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Simulation study on light ions identification methods for carbon beams from 95 to 400 MeV/A $\,$

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Abstract

Monte Carlo simulations have been performed in order to evaluate the efficiencies of several light ions identification techniques. The detection system was composed with layers of scintillating material to measure either the deposited energy or the time-of-flight of ions produced by nuclear reactions between ¹²C projectiles and a PMMA target. Well known techniques such as ΔE —Range, ΔE —E—ToF and ΔE —E are presented and their particle identification efficiencies are compared one to another regarding the generated charge and mass of the particle to be identified. The simulations allowed to change the beam energy matching the ones proposed in an hadron therapy facility, namely from 95 to 400 MeV/A.

Keywords: Hadron therapy, Particle Identification, Monte Carlo Simulations

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1 1. Introduction

Particle identification is of major importance in multiple fundamental 2 physics experiments and especially for nuclear reaction studies. Various 3 methods can be used, mostly based on the Bethe-Bloch formula, to retrieve 4 either the partial energy ΔE , lost in a thin detector, the total energy E in 5 a thick one [1], the β parameter using the particle velocity based on time-of-6 flight (ToF) measurements [2], or the range [3–5] as well as the Bragg peak 7 amplitude [6]. The associated detection system can be made of solid state 8 detectors, such as germanium or silicon allowing very good estimation of the 9 deposited energy and pulse shape discrimination [7, 8]; scintillating material, 10 either organic or inorganic, for good timing resolution particularly in high 11 energy physics [9]; or gaseous detectors as a low density stopping medium [10] 12 for low kinetic energy ions. The detection system is then designed and opti-13 mized for the purpose of the technique used. It is thereby difficult to know 14 *a-priori* the most efficient method for identification when designing a new 15 experiment. 16

In this paper, we have performed simulation studies for particle iden-17 tification in multi-fragmentation processes of carbon beams with targets at 18 energies ranging from 95 to 400 MeV/A. We focused on three methods, based 19 on ΔE —Range, ΔE —E—ToF and ΔE —E measurements done by scintillat-20 ing detectors only. Solid state and gaseous detectors have been left aside due 21 to their poor timing resolution (>1 ns) and too low density ($\sim 1 \text{ mg cm}^{-3}$), 22 respectively. The detection system is the same for all measurements (save 23 for the thickness of the thin stage) to be able to compare identification tech-24 niques and not the system performances. 25

The goal of this work is to investigate an efficient method able to discriminate 1 atomic mass up to ¹²C ions. The system will be used in double differential cross-section measurement experiments for carbon therapy interest, at the new Advanced Resource Center for HADron therapy in Europe (ARCHADE) based in Caen.

31 2. Simulation materials and methods

The simulations were based on the GEANT4 Monte-Carlo toolkit [11]. The GEANT4 version used is the 9.5 with the physics list QMD (Quantum Molecular Dynamics) for inelastic reactions associated with an FBU (Fermi Break-Up) de-excitation process. This physics list has been chosen instead of the current BIC (Binary Intra-nuclear Cascade) package due to its cross-sections of fragments production closer to experiments, particularly for energy distributions [12].

The simulations consisted on the interaction of 10^{6} ¹²C ions at normal 39 incidences with a spherical PMMA target of 5 mm in diameter performed 40 in ultra vacuum. For each event, the interactions of the primary particle or 41 secondaries with the detection system were recorded. For each ion, its Z and 42 mass value (A) are known and compared in the post processing analysis to the 43 measured one using different identification method, described in the following 44 sections. The system can detect events coming from the beam which have 45 not encountered any fragmentation processes in the target. These events are 46 the most likely ones. However, to avoid degradation of the results, data from 47 primary ions, i.e. encountering no inelastic processes in the target, were not 48 been used in the identification processes. This will be discussed separately 49

⁵⁰ in the appropriate section.

⁵¹ Multiple simulations were done by changing the beam energy from 95 MeV/A ⁵² (maximum energy provided by GANIL in Caen), to 200, 300 and 400 MeV/A, ⁵³ representing appropriate energies for carbon therapy purposes.

