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R. Aaij, B. Adeva, M. Adinolfi, A. Affolder, Ziad Zj Ajaltouni, J. Albrecht, F. Alessio, Alexander M. Hoole, S. Ali, G. Alkhazov, et al.

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# Search for Majorana neutrinos in $B^- \rightarrow \pi^+ \mu^- \mu^-$ decays

The LHCb collaboration<sup>†</sup>

## Abstract

A search for heavy Majorana neutrinos produced in the  $B^- \rightarrow \pi^+ \mu^- \mu^-$  decay mode is performed using  $3 \text{ fb}^{-1}$  of integrated luminosity collected with the LHCb detector in  $pp$  collisions at center-of-mass energies of 7 TeV and 8 TeV at the LHC. Neutrinos with masses in the range  $250 - 5000 \text{ MeV}$  and lifetimes from zero to  $1000 \text{ ps}$  are probed. In the absence of a signal, upper limits are set on the branching fraction  $\mathcal{B}(B^- \rightarrow \pi^+ \mu^- \mu^-)$  as functions of neutrino mass and lifetime. These limits are on the order of  $10^{-9}$  for short neutrino lifetimes of 1 ps or less. Limits are also set on the coupling between the muon and a possible fourth-generation neutrino.

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<sup>†</sup>Authors are listed on the following pages.

