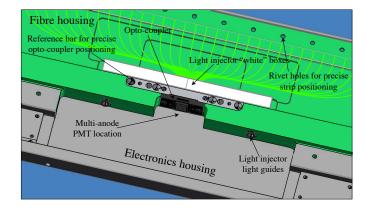


Figure 24: 3D view of the central part of an end-cap.

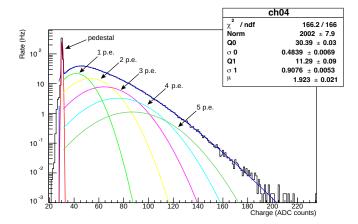


Figure 25: Charge distribution using the light injection system.

ometrical effects, the illumination in the different fibres might vary by a factor of 3, as shown in Fig. 26. For an optimal performance of the fit described before, it is suitable to tune the light intensity to have the average number of photons observed in the 1–2 p.e. range [17]. In this range, both the pedestal and the single photo-electron peak are clearly visible.

The light injection system can then be used to define the g.e.f. to be used in the MAROC3 to equalise the gain in all channels of the MA-PMT. The measured gain in each channel before (red) and after (blue) gain equalisation using these g.e.f. are shown in Fig. 27. Before equalisation, gains vary by a factor of up to 3, while after this procedure gains vary only by 30%.

This LED mode is foreseen to be used regularly during the whole duration of the experiment, mainly to monitor the MA-PMT gain.

#### 6.4. Normal run mode

This mode is the one used for regular data acquisition. In this case the MA-PMT signal passing the threshold will produce a MAROC3 trigger as described beforehand. Addition-

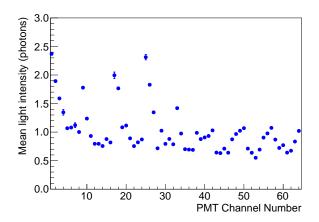


Figure 26: Mean light intensity per channel using the light injection system for one MA-PMT. Variations of the mean intensity are due to geometrical effects.

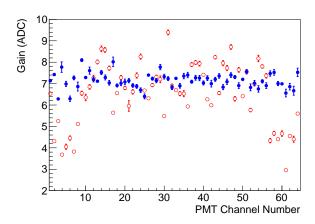


Figure 27: Gain per channel measured using the light injection system. Red empty (blue full) markers show the gain before (after) the gain equalisation procedure.

ally, zero-suppression in the ROB can be enabled in this mode to reduce the data size.

To safeguard against unexpectedly high rates, it is also possible to entirely disable the charge readout, which reduces the detector dead-time and significantly decreases the data size, while keeping the information about the triggered channels. A mixed acquisition option with and without charge readout is being investigated. In this case, a new trigger will start charge readout if the acquisition system is not already performing the charge readout for another trigger. However, in the case where the acquisition system is busy, no charge readout will be performed and only the triggered channels and trigger time will be saved.

The data produced by the TT readout system in the normal mode contains the MA-PMT trigger time-stamp provided by the CB, the trigger register identifying which channels on the MA-PMT triggered, and the measured charges if charge readout is enabled.

## 7. The TT prototype

In order to test the electronics under development, a prototype of the TT was built at IPHC<sup>7</sup> using the worst modules produced for the OPERA experiment. It is also equipped with the same electronics cards as the TT for testing.

This prototype, shown in Fig. 28, is made of 4 layers. As in the case of the TT, each layer is composed of two planes oriented perpendicularly. Each plane on the prototype was built by cutting a TT module in 3 parts at a distance of about 1.7 m from each end, with the left and right end-caps becoming the x and y oriented planes, respectively, which are used for the x-y coincidences as in the TT. This means that each layer of the prototype was made from a single TT module and has a surface of about 1/16 of the surface of one TT wall. Differently from the TT modules which are read on both sides, the prototype ones are only read on one side.

<sup>&</sup>lt;sup>7</sup>Institut Pluridisciplinaire Hubert Curien, Strasbourg, France.

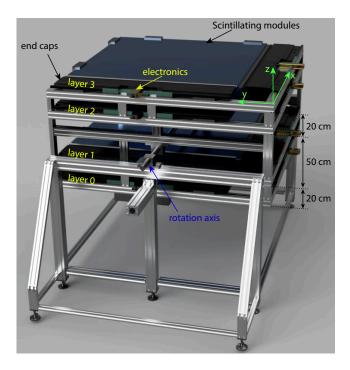


Figure 28: Schematic view of TT prototype.