54

The simulated detection system was based on thallium doped cesium iodide scintillating crystals (CsI:Tl) with a density of 4.51 g cm⁻³, a decay time of 1 μ s and a light yield of ~55 ph keV⁻¹ [13]. This crystal has been chosen due to its known quenching factors, that allowed the conversion of the deposited energy into scintillation light for better accuracy. This conversion was made according to the formula given in [14]:

$$L = a_1 \left\{ E_0 \left[1 - a_2 \frac{AZ^2}{E_0} \ln \left(1 + \frac{E_0}{a_2 AZ^2} \right) \right] + a_2 a_4 AZ^2 \ln \left(\frac{E_0 + a_2 AZ^2}{a_3 A + a_2 AZ^2} \right) \right\},$$
(1)

where L is the scintillation light in equivalent number of photoelectrons, 61 E_0 , the deposited energy in keV, a_1 , the conversion factor from energy to 62 converted photoelectrons, $a_{\{2...4\}}$ are the quenching factors, A and Z, the mass 63 and atomic number of the ion. Table 1 gives a summary of the quenching 64 factors while a_1 represents in our case the light yield of the scintillator times 65 the photon detection efficiency of the associated photodetectors. The photon 66 detection efficiency is taken as the quantum efficiency ($\varepsilon_q = 0.25$) of the 67 photodetector such as a photomultiplier tube, times the collection efficiency 68 taken to be around 50%. In the following sections, energy will always be 69 expressed as the measured output light, in terms of photoelectrons, even if 70

⁷¹ mentioned as energy.

Table 1: Values of the quenching factors used in the simulations [14]. a_1 a_2 a_3 a_4 68750.713.80.26

To introduce the detector energy resolution, each amount of converted photoelectrons values were randomly extracted from a gaussian distribution with L as mean value and sigma given by :

$$\sigma_L = \frac{L}{2.35} \times \left(\frac{1.021}{\sqrt{E_0}} + 0.019\right).$$
 (2)

The energy resolution parameters were derived from experimental energyresolutions given by [15].

The detector was composed of scintillating layers of $120 \times 120 \text{ cm}^2$ and 77 increasing thicknesses. Each layer scaled with depth from 0.2 mm to 13 mm 78 thick by 0.2 mm steps to accurately sample the small ranges and to be able 79 to optimize the thickness of the ΔE stage. Using 65 layers, the total depth 80 of the detector is 42.9 cm allowing to entirely stop protons up to 480 MeV. 81 The thickness of the first stage is optimized by finding the minimum value 82 of number of layers which minimizes the identification errors. This basically 83 means that one need to maximize the deposited energy while minimizing the 84 number of inelastic interactions inside the corresponding material thickness. 85 Table 2 gives a summary of the optimized thicknesses (the sum of layers 86 thicknesses considered for the ΔE stage) used at the different energies for 87 the ΔE —ToF and ΔE —E methods. 88

The system was located at 2.4 m from the target offering a $\pm 13^{\circ}$ opening angle and a good ToF measurement. Fig. 1 gives a schematic view of the simulation set-up.



Figure 1: Schematic view of the detection system. Not at scale for clarity reasons.

Beam energy	Thickness (mm)		
$({ m MeV}/{ m A})$	ΔE —ToF method	ΔE — E method	
95	0.2	0.6	
200	0.6	1.2	
300	0.6	2.0	
400	2.0	2.0	

Table 2: Thickness of the ΔE layer in mm at different beam energies.

Despite the fact that ions can easily be tracked in depth (in the z direc-

tion), tracking in the xy plane was not used except to distinguish the energy 93 deposition by each individual ion. It is obvious that such a system is very 94 unlikely to be built. First of all, the thicknesses of the layers, particularly 95 at small ranges, should be increased to allow the fabrication process and the 96 use of appropriate photodetectors. Then, each layer would be individually 97 divided into small tills to have some tracking information in the xy plane. 98 Some detection systems based on the same principle have already been tested 99 for hadronic granular calorimetry dedicated to particle physics [16]. 100

¹⁰¹ The following paragraphs will describe the techniques used for particle ¹⁰² identification.