## LHCb collaboration

R. Aaij<sup>40</sup>, B. Adeva<sup>36</sup>, M. Adinolfi<sup>45</sup>, A. Affolder<sup>51</sup>, Z. Ajaltouni<sup>5</sup>, J. Albrecht<sup>9</sup>, F. Alessio<sup>37</sup>, M. Alexander<sup>50</sup>, S. Ali<sup>40</sup>, G. Alkhazov<sup>29</sup>, P. Alvarez Cartelle<sup>36</sup>, A.A. Alves Jr<sup>24</sup>, S. Amato<sup>2</sup>, S. Amerio<sup>21</sup>, Y. Amhis<sup>7</sup>, L. Anderlini<sup>17,g</sup>, J. Anderson<sup>39</sup>, R. Andreassen<sup>56</sup>, M. Andreotti<sup>16,f</sup>, J.E. Andrews<sup>57</sup>, R.B. Appleby<sup>53</sup>, O. Aquines Gutierrez<sup>10</sup>, F. Archilli<sup>37</sup>, A. Artamonov<sup>34</sup>, M. Artuso<sup>58</sup>, E. Aslanides<sup>6</sup>, G. Auriemma<sup>24,n</sup>, M. Baalouch<sup>5</sup>, S. Bachmann<sup>11</sup>, J.J. Back<sup>47</sup>, A. Badalov<sup>35</sup>, V. Balagura<sup>30</sup>, W. Baldini<sup>16</sup>, R.J. Barlow<sup>53</sup>, C. Barschel<sup>38</sup>, S. Barsuk<sup>7</sup>, W. Barter<sup>46</sup>, V. Batozskaya<sup>27</sup>, Th. Bauer<sup>40</sup>, A. Bay<sup>38</sup>, J. Beddow<sup>50</sup>, F. Bedeschi<sup>22</sup>, I. Bediaga<sup>1</sup>, S. Belogurov<sup>30</sup>, K. Belous<sup>34</sup>, I. Belyaev<sup>30</sup>, E. Ben-Haim<sup>8</sup>, G. Bencivenni<sup>18</sup>, S. Benson<sup>49</sup>, J. Benton<sup>45</sup>, A. Berezhnoy<sup>31</sup>, R. Bernet<sup>39</sup>, M.-O. Bettler<sup>46</sup>, M. van Beuzekom<sup>40</sup>, A. Bien<sup>11</sup>, S. Bifani<sup>44</sup>, T. Bird<sup>53</sup>, A. Bizzeti<sup>17,i</sup>, P.M. Bjørnstad<sup>53</sup>, T. Blake<sup>47</sup>, F. Blanc<sup>38</sup>, J. Blouw<sup>10</sup>, S. Blusk<sup>58</sup>, V. Bocci<sup>24</sup>, A. Bondar<sup>33</sup>, N. Bondar<sup>29</sup>, W. Bonivento<sup>15,37</sup>, S. Borghi<sup>53</sup>, A. Borgia<sup>58</sup>, M. Borsato<sup>7</sup>, T.J.V. Bowcock<sup>51</sup>, E. Bowen<sup>39</sup>, C. Bozzi<sup>16</sup>, T. Brambach<sup>9</sup>, J. van den Brand<sup>41</sup>, J. Bressieux<sup>38</sup>, D. Brett<sup>53</sup>, M. Britsch<sup>10</sup>, T. Britton<sup>58</sup>, N.H. Brook<sup>45</sup>, H. Brown<sup>51</sup>, A. Bursche<sup>39</sup>, G. Busetto<sup>21,r</sup>, J. Buytaert<sup>37</sup>, S. Cadeddu<sup>15</sup>, R. Calabrese<sup>16,f</sup>, O. Callot<sup>7</sup>, M. Calvi<sup>20,k</sup>, M. Calvo Gomez<sup>35,p</sup>, A. Camboni<sup>35</sup>, P. Campana<sup>18,37</sup>, D. Campora Perez<sup>37</sup>, A. Carbone<sup>14,d</sup>, G. Carboni<sup>23,l</sup>, R. Cardinale<sup>19,j</sup>, A. Cardini<sup>15</sup>, H. Carranza-Mejia<sup>49</sup>, L. Carson<sup>49</sup>, K. Carvalho Akiba<sup>2</sup>, G. Casse<sup>51</sup>, L. Castillo Garcia<sup>37</sup>, M. Cattaneo<sup>37</sup>, Ch. Cauet<sup>9</sup>, R. Cenci<sup>57</sup>, M. Charles<sup>8</sup>, Ph. Charpentier<sup>37</sup>, S.-F. Cheung<sup>54</sup>, N. Chiapolini<sup>39</sup>, M. Chrzaszcz<sup>39,25</sup>, K. Ciba<sup>37</sup>, X. Cid Vidal<sup>37</sup>, G. Ciezarek<sup>52</sup>, P.E.L. Clarke<sup>49</sup>, M. Clemencic<sup>37</sup>, H.V. Cliff<sup>46</sup>, J. Closier<sup>37</sup>, C. Coca<sup>28</sup>, V. Coco<sup>37</sup>, J. Cogan<sup>6</sup>, E. Cogneras<sup>5</sup>, P. Collins<sup>37</sup>, A. Comerma-Montells<sup>35</sup>, A. Contu<sup>15,37</sup>, A. Cook<sup>45</sup>, M. Coombes<sup>45</sup>, S. Coquereau<sup>8</sup>, G. Corti<sup>37</sup>, I. Counts<sup>55</sup>, B. Couturier<sup>37</sup>, G.A. Cowan<sup>49</sup>, D.C. Craik<sup>47</sup>, M. Cruz