In each of the 8 modules end-caps was installed one MA-PMT, one FEB, and one ROB. All the 8 ROBs are connected to one CB placed in the metal frame supporting the prototype. In the prototype it is also possible, for testing purposes, to read the data directly from the ROB without passing by the CB, by using a different version of the ROB specifically designed for that purpose. Using the prototype, it has already been possible to validate the calibration procedures and test the interface between the various electronics cards using natural signals, albeit with smaller rate than the one expected in JUNO, due to the lack of the high rate of events expected from the rock radioactivity. It is worth noting that most figures in Sec. 6 were obtained from data of this prototype.

Using the TT prototype several consecutive days of data have been collected. This data makes it possible to check, e.g., the difference in the coincidence time between the two planes of the same layer, as shown in Fig. 29. In this figure the average time difference between the MA-PMT trigger on the left and right cards is shown as a function of the channel triggered in each card. This distribution reflects the different length of the fibres from each interaction point to the MA-PMT for the x and y directions.

Using the data from the TT prototype it is possible to measure the atmospheric muon flux distribution at IPHC by requiring coincidences between 3 or 4 layers of the prototype, and reconstructing the muon tracks. Fig. 30 shows the reconstructed muon directions obtained using the Mollweide projection. Given the requirement of 3 or 4 layers in coincidence for the reconstruction, the field of view of the telescope is limited to about 70° from the vertical. A motor is added to the prototype rotation axis as shown in Fig. 28 to increase this field of view by rotating the whole device.

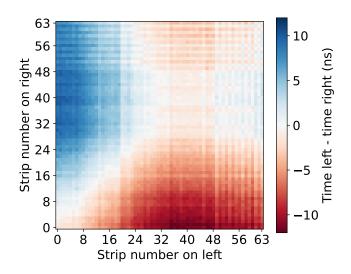


Figure 29: Distribution of the average time difference between signals in left and right cards as a function of the strip which triggered the MA-PMT in each card during 'normal' data taking.

In order to have a good estimate of the timing capabilities the prototype has been placed in vertical position (i.e., a 90° rotation with respect to the position shown in Fig. 28) to record horizontal atmospheric muons. In this position, it is expected to record about the same number of muons coming from each side. Fig. 31 presents the time difference between hits recorded on first and last layers of the prototype. The distance between these two layers being of 90 cm it is expected to observe a time difference of the order of 3 ns assuming all muons travel at the speed of light. The two peaks observed on Fig. 31 correspond to muons coming from either side of the detector. They are centred at about  $\pm 3$  ns, with 0 corresponding to events being seen at the same time in both layers. The time dispersion is of the order of 2 ns and includes the decay time in the scintillator (2.3 ns) and the WLS fibres (7.6 ns), and the time-walk in the electronics threshold. All other delays, as the difference in length of fibres from one channel to another, have been corrected. The timebin of the clock used for timestamping triggers during this data taking was of 1.04 ns. The slight asymmetry between the two peaks is probably due to the other objects around the prototype.

## 8. Installation

The 496 TT modules were transported from LNGS to the JUNO site in 2017. According to the schedule, the installation of the TT will begin after the assembly of the central detector, presumably end of 2023.

A dedicated support structure providing the necessary rigidity has been designed (Fig. 4). It includes two main beams of 48 m across the experimental hall, 3 layers of the transverse girders of 20 m between them, a support of the JUNO calibration system, and the support frames of the TT walls.

The walls will be assembled on their support frames, called "tables". 4 modules will be placed on the table in one direction

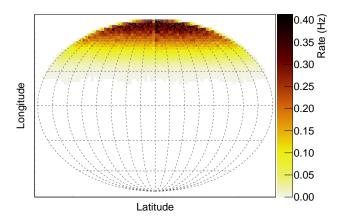


Figure 30: Distribution of the direction of muons observed in the prototype. The 3D sphere is projected in a plane using the Mollweide projection. Longitude lines are shown every 30°, while latitude lines are shown every 22.5°. The prototype is facing upwards during this data taking. Colour code corresponds to the observed muon rate.

(x) and other 4 modules in the perpendicular direction (y), as shown in Fig. 32.

All the modules will be equipped with the FEB and ROB and commissioned before installation. Then, each wall will be equipped with the CB and with the cables to connect all ROBs to the CB. Assembled this way, the walls will be commissioned (the counting rate of each MA-PMT should be similar and corresponding to the local atmospheric muons and radioactivity rate) and then moved by a crane to their final place in the detector. The tables are rigid enough to keep the walls flat during the manipulations by the crane and in the detector after the installation where they rest on the transverse girders, as shown in Fig. 33.