103 2.1. The E-Range method

The E—Range method is usually used for identification of particles with ranges measured in a gaseous detector within few tens of centimeters. Here, the method is presented to identify charged particles with much higher velocities detected in a dense material (density of 4.51 g cm⁻³).

The relation between the energy and the range (eq. 3) has been derived from the Bethe-Bloch formula by Greiner [4] for β values under 0.7 which correspond approx. to 370 MeV/A.

$$E = a_1 A \left(\frac{bRZ^2}{A}\right)^c,\tag{3}$$

where a_1 is the conversion factor for energy to photoelectrons, b and c are fit parameters and R is the range.

By measuring simultaneously the total deposited energy and the range of an unknown ion, its charge and mass can be obtained.

115 2.2. The $\Delta E - E - ToF$ method

¹¹⁶ While the ΔE —Time-of-Flight method is used to measure the charge ¹¹⁷ of ions, the Energy—ToF method can be used to obtain their mass. Using ¹¹⁸ the Bethe-Bloch formula to obtain the charge dependence of the deposited ¹¹⁹ energy in a Δx thin medium (eq. 4) and the relativistic equation of a particle ¹²⁰ total energy (eq. 5), one can adjust fit parameters to identify the ions in two ¹²¹ different ΔE —ToF and E—ToF distributions.

$$\Delta E = a_1 \frac{Z^2}{\beta^2} \left[\ln \left(\frac{\beta^2 b_{mat}}{1 - \beta^2} \right) - \beta^2 \right] \Delta x, \qquad (4)$$

122

$$E = a_1 b_{\{1...12\}} u A \left(\frac{1}{\sqrt{1 - \beta^2}} - 1 \right), \tag{5}$$

where b_{mat} is a fit parameter depending on the detector material, $\beta = \frac{d}{c \times ToF}$ with d, the distance to the detector, c, the speed of light, and u is the unified atomic mass of 931.494 MeV c⁻². This approximation stands due to the use of correction parameters $b_{\{1...12\}}$ obtained from the fit for each ion mass.

To introduce a measurement coincidence time resolution, ToF measurements are obtained from random values of a Gauss distribution where the full width at half maximum (FWHM) has been set to 300 ps. This value, even if not achievable using CsI:Tl crystals, can be measured with good timing detectors using fast scintillators and photomultiplier tubes [17].

133

One main disadvantage of these two techniques is that they relate independently to the same particle due to the need of two different plots for identification. This leads to some very unlikely isotopes identification coming
from a mass value uncorrelated to a Z value. As a consequence, the given
results only take into account isotopes supposed to be produced by the initial
reaction between ¹²C and nuclei in the target.

140 2.3. The ΔE —E method

The ΔE —E method is often used to identify charged particles even with energies up to few hundred MeV/A whether using gaseous, solid state or scintillating detectors for both measurements of ΔE or E. It relies on the detection of the energy deposited by particles in a thin detector as a function of the residual deposited energy in a sufficiently thick detector to stop the particle.

¹⁴⁷ A usual functional of the relation between ΔE and the residual energy is ¹⁴⁸ given in [18] by:

$$\Delta E = \left[(gE)^{1+\mu} + (\lambda Z^{\alpha} A^{\beta})^{1+\mu} + \xi Z^2 A^{\mu} (gE) \right]^{\frac{1}{1+\mu}} - gE,$$
(6)

with $g, \mu, \lambda, \alpha, \beta$ and ξ are parameters obtained by fitting the distributions for each couple (Z, A). The parameter λ includes the a_1 parameter as well as the thickness of the first stage, Δx .

152 2.4. Particle identification

Using the different analytical solutions given by the equations, identification of a particle was made for each method by a Newton-Raphson approach. In this case, the distance of an event to the curve was minimized in few steps, making the event to be on the normal to the curve's tangent. An event was
then attributed to a curve for the smallest event-to-curve distance when testing for all curves, relating the event to a particular Z and/or A value.