Torres<sup>59</sup>, S. Cunliffe<sup>52</sup>, R. Currie<sup>49</sup>, C. D'Ambrosio<sup>37</sup>, J. Dalseno<sup>45</sup>, P. David<sup>8</sup>, P.N.Y. David<sup>40</sup>, A. Davis<sup>56</sup>, I. De Bonis<sup>4</sup>, K. De Bruyn<sup>40</sup>, S. De Capua<sup>53</sup>, M. De Cian<sup>11</sup>, J.M. De Miranda<sup>1</sup>, L. De Paula<sup>2</sup>, W. De Silva<sup>56</sup>, P. De Simone<sup>18</sup>, D. Decamp<sup>4</sup>, M. Deckenhoff<sup>9</sup>, L. Del Buono<sup>8</sup>, N. Déléage<sup>4</sup>, D. Derkach<sup>54</sup>, O. Deschamps<sup>5</sup>, F. Dettori<sup>41</sup>, A. Di Canto<sup>11</sup>, H. Dijkstra<sup>37</sup>, S. Donleavy<sup>51</sup>, F. Dordei<sup>11</sup>, M. Dorigo<sup>38</sup>, P. Dorosz<sup>25,o</sup>, A. Dosil Suárez<sup>36</sup>, D. Dossett<sup>47</sup>, A. Dovbnya<sup>42</sup>, F. Dupertuis<sup>38</sup>, P. Durante<sup>37</sup>, R. Dzhelyadin<sup>34</sup>, A. Dziurda<sup>25</sup>, A. Dzyuba<sup>29</sup>, S. Easo<sup>48</sup>, U. Egede<sup>52</sup>, V. Egorychev<sup>30</sup>, S. Eidelman<sup>33</sup>, S. Eisenhardt<sup>49</sup>, U. Eitschberger<sup>9</sup>, R. Ekelhof<sup>9</sup>, L. Eklund<sup>50,37</sup>, I. El Rifai<sup>5</sup>, Ch. Elsasser<sup>39</sup>, A. Falabella<sup>16,f</sup>, C. Färber<sup>11</sup>, C. Farinelli<sup>40</sup>, S. Farry<sup>51</sup>, D. Ferguson<sup>49</sup>, V. Fernandez Albor<sup>36</sup>, F. Ferreira Rodrigues<sup>1</sup>, M. Ferro-Luzzi<sup>37</sup>, S. Filipov<sup>32</sup>, M. Fiore<sup>16,f</sup>, M. Fiorini<sup>16,f</sup>, C. Fitzpatrick<sup>37</sup>, M. Fontana<sup>10</sup>, F. Fontanelli<sup>19,j</sup>, R. Forty<sup>37</sup>, O. Francisco<sup>2</sup>, M. Frank<sup>37</sup>, C. Frei<sup>37</sup>, M. Frosini<sup>17,37,g</sup>, E. Furfarò<sup>23,l</sup>, A. Gallas Torreira<sup>36</sup>, D. Galli<sup>14,d</sup>, M. Gandelman<sup>2</sup>, P. Gandini<sup>58</sup>, Y. Gao<sup>3</sup>, J. Garofoli<sup>58</sup>, J. Garra Tico<sup>46</sup>, L. Garrido<sup>35</sup>, C. Gaspar<sup>37</sup>, R. Gauld<sup>54</sup>, E. Gersabeck<sup>11</sup>, M. Gersabeck<sup>53</sup>, T. Gershon<sup>47</sup>, Ph. Ghez<sup>4</sup>, A. Gianelle<sup>21</sup>, V. Gibson<sup>46</sup>, L. Giubega<sup>28</sup>, V.V. Gligorov<sup>37</sup>, C. Göbel<sup>59</sup>, D. Golubkov<sup>30</sup>, A. Golutvin<sup>52,30,37</sup>, A. Gomes<sup>1,a</sup>, H. Gordon<sup>37</sup>, M. Grabalosa Gándara<sup>5</sup>, R. Graciani Diaz<sup>35</sup>, L.A. Granado Cardoso<sup>37</sup>, E. Graugés<sup>35</sup>, G. Graziani<sup>17</sup>, A. Grecu<sup>28</sup>, E. Greening<sup>54</sup>, S. Gregson<sup>46</sup>, P. Griffith<sup>44</sup>, L. Grillo<sup>11</sup>, O. Grünberg<sup>60</sup>, B. Gui<sup>58</sup>, E. Gushchin<sup>32</sup>, Yu. Guz<sup>34,37</sup>, T. Gys<sup>37</sup>, C. Hadjivasiliou<sup>58</sup>, G. Haefeli<sup>38</sup>, C. Haen<sup>37</sup>, T.W. Hafkenscheid<sup>62</sup>, S.C. Haines<sup>46</sup>, S. Hall<sup>52</sup>, B. Hamilton<sup>57</sup>, T. Hampson<sup>45</sup>, S. Hansmann-Menzemer<sup>11</sup>, N. Harnew<sup>54</sup>, S.T. Harnew<sup>45</sup>, J. Harrison<sup>53</sup>, T. Hartmann<sup>60</sup>, J. He<sup>37</sup>, T. Head<sup>37</sup>, V. Heijne<sup>40</sup>, K. Hennessy<sup>51</sup>, P. Henrard<sup>5</sup>, J.A. Hernando Morata<sup>36</sup>, E. van Herwijnen<sup>37</sup>, M. Heß<sup>60</sup>, A. Hicheur<sup>1</sup>, D. Hill<sup>54</sup>,