In total, 60 walls will be installed in 3 layers separated by 150 cm in height. To avoid inefficiency in the detection of atmospheric muons crossing regions between neighbouring walls, the walls overlap by 150 mm on all sides. For that, the overlapping walls have slightly different height of their position and have to be installed in a proper order. In addition to that, the "tables" of the three walls above the chimney have a different design providing a separation by only 21 cm in their vertical position. During the installation, the position of the walls will be measured by survey instruments with an accuracy of about 1 mm. The relative alignment of the walls can be further verified with the help of atmospheric muons during the data taking.

According to the installation schedule, one wall per day will be assembled and mounted in the detector. This time includes some time for curing the modules of any light leaks that might have appeared due to transportation or storage conditions.

#### 9. Ageing monitoring

The production of the TT strips have been done by AMCRYS-H company [18] during two years from 2004 to 2006. It is expected that some ageing of the plastic scintilla-

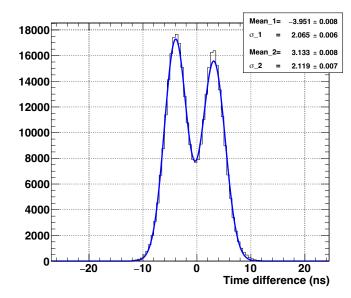


Figure 31: Time difference between the first and last layers of the prototype from atmospheric muons when the prototype is in vertical position.

tor will occur before the TT will be used in JUNO experiment, and will continue during the whole lifetime of the detector.

From August 2006 until April 2013 electronic cards of the OPERA experiment were almost continuously registering atmospheric muons along with muons produced in  $\nu_{\mu}$  CC interactions during the OPERA beam (CERN Neutrinos to Gran Sasso, CNGS) runs. Over the entire period of the data taking, more than  $10^6$  straight muon tracks were reconstructed in the Target Tracker detector. Those tracks were used, in particular, to measure the TT module detection efficiency and to monitor the stability of the TT response to minimum ionising particles. The TT modules detection efficiency at 1/3 p.e. threshold was measured to be equal to  $(98.5 \pm 0.3)\%$  in "OR" mode (i.e., when a signal above the threshold is required from at least one side of a scintillator strip) [19]. The ageing of the plastic scintillator was estimated using the time evolution of the most probable values of the TT response distributions shown in Fig. 34 obtained for



Figure 32: The TT wall, measuring  $7.6 \times 7.6 \text{ m}^2$ , assembly on its support frame called a "table".

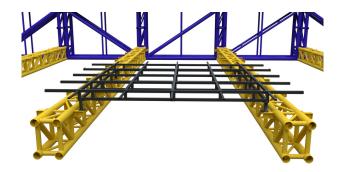


Figure 33: A scheme of the installation of the TT "tables" in the TT support.

the periods of five years CNGS runs (2008–2012) [20].

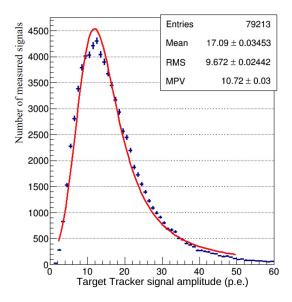


Figure 34: Distribution of the OPERA Target Tracker signal amplitudes, the fitted line is a Landau distribution. Data used for this distribution was taken during CNGS runs (2008–2012).

The characteristic value of the ageing was found to be  $(1.7 \pm 0.2)\%$  light yield loss per year, which was in agreement with indirect estimates of the plastic scintillator manufacturers (~20% over 11.9 years) [21]. In fact, this evaluation corresponds to the ageing of the entire system also including possible changes of properties of the other TT strips components and materials, like the WLS fibres, glue, etc.

To follow the ageing of the TT sensitive materials after dismantling of the OPERA detector and until its use in JUNO, some modules remained equipped with the old OPERA electronics during their transportation to China and subsequent storage. These modules are used to register atmospheric muons traversing the detector while it remains in storage prior to it being mounted in JUNO. This made it possible to still register atmospheric muons with fewer modules. Although during the period of TT storage, the layout of the containers with the modules have been changed several times, the data collected during each period demonstrated stable average signal amplitude for passing through muons. It's worth noting that while the change in the layout of the containers can create a system-

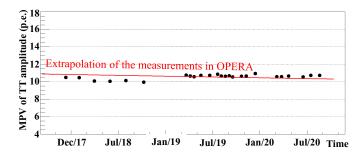


Figure 35: Variation of the most probable value (MPV) of the TT amplitudes with time. This data taking period corresponds to when the TT modules were stored in China prior to their assembly in JUNO. In early 2019 the TT was moved from a warehouse in Zhongshan to the JUNO site. The red line corresponds to the amplitude expected by extrapolating the measured amplitude loss during the CNGS runs.

atic change in the amplitude of the signal for each period, as it was observed when the containers were moved in early 2019, the relative comparisons within each period would suffer from the same systematic shift and thus allow us to measure the relative performance deterioration. The analysis of the collected data shows no additional deterioration of the performance of the plastic scintillator compared to already mentioned ageing (Fig. 35).