To compare the identification efficiency with the known ion charge and 159 mass, distributions of the charge and the particle identification parameter 160 (PID, taken as $0.8 \times Z + 0.1 \times A^1$) were built for both measured and real val-161 ues. The number of measured counts at a particular PID (N_{meas}) was then 162 compared to the corresponding one in the generated distribution, N_{true} . The 163 result was normalized by N_{true} to obtain the relative identification error (RIE, 164 eq. 7). As a result, this method took into account all sources of identification 165 errors but is also dependent on the isotope statistic. 166

$$RIE = \frac{|N_{meas} - N_{true}|}{N_{true}}.$$
(7)

167 2.5. Energy evaluation

For each method, the measured energy of a well identified particle 168 $(PID_{meas} = PID_{true})$ is compared to the generated one. The energy can then 169 be obtained either by the total deposited energy (or the sum of the par-170 tial and residual energy) or by time-of-flight measurements. Special care 171 was taken when evaluating the energy by the ToF method. This one was 172 obtained using a similar formula as eq. 5, except that the Z and A of the 173 particles are known and that the proton and neutron masses as well as the 174 binding energies can be used instead of the parameters $b_{1...12}$. The energy in 175 MeV is then converted in photoelectrons using the parameter a_1 . 176

¹For instance, tritons have a PID equal to 1.1 and α particles have a PID = 2.0.

A plot is thereafter made summing all detected particles. The ratio of 177 ions with a measured energy truncated due to losses after inelastic collisions, 178 R_{trunc} , is extracted. First, the FWHM of the peak centered around 1 is 179 evaluated. Assuming a gaussian distribution, a lower limit of -5σ (using 180 $1\sigma = FWHM/2.35$) to the position of the peak is obtained. For each method 181 and beam energy, the ratio is measured by summing the number of events up 182 to the limit divided by the total amount of events in the distribution. This 183 parameter evaluates then the effect of the method in the degradation of the 184 ion energy even if this one has been well identified. 185

186 3. Results

187 3.1. Particle identification

Fig. 2 shows an example of the energy per nucleon distributions of the isotopes generated by the simulation and detected by the system for $E_{beam} =$ 400 MeV/A. At this energy, the distributions are well centered around the beam energy representing mostly fragments from the projectile, except for protons (PID = 0.9) and deuterons (PID = 1.0) which exhibit broader distributions.

Figure 3 gives an example of the plots of the different identification methods with their associated fitted curves at $E_{beam} = 400 \text{ MeV/A}$. The color scales denote the number of events per bin and the energy is expressed as the number of collected photoelectrons. Dahsed lines represent the curves used for identification.

Fig. 4 presents an example of the relative identification error for the three methods as the charge (Z) and the PID relative identification error. Values



Figure 2: Energy distribution of the different detected isotopes for $E_{beam} = 400 \text{ MeV/A}$.

higher than 100% refer to isotopes identified with a higher statistic compared to the generated one. This occurs when the pollution induced by heavier particles that have experienced an inelastic collision in the CsI layer is large compared to the statistic of the generated particles (see section 4 for details). Table 3 summarizes the average relative identification errors for the methods at the different beam energies and gives the highest value in the statistic. When identifying the charge of the ion, the ΔE —ToF is in average the

²⁰⁸ most efficient method for any beam energy, with an efficiency above 95%²⁰⁹ (RIE $\leq 5\%$) for any charge. This is mainly due to the good timing resolution ²¹⁰ and the distance for the time-of-flight measurement while the other methods ²¹¹ rely on the energy resolution of the system for this evaluation. When the



Figure 3: Distributions of (a) E versus range, (b) ΔE versus ToF, (c) E versus ToF and (d) ΔE versus the residual energy for a beam energy of 400 MeV/A. Red dashed lines represent the curves used for identification.

thickness of the ΔE stage is well optimized to reduce the amount of inelas-212 tic processes and to separate the spots in the ΔE —ToF plot, the energy 213 resolution does not matter so much. When identifying the PID, i.e. by in-214 cluding the mass of the particles, the ΔE —ToF method cannot achieve at 215 400 MeV/A an RIE better than 44% in average and can even attain 98.1%216 for tritons. $\Delta E - E$ and E-Range methods are well above it with 138.5% 217 and 71.8% of mean values respectively. For the three methods, the values 218 scale from lower to higher with the increasing beam energy, except for the 219



Figure 4: Relative identification errors versus Z and PID value for $E_{beam} = 400 \text{ MeV/A}$. Red solid line, $\Delta E - E$ - ToF; blue dotted-dashed line, $\Delta E - E$ and black dashed line, ΔE - Range method.