M. Hoballah<sup>5</sup>, C. Hombach<sup>53</sup>, W. Hulsbergen<sup>40</sup>, P. Hunt<sup>54</sup>, T. Huse<sup>51</sup>, N. Hussain<sup>54</sup>,  
 D. Hutchcroft<sup>51</sup>, D. Hynds<sup>50</sup>, V. Iakovenko<sup>43</sup>, M. Idzik<sup>26</sup>, P. Ilten<sup>55</sup>, R. Jacobsson<sup>37</sup>, A. Jaeger<sup>11</sup>,  
 E. Jans<sup>40</sup>, P. Jaton<sup>38</sup>, A. Jawahery<sup>57</sup>, F. Jing<sup>3</sup>, M. John<sup>54</sup>, D. Johnson<sup>54</sup>, C.R. Jones<sup>46</sup>,  
 C. Joram<sup>37</sup>, B. Jost<sup>37</sup>, N. Jurik<sup>58</sup>, M. Kaballo<sup>9</sup>, S. Kandybei<sup>42</sup>, W. Kango<sup>6</sup>, M. Karacson<sup>37</sup>,  
 T.M. Karbach<sup>37</sup>, I.R. Kenyon<sup>44</sup>, T. Ketel<sup>41</sup>, B. Khanji<sup>20</sup>, C. Khurewathanakul<sup>38</sup>, S. Klaver<sup>53</sup>,  
 O. Kochebina<sup>7</sup>, I. Komarov<sup>38</sup>, R.F. Koopman<sup>41</sup>, P. Koppenburg<sup>40</sup>, M. Korolev<sup>31</sup>,  
 A. Kozlinskiy<sup>40</sup>, L. Kravchuk<sup>32</sup>, K. Kreplin<sup>11</sup>, M. Kreps<sup>47</sup>, G. Krocker<sup>11</sup>, P. Krokovny<sup>33</sup>,  
 F. Kruse<sup>9</sup>, M. Kucharczyk<sup>20,25,37,k</sup>, V. Kudryavtsev<sup>33</sup>, K. Kurek<sup>27</sup>, T. Kvaratskheliya<sup>30,37</sup>,  
 V.N. La Thi<sup>38</sup>, D. Lacarrere<sup>37</sup>, G. Lafferty<sup>53</sup>, A. Lai<sup>15</sup>, D. Lambert<sup>49</sup>, R.W. Lambert<sup>41</sup>,  
 E. Lanciotti<sup>37</sup>, G. Lanfranchi<sup>18</sup>, C. Langenbruch<sup>37</sup>, T. Latham<sup>47</sup>, C. Lazzeroni<sup>44</sup>, R. Le Gac<sup>6</sup>,  
 J. van Leerdam<sup>40</sup>, J.-P. Lees<sup>4</sup>, R. Lefevre<sup>5</sup>, A. Leflat<sup>31</sup>, J. Lefrançois<sup>7</sup>, S. Leo<sup>22</sup>, O. Leroy<sup>6</sup>,  
 T. Lesiak<sup>25</sup>, B. Leverington<sup>11</sup>, Y. Li<sup>3</sup>, M. Liles<sup>51</sup>, R. Lindner<sup>37</sup>, C. Linn<sup>11</sup>, F. Lionetto<sup>39</sup>,  
 B. Liu<sup>15</sup>, G. Liu<sup>37</sup>, S. Lohn<sup>37</sup>, I. Longstaff<sup>50</sup>, J.H. Lopes<sup>2</sup>, N. Lopez-March<sup>38</sup>, P. Lowdon<sup>39</sup>,  
 H. Lu<sup>3</sup>, D. Lucchesi<sup>21,r</sup>, J. Luisier<sup>38</sup>, H. Luo<sup>49</sup>, E. Luppi<sup>16,f</sup>, O. Lupton<sup>54</sup>, F. Machefert<sup>7</sup>,  
 I.V. Machikhiliyan<sup>30</sup>, F. Maciuc<sup>28</sup>, O. Maev<sup>29,37</sup>, S. Malde<sup>54</sup>, G. Manca<sup>15,e</sup>, G. Mancinelli<sup>6</sup>,  