Finally, from their production, about 20 years ago, up to the beginning of the JUNO data taking, about 20% decrease in the number of p.e. is expected. Considering that 6 p.e. were observed at the middle of each strip (most disfavoured position) after their production, 4.8 p.e. are expected at the beginning of the JUNO experiment. With 1/3 p.e. threshold, the muon detection efficiency remains at comparable level as the initial one. In case more p.e. are needed during the JUNO data taking, there is the possibility to introduce optical grease between the fibre opto-coupler and the MA-PMT glass, something which has not been done up to now because it was not needed. Tests have shown that this will increase by about 15% the number of detected p.e., compensating a large part of the loss due to ageing.

### 10. Summary and Conclusions

The OPERA experiment Target Tracker has been operated from 2006 to 2013 using the CNGS neutrino beam and atmospheric muons. After decommissioning, it has been sent to China to be used as Top Tracker (TT) for the neutrino oscillation JUNO experiment. The available  $6.7 \times 6.7 \text{ m}^2$  TT walls will be distributed in 3 layers of a  $3 \times 7$  horizontal grid above the water Cherenkov detector. The TT will help to study the background created by the passage of atmospheric muons and hence reduce the systematic error coming from  $^9\text{Li}$  and  $^8\text{He}$  decays mimicking IBD in the JUNO central detector. Despite not covering the entire surface above the central detector, the TT will help the other parts of the detector to tune their algorithms to well reconstruct atmospheric muons and veto the affected regions.

For this utilisation, the TT electronics have been modified to cope with the high background induced by the rock radioactiv-

ity. These electronics include for each sensor a Front End Board and a Readout Board. All 16 sensors of a TT wall are connected to a Concentrator Board whose role is to emit a first trigger level by performing x – y coincidences in the same TT wall. The Concentrator Boards communicate with a Global Trigger Board providing a three-layer TT trigger and with the JUNO data acquisition system. The trigger rate of the TT after the Global Trigger Board is expected to be around 2 kHz, pushed down to a few Hz by an offline muon track reconstruction.

In order to validate the whole electronics chain and trigger strategy a prototype has been constructed using the same elements as the Top Tracker.

The TT has been sent to China in 2017 and stored in a hall with a temperature lower than 25 °C to keep the plastic scintillator ageing to a reasonable level. This ageing is expected to cause a loss of scintillation light of the order of 1%/year. Waiting for the TT installation in the underground JUNO site, the scintillator ageing is monitored using atmospheric muons. Up to now, the observed ageing is compatible with the expectation.

The installation of the TT is expected to take place at the end of 2023. It is evaluated that this installation will last for less than six months.

#### Acknowledgments

We are grateful for the ongoing cooperation from the China General Nuclear Power Group. We acknowledge the supported of the Chinese Academy of Sciences, the National Key R&D Program of China, the CAS Center for Excellence in Particle Physics, Wuyi University, and the Tsung-Dao Lee Institute of Shanghai Jiao Tong University in China, the Institut National de Physique Nucléaire et de Physique de Particules (IN2P3) in France, the Istituto Nazionale di Fisica Nucleare (INFN) in Italy, the Italian-Chinese collaborative research program MAECI-NSFC, the Fond de la Recherche Scientifique (F.R.S-FNRS) and FWO under the "Excellence of Science -EOS" in Belgium, the Conselho Nacional de Desenvolvimento Científico e Tecnològico in Brazil, the Agencia Nacional de Investigacion y Desarrollo in Chile, the Charles University Research Centre and the Ministry of Education, Youth, and Sports in Czech Republic, the Deutsche Forschungsgemeinschaft (DFG), the Helmholtz Association, and the Cluster of Excellence PRISMA+ in Germany, the Joint Institute of Nuclear Research (JINR) and Lomonosov Moscow State University in Russia, the joint Russian Science Foundation (RSF) and National Natural Science Foundation of China (NSFC) research program, the MOST and MOE in Taiwan, the Chulalongkorn University and Suranaree University of Technology in Thailand, and the University of California at Irvine in USA. This work, in particular, was supported by the initiative of excellence IDEX-Unistra (ANR-10-IDEX-0002-02) and EUR-QMat (ANR-17-EURE-0024) from the French national program "investment for the future", and by the Strategic Priority Research Program of the Chinese Academy of Sciences, Grant No. XDA10010300.