 E_{220} E—Range method which acts differently at the lowest energy due to the sampling resolution at small ranges. Thus the other two techniques give acceptable results only up to $E_{beam} = 95 \text{ MeV/A}$.

223 3.2. Energy evaluation

The relative energy distributions for the three methods are given in Fig. 5 for a beam energy of 400 MeV/A. It is clear that even for well identified particles, an amount of energy is lost for a large number of ions, particularly for the ΔE —E and E—Range methods.

Table 4 summarizes the different R_{trunc} values for each technique and beam energy.

While R_{trunc} for the ΔE —ToF improves with the beam energy, from 18.1% to 10.2%, the other two techniques tends to degrade it drastically. They are both in the same range of values to attain 38.2% and 32.8% of par-

Beam energy	Z identification error [max.] (%)			PID identification error [max.] (%)		
$({\rm MeV}/{\rm A})$	ΔE — ToF	ΔE — E	ΔE — Range	ΔE — E—ToF	ΔE — E	ΔE — Range
95	1.1 ± 0.6	1.6 ± 1.5	1.8 ± 2.0	$5.5 {\pm} 4.6$	15.3 ± 21.2	93.9±221.2
	[2.1]	[4.7]	[6.0]	[16.5]	[86.0]	[919.8]
200	1.3 ± 0.9	5.2 ± 4.8	5.3 ± 5.4	16.0 ± 13.9	49.3±87.8	31.9±47.0
	[2.4]	[15.3]	[16.4]	[53.6]	[339.0]	[188.3]
300	1.8 ± 1.5 [4.2]	7.1 ± 6.3 [19.9]	8.7±7.6 [24.1]	41.4±28.8 [93.3]	87.1±206.0 [861.3]	37.0 ± 42.0 [168.0]
400	2.2 ± 1.8	11.3±10.7	14.6 ± 12.9	44.0±30.0	138.5 ± 275.7	771.8 ± 107.7
	[5.0]	[33.0]	[41.2]	[98.1]	[1132.0]	[1435.0]

Table 3: Averaged relative identification errors with respect to the method and beam energy. Maximum value in braces.

Table 4: Ratio of ions with truncated measured energy when well identified.							
Beam energy	R_{trunc} (%)						
$({ m MeV}/{ m A})$	ΔE —ToF	ΔE — E	ΔE —Range				
95	18.1	11.2	10.2				
200	6.0	14.1	13.4				
300	2.6	24.9	23.4				
400	1.6	38.2	32.8				

Table 4: Ratio of ions with truncated measured energy when well identified.



Figure 5: Relative energy distribution compared to the generated one for the three techniques with E_{beam} =400 MeV/A. Red solid line, ΔE —ToF; blue dotted-dashed line, ΔE — E and black dashed line, ΔE —Range method.

ticles with the energy truncated, for ΔE —E and ΔE —Range respectively.

234 4. Discussion

First, it is interesting to note that the *E*—Range method is in every energy cases the method that gives the highest RIE. This one is well suited for low velocity particles interacting in a gaseous detector, but offers very poor identification performances when used with a dense material and medium to high velocities particles.

To identify the mass of the ion in the given methods, one should measure 240 the residual or total deposited energy. However, the measurement of the 241 deposited energy is often degraded due to inelastic processes (i.e. the nucleus-242 nucleus collisions) which release a non negligible amount of energy through 243 gamma or neutrons escape and, with a smaller contribution, Q value of the 244 reaction. The lowered measured energy pollutes the identification process of 245 lighter particles by in-between curves data points with strong horizontal lines 246 for the $\Delta E - E$ plot or vertical ones for the E - ToF plot. Unfortunately, even 247 if the cross-section of nuclear interactions is low compared to electromagnetic 248 processes, the long traveling path of the particles in a rather large detector 249 increases tremendously the probability of such reactions. This effect scales 250 then with the particles kinetic energy worsening the RIE when increasing the 251 beam energy. 252