 M. Manzali<sup>16,f</sup>, J. Maratas<sup>5</sup>, U. Marconi<sup>14</sup>, P. Marino<sup>22,t</sup>, R. Märki<sup>38</sup>, J. Marks<sup>11</sup>,  
 G. Martellotti<sup>24</sup>, A. Martens<sup>8</sup>, A. Martín Sánchez<sup>7</sup>, M. Martinelli<sup>40</sup>, D. Martinez Santos<sup>41</sup>,  
 D. Martins Tostes<sup>2</sup>, A. Massafferri<sup>1</sup>, R. Matev<sup>37</sup>, Z. Mathe<sup>37</sup>, C. Matteuzzi<sup>20</sup>, A. Mazurov<sup>16,37,f</sup>,  
 M. McCann<sup>52</sup>, J. McCarthy<sup>44</sup>, A. McNab<sup>53</sup>, R. McNulty<sup>12</sup>, B. McSkelly<sup>51</sup>, B. Meadows<sup>56,54</sup>,  
 F. Meier<sup>9</sup>, M. Meissner<sup>11</sup>, M. Merk<sup>40</sup>, D.A. Milanes<sup>8</sup>, M.-N. Minard<sup>4</sup>, J. Molina Rodriguez<sup>59</sup>,  
 S. Monteil<sup>5</sup>, D. Moran<sup>53</sup>, M. Morandin<sup>21</sup>, P. Morawski<sup>25</sup>, A. Mordà<sup>6</sup>, M.J. Morello<sup>22,t</sup>,  
 R. Mountain<sup>58</sup>, I. Mous<sup>40</sup>, F. Muheim<sup>49</sup>, K. Müller<sup>39</sup>, R. Muresan<sup>28</sup>, B. Muryn<sup>26</sup>, B. Muster<sup>38</sup>,  
 P. Naik<sup>45</sup>, T. Nakada<sup>38</sup>, R. Nandakumar<sup>48</sup>, I. Nasteva<sup>1</sup>, M. Needham<sup>49</sup>, S. Neubert<sup>37</sup>,  
 N. Neufeld<sup>37</sup>, A.D. Nguyen<sup>38</sup>, T.D. Nguyen<sup>38</sup>, C. Nguyen-Mau<sup>38,q</sup>, M. Nicol<sup>7</sup>, V. Niess<sup>5</sup>,  
 R. Niet<sup>9</sup>, N. Nikitin<sup>31</sup>, T. Nikodem<sup>11</sup>, A. Novoselov<sup>34</sup>, A. Oblakowska-Mucha<sup>26</sup>, V. Obraztsov<sup>34</sup>,  
 S. Oggero<sup>40</sup>, S. Ogilvy<sup>50</sup>, O. Okhrimenko<sup>43</sup>, R. Oldeman<sup>15,e</sup>, G. Onderwater<sup>62</sup>, M. Orlandea<sup>28</sup>,  
 J.M. Otalora Goicochea<sup>2</sup>, P. Owen<sup>52</sup>, A. Oyanguren<sup>35</sup>, B.K. Pal<sup>58</sup>, A. Palano<sup>13,c</sup>, M. Palutan<sup>18</sup>,  
 J. Panman<sup>37</sup>, A. Papanestis<sup>48,37</sup>, M. Pappagallo<sup>50</sup>, L. Pappalardo<sup>16</sup>, C. Parkes<sup>53</sup>,  
 C.J. Parkinson<sup>9</sup>, G. Passaleva<sup>17</sup>, G.D. Patel<sup>51</sup>, M. Patel<sup>52</sup>, C. Patrignani<sup>19,j</sup>,  
 C. Pavel-Nicorescu<sup>28</sup>, A. Pazos Alvarez<sup>36</sup>, A. Pearce<sup>53</sup>, A. Pellegrino<sup>40</sup>, G. Penso<sup>24,m</sup>,  