We would like to thank all private companies which have collaborated with our institutes in developing and providing materials. We are particularly grateful to the OPERA Collaboration for the donation of all TT modules to the JUNO Collaboration. Finally, we would like to thank all technicians non-authors of this paper who have helped us all these last years.

#### References

- Z. Djurcic, et al., JUNO Conceptual Design Report (2015). arXiv: 1508.07166.
- [2] A. Abusleme, et al., JUNO physics and detector, Prog. Part. Nucl. Phys. 123 (2022) 103927. arXiv:2104.02565, doi:10.1016/j.ppnp. 2021.103927.
- [3] F. An, et al., Neutrino Physics with JUNO, J. Phys. G 43 (3) (2016) 030401. arXiv:1507.05613, doi:10.1088/0954-3899/43/ 3/030401.
- [4] M. Guler, et al., OPERA: An appearance experiment to search for nu/mu <-> nu/tau oscillations in the CNGS beam. Experimental proposal (2000).
- [5] T. Adam, et al., The OPERA experiment target tracker, Nucl. Instrum. Meth. A 577 (2007) 523-539. arXiv:physics/0701153, doi:10. 1016/j.nima.2007.04.147.
- [6] K. Li, et al., GDML based geometry management system for offline software in JUNO, Nucl. Instrum. Meth. A 908 (2018) 43–48. doi: 10.1016/j.nima.2018.08.008.
- [7] S. Agostinelli, et al., GEANT4-a simulation toolkit, Nucl. Instrum. Meth. A 506 (2003) 250–303. doi:10.1016/S0168-9002(03)01368-8.
- [8] S. Blin, et al., MAROC, a generic photomultiplier readout chip, IEEE Nucl. Sci. Symp. Conf. Rec. 2010 (2010) 1690–1693. doi:10.1109/ NSSMIC.2010.5874062.
- [9] ANALOG DEVICES, AD9629 Datasheet.URL https://www.analog.com/en/products/ad9629.html#product-overview
- [10] CAEN, A7511 Webpage. URL https://www.caen.it/products/a7511/
- [11] M. Lipiński, et al., White rabbit: a PTP application for robust subnanosecond synchronization, in: 2011 IEEE International Symposium on Precision Clock Synchronization for Measurement, Control and Communication, 2011, pp. 25–30. doi:10.1109/ISPCS.2011.6070148.
- [12] M. Lipinski, et al., White-Rabbit Node. URL https://ohwr.org/project/white-rabbit/wikis/Node
- [13] AMD XILINX, Zynq UltraScale+ MPSoC. URL https://www.xilinx.com/products/silicon-devices/ soc/zynq-ultrascale-mpsoc.html
- [14] Image Matters, Origami module B20 (2018).
   URL https://solutions.inrevium.com/products/pdf/
   ORIGAMI\_datasheet\_A4\_2018Mar.pdf
- [15] AMD XILINX, UltraScale Architecture and Product Data Sheet: Overview. URL https://www.xilinx.com/content/dam/xilinx/support/documentation/data\_sheets/ds890-ultrascale-overview. pdf
- [16] E. H. Bellamy, et al., Absolute calibration and monitoring of a spectrometric channel using a photomultiplier, Nucl. Instrum. Meth. A 339 (1994) 468–476. doi:10.1016/0168-9002(94)90183-X.
- [17] N. Anfimov, et al., Optimization of the light intensity for Photodetector calibration, Nucl. Instrum. Meth. A 939 (2019) 61-65. arXiv:1802. 05437, doi:10.1016/j.nima.2019.05.070.
- [18] AMCRYS-H, Scintillation materials, detectors and electronics. URL https://www.amcrys.com
- [19] S. Dmitrievsky, Поиск нейтринных взаимодействий и исследование свойств нейтрино с помощью электронных детекторов в эксперименте OPERA (Search for neutrino interactions and investigation of neutrino properties with the help of electronics at the OPERA experiment), Ph.D. thesis (2015).

  URL http://operaweb.lngs.infn.it/Opera/ptb/theses/
  - URL http://operaweb.lngs.infn.it/Opera/ptb/theses/theses/Dmitrievsky-Sergey-PhD-thesis.pdf
  - 20] CERN, CNGS webpage. URL http://proj-cngs.web.cern.ch/proj-cngs
- [21] В. V. Grinev, V. G. Senchyshyn, Пластмассовые сцинтилляторы (Plastic scintillators), Acta, Kharkiv, 2003, ISBN: 966-7021-67-X.