As stated in section 2, the different method plots did not take into ac-253 count the beam particles interacting directly with the detection system. The 254 amount of beam particles encountering no fragmentation processes in the 255 target represents approx. 88% of the cases at 400 MeV/A with a 5 mm 256 diameter target. They can then most likely have inelastic processes in the 257 detector itself due to its dimensions, degrading the particle identification as 258 mentioned previously. Figure 6 shows a comparison of the E—ToF plot with 259 and without the beam particles at 400 MeV/A. It is clear that including 260 beam particles in the identification process would result in artificially de-261 grading them and lead us to a different interpretation of the results, while 262 the goal is to compare identification methods and not detection systems. 263

Even when well identified, a particle might have its measured energy

degraded. It can be attributed to two major effects: inelastic collisions mainly 265 in the E stage and detector geometrical effects. Inelastic collisions may not 266 be sufficient to misidentify the particle but the loss of energy by neutrons 267 or gamma rays can be enough to truncate the measured energy. In the case 268 of geometrical effects, the particles can escape by the sides due to lateral 269 scattering, or by the back due to a high velocity (for light particles only). 270 This last can be avoided by using a larger detector but would then increase 271 the cost and the number of channels of the system. 272

The two techniques, $\Delta E - E$ and the ΔE -Range, have a very noxious incidence on the energy measurement. At $E_{beam} = 400 \text{ MeV/A}$, the energy of more than 30% of the ions cannot be evaluated precisely, regardless of the energy resolution. In the end, this will result in larger error bars of the production cross sections relative to the energy.

In the case of the ΔE —ToF technique, one would think that R_{trunc} would 278 increase with the beam energy. However, we can observe the opposite. This 279 effect comes from the method to evaluate the number of ions with a truncated 280 energy. The energy resolution evaluated by ToF scales with the beam energy 281 (see eq. 8 in the case of non relativistic particles), a lower beam energy gives 282 a better energy resolution. For the lowest beam energy, the evaluation of 283 the FWHM of the peak centered at 1 gives a very small value. Then, more 284 ions are included to be with a truncated energy outside of this peak. When 285 increasing the beam energy, the FWHM peak value becomes larger and less 286 ions are included in the R_{trunc} value. 287

$$\frac{\sigma_E}{E} = \frac{2\sigma_t}{ToF}, \quad \text{with} \quad \frac{1}{ToF} \propto E,$$
(8)

where σ_t is the coincidence time variance and taken as a constant.

It is then hard to tell to which lower limit to include ions in the R_{trunc} value, that is why an arbitrary value of -5σ values was used regardless of the method.

The same effect is however hidden in the other two techniques, resulting in the oppposite effect due to a worsening intrinsic energy resolution, following an $E^{-1/2}$ trend, artificially improving results at low energy.

295

Finally, none of the presented methods is able to identify the particle masses with a sufficiently good efficiency for a beam energy above 95 MeV/A using only scintillating crystals. As for different types of detector, the goal would always be to maintain an inelastic collisions rate as low as possible in order to have the smallest error on the measurement of the deposited energy.

301 5. Conclusion

In this work, we have performed Monte Carlo simulations to test sev-302 eral particle identification techniques. To be used in multi-fragmentation 303 experiments associated to carbon beams, we tested techniques based on the 304 measurement of the partial, the total or residual deposited energy, the range 305 as well as the time-of-flight of the particles. A detector composed of multiple 306 layers of scintillating inorganic crystal offers a good flexibility for testing the 307 identification techniques. The best of them, the ΔE —E—ToF method, can 308 only reach a PID RIE of 5.5% and 44% for a beam energy of 95 MeV/A and 309 400 MeV/A respectively. The other two methods give worse results. The use 310 of the ΔE —ToF method to obtain the charge of the particles associated to a 311

deflecting magnet is a more efficient method for mass measurements [19, 20],
not without an increase in the development costs.

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Figure 6: Comparison of the E—ToF plots with (top) and without (bottom) the beam particles at $E_{beam} = 400 \text{ MeV/A}$. 24