 M. Pepe Altarelli<sup>37</sup>, S. Perazzini<sup>14,d</sup>, E. Perez Trigo<sup>36</sup>, P. Perret<sup>5</sup>, M. Perrin-Terrin<sup>6</sup>,  
 L. Pescatore<sup>44</sup>, E. Pesen<sup>63</sup>, G. Pessina<sup>20</sup>, K. Petridis<sup>52</sup>, A. Petrolini<sup>19,j</sup>, E. Picatoste Olloqui<sup>35</sup>,  
 B. Pietrzyk<sup>4</sup>, T. Pilař<sup>47</sup>, D. Pinci<sup>24</sup>, A. Pistone<sup>19</sup>, S. Playfer<sup>49</sup>, M. Plo Casasus<sup>36</sup>, F. Polci<sup>8</sup>,  
 G. Polok<sup>25</sup>, A. Poluektov<sup>47,33</sup>, E. Polycarpo<sup>2</sup>, A. Popov<sup>34</sup>, D. Popov<sup>10</sup>, B. Popovici<sup>28</sup>,  
 C. Potterat<sup>35</sup>, A. Powell<sup>54</sup>, J. Prisciandaro<sup>38</sup>, A. Pritchard<sup>51</sup>, C. Prouve<sup>45</sup>, V. Pugatch<sup>43</sup>,  
 A. Puig Navarro<sup>38</sup>, G. Punzi<sup>22,s</sup>, W. Qian<sup>4</sup>, B. Rachwal<sup>25</sup>, J.H. Rademacker<sup>45</sup>,  
 B. Rakotomiaramanana<sup>38</sup>, M. Rama<sup>18</sup>, M.S. Rangel<sup>2</sup>, I. Raniuk<sup>42</sup>, N. Rauschmayr<sup>37</sup>,  
 G. Raven<sup>41</sup>, S. Redford<sup>54</sup>, S. Reichert<sup>53</sup>, M.M. Reid<sup>47</sup>, A.C. dos Reis<sup>1</sup>, S. Ricciardi<sup>48</sup>,  
 A. Richards<sup>52</sup>, K. Rinnert<sup>51</sup>, V. Rives Molina<sup>35</sup>, D.A. Roa Romero<sup>5</sup>, P. Robbe<sup>7</sup>, D.A. Roberts<sup>57</sup>,  
 A.B. Rodrigues<sup>1</sup>, E. Rodrigues<sup>53</sup>, P. Rodriguez Perez<sup>36</sup>, S. Roiser<sup>37</sup>, V. Romanovsky<sup>34</sup>,  
 A. Romero Vidal<sup>36</sup>, M. Rotondo<sup>21</sup>, J. Rouvinet<sup>38</sup>, T. Ruf<sup>37</sup>, F. Ruffini<sup>22</sup>, H. Ruiz<sup>35</sup>,  
 P. Ruiz Valls<sup>35</sup>, G. Sabatino<sup>24,l</sup>, J.J. Saborido Silva<sup>36</sup>, N. Sagidova<sup>29</sup>, P. Sail<sup>50</sup>, B. Saitta<sup>15,e</sup>,  
 V. Salustino Guimaraes<sup>2</sup>, B. Sanmartin Sedes<sup>36</sup>, R. Santacesaria<sup>24</sup>, C. Santamarina Rios<sup>36</sup>,  
 E. Santovetti<sup>23,l</sup>, M. Sapunov<sup>6</sup>, A. Sarti<sup>18</sup>, C. Satriano<sup>24,n</sup>, A. Satta<sup>23</sup>, M. Savrie<sup>16,f</sup>,  
 D. Savrina<sup>30,31</sup>, M. Schiller<sup>41</sup>, H. Schindler<sup>37</sup>, M. Schlupp<sup>9</sup>, M. Schmelling<sup>10</sup>, B. Schmidt<sup>37</sup>,  
 O. Schneider<sup>38</sup>, A. Schopper<sup>37</sup>, M.-H. Schune<sup>7</sup>, R. Schwemmer<sup>37</sup>, B. Sciascia<sup>18</sup>, A. Sciubba<sup>24</sup>,

M. Seco<sup>36</sup>, A. Semennikov<sup>30</sup>, K. Senderowska<sup>26</sup>, I. Sepp<sup>52</sup>, N. Serra<sup>39</sup>, J. Serrano<sup>6</sup>, P. Seyfert<sup>11</sup>, M. Shapkin<sup>34</sup>, I. Shapoval<sup>16,42,f</sup>, Y. Shcheglov<sup>29</sup>, T. Shears<sup>51</sup>, L. Shekhtman<sup>33</sup>, O. Shevchenko<sup>42</sup>, V. Shevchenko<sup>61</sup>, A. Shires<sup>9</sup>, R. Silva Coutinho<sup>47</sup>, G. Simi<sup>21</sup>, M. Sirendi<sup>46</sup>, N. Skidmore<sup>45</sup>, T. Skwarnicki<sup>58</sup>, N.A. Smith<sup>51</sup>, E. Smith<sup>54,48</sup>, E. Smith<sup>52</sup>, J. Smith<sup>46</sup>, M. Smith<sup>53</sup>, H. Snoek<sup>40</sup>, M.D. Sokoloff<sup>56</sup>, F.J.P. Soler<sup>50</sup>, F. Soomro<sup>38</sup>, D. Souza<sup>45</sup>, B. Souza De Paula<sup>2</sup>, B. Spaan<sup>9</sup>, A. Sparkes<sup>49</sup>, F. Spinella<sup>22</sup>, P. Spradlin<sup>50</sup>, F. Stagni<sup>37</sup>, S. Stahl<sup>11</sup>, O. Steinkamp<sup>39</sup>, S. Stevenson<sup>54</sup>, S. Stoica<sup>28</sup>, S. Stone<sup>58</sup>, B. Storaci<sup>39</sup>, S. Stracka<sup>22,37</sup>, M. Stratificiuc<sup>28</sup>, U. Straumann<sup>39</sup>, R. Stroili<sup>21</sup>, V.K. Subbiah<sup>37</sup>, L. Sun<sup>56</sup>, W. Sutcliffe<sup>52</sup>, S. Swientek<sup>9</sup>, V. Syropoulos<sup>41</sup>, M. Szczekowski<sup>27</sup>, P. Szczypka<sup>38,37</sup>, D. Szilard<sup>2</sup>, T. Szumlak<sup>26</sup>, S. T'Jampens<sup>4</sup>, M. Teklishyn<sup>7</sup>, G. Tellarini<sup>16,f</sup>, E. Teodorescu<sup>28</sup>, F. Teubert<sup>37</sup>, C. Thomas<sup>54</sup>, E. Thomas<sup>37</sup>, J. van Tilburg<sup>11</sup>, V. Tisserand<sup>4</sup>, M. Tobin<sup>38</sup>, S. Tolk<sup>41</sup>, L. Tomassetti<sup>16,f</sup>, D. Tonelli<sup>37</sup>, S. Topp-Joergensen<sup>54</sup>, N. Torr<sup>54</sup>, E. Tournefier<sup>4,52</sup>, S. Tourneur<sup>38</sup>, M.T. Tran<sup>38</sup>, M. Tresch<sup>39</sup>, A. Tsaregorodtsev<sup>6</sup>, P. Tsopelas<sup>40</sup>, N. Tuning<sup>40</sup>, M. Ubeda Garcia<sup>37</sup>, A. Ukleja<sup>27</sup>, A. Ustyuzhanin<sup>61</sup>, U. Uwer<sup>11</sup>, V. Vagnoni<sup>14</sup>, G. Valenti<sup>14</sup>, A. Vallier<sup>7</sup>, R. Vazquez Gomez<sup>18</sup>, P. Vazquez Regueiro<sup>36</sup>, C. Vázquez Sierra<sup>36</sup>, S. Vecchi<sup>16</sup>, J.J. Velthuis<sup>45</sup>, M. Veltri<sup>17,h</sup>, G. Veneziano<sup>38</sup>, M. Vesterinen<sup>11</sup>, B. Viaud<sup>7</sup>, D. Vieira<sup>2</sup>, X. Vilasis-Cardona<sup>35,p</sup>, A. Vollhardt<sup>39</sup>, D. Volyansky<sup>10</sup>, D. Voong<sup>45</sup>, A. Vorobyev<sup>29</sup>, V. Vorobyev<sup>33</sup>, C. Voß<sup>60</sup>, H. Voss<sup>10</sup>, J.A. de Vries<sup>40</sup>, R. Waldi<sup>60</sup>, C. Wallace<sup>47</sup>, R. Wallace<sup>12</sup>, S. Wandernoth<sup>11</sup>, J. Wang<sup>58</sup>, D.R. Ward<sup>46</sup>, N.K. Watson<sup>44</sup>, A.D. Webber<sup>53</sup>, D. Websdale<sup>52</sup>, M. Whitehead<sup>47</sup>, J. Wicht<sup>37</sup>, J. Wiechczynski<sup>25</sup>, D. Wiedner<sup>11</sup>, L. Wiggers<sup>40</sup>, G. Wilkinson<sup>54</sup>, M.P. Williams<sup>47,48</sup>, M. Williams<sup>55</sup>, F.F. Wilson<sup>48</sup>, J. Wimberley<sup>57</sup>, J. Wishahi<sup>9</sup>, W. Wislicki<sup>27</sup>, M. Witek<sup>25</sup>, G. Wormser<sup>7</sup>, S.A. Wotton<sup>46</sup>, S. Wright<sup>46</sup>, S. Wu<sup>3</sup>, K. Wyllie<sup>37</sup>, Y. Xie<sup>49,37</sup>, Z. Xing<sup>58</sup>, Z. Yang<sup>3</sup>, X. Yuan<sup>3</sup>, O. Yushchenko<sup>34</sup>, M. Zangoli<sup>14</sup>, M. Zavertyaev<sup>10,b</sup>, F. Zhang<sup>3</sup>, L. Zhang<sup>58</sup>, W.C. Zhang<sup>12</sup>, Y. Zhang<sup>3</sup>, A. Zhelezov<sup>11</sup>, A. Zhokhov<sup>30</sup>, L. Zhong<sup>3</sup>, A. Zvyagin<sup>37</sup>.

<sup>1</sup>Centro Brasileiro de Pesquisas Físicas (CBPF), Rio de Janeiro, Brazil

<sup>2</sup>Universidade Federal do Rio de Janeiro (UFRJ), Rio de Janeiro, Brazil

<sup>3</sup>Center for High Energy Physics, Tsinghua University, Beijing, China

<sup>4</sup>LAPP, Université de Savoie, CNRS/IN2P3, Annecy-Le-Vieux, France

<sup>5</sup>Clermont Université, Université Blaise Pascal, CNRS/IN2P3, LPC, Clermont-Ferrand, France

<sup>6</sup>CPPM, Aix-Marseille Université, CNRS/IN2P3, Marseille, France

<sup>7</sup>LAL, Université Paris-Sud, CNRS/IN2P3, Orsay, France

<sup>8</sup>LPNHE, Université Pierre et Marie Curie, Université Paris Diderot, CNRS/IN2P3, Paris, France

<sup>9</sup>Fakultät Physik, Technische Universität Dortmund, Dortmund, Germany

<sup>10</sup>Max-Planck-Institut für Kernphysik (MPIK), Heidelberg, Germany

<sup>11</sup>Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany

<sup>12</sup>School of Physics, University College Dublin, Dublin, Ireland

<sup>13</sup>Sezione INFN di Bari, Bari, Italy

<sup>14</sup>Sezione INFN di Bologna, Bologna, Italy

<sup>15</sup>Sezione INFN di Cagliari, Cagliari, Italy

<sup>16</sup>Sezione INFN di Ferrara, Ferrara, Italy

<sup>17</sup>Sezione INFN di Firenze, Firenze, Italy

<sup>18</sup>Laboratori Nazionali dell'INFN di Frascati, Frascati, Italy

<sup>19</sup>Sezione INFN di Genova, Genova, Italy

<sup>20</sup>Sezione INFN di Milano Bicocca, Milano, Italy

<sup>21</sup>Sezione INFN di Padova, Padova, Italy

<sup>22</sup>Sezione INFN di Pisa, Pisa, Italy

<sup>23</sup>Sezione INFN di Roma Tor Vergata, Roma, Italy

<sup>24</sup>Sezione INFN di Roma La Sapienza, Roma, Italy

- <sup>25</sup>Henryk Niewodniczanski Institute of Nuclear Physics Polish Academy of Sciences, Kraków, Poland  
<sup>26</sup>AGH - University of Science and Technology, Faculty of Physics and Applied Computer Science, Kraków, Poland  
<sup>27</sup>National Center for Nuclear Research (NCBJ), Warsaw, Poland  
<sup>28</sup>Horia Hulubei National Institute of Physics and Nuclear Engineering, Bucharest-Magurele, Romania  
<sup>29</sup>Petersburg Nuclear Physics Institute (PNPI), Gatchina, Russia  
<sup>30</sup>Institute of Theoretical and Experimental Physics (ITEP), Moscow, Russia  
<sup>31</sup>Institute of Nuclear Physics, Moscow State University (SINP MSU), Moscow, Russia  
<sup>32</sup>Institute for Nuclear Research of the Russian Academy of Sciences (INR RAN), Moscow, Russia  
<sup>33</sup>Budker Institute of Nuclear Physics (SB RAS) and Novosibirsk State University, Novosibirsk, Russia  
<sup>34</sup>Institute for High Energy Physics (IHEP), Protvino, Russia  
<sup>35</sup>Universitat de Barcelona, Barcelona, Spain  
<sup>36</sup>Universidad de Santiago de Compostela, Santiago de Compostela, Spain  
<sup>37</sup>European Organization for Nuclear Research (CERN), Geneva, Switzerland  
<sup>38</sup>Ecole Polytechnique Fédérale de Lausanne (EPFL), Lausanne, Switzerland  
<sup>39</sup>Physik-Institut, Universität Zürich, Zürich, Switzerland  
<sup>40</sup>Nikhef National Institute for Subatomic Physics, Amsterdam, The Netherlands  
<sup>41</sup>Nikhef National Institute for Subatomic Physics and VU University Amsterdam, Amsterdam, The Netherlands  
<sup>42</sup>NSC Kharkiv Institute of Physics and Technology (NSC KIPT), Kharkiv, Ukraine  
<sup>43</sup>Institute for Nuclear Research of the National Academy of Sciences (KINR), Kyiv, Ukraine  
<sup>44</sup>University of Birmingham, Birmingham, United Kingdom  
<sup>45</sup>H.H. Wills Physics Laboratory, University of Bristol, Bristol, United Kingdom  
<sup>46</sup>Cavendish Laboratory, University of Cambridge, Cambridge, United Kingdom  
<sup>47</sup>Department of Physics, University of Warwick, Coventry, United Kingdom  
<sup>48</sup>STFC Rutherford Appleton Laboratory, Didcot, United Kingdom  
<sup>49</sup>School of Physics and Astronomy, University of Edinburgh, Edinburgh, United Kingdom  
<sup>50</sup>School of Physics and Astronomy, University of Glasgow, Glasgow, United Kingdom  
<sup>51</sup>Oliver Lodge Laboratory, University of Liverpool, Liverpool, United Kingdom  
<sup>52</sup>Imperial College London, London, United Kingdom  
<sup>53</sup>School of Physics and Astronomy, University of Manchester, Manchester, United Kingdom  
<sup>54</sup>Department of Physics, University of Oxford, Oxford, United Kingdom  
<sup>55</sup>Massachusetts Institute of Technology, Cambridge, MA, United States  
<sup>56</sup>University of Cincinnati, Cincinnati, OH, United States  
<sup>57</sup>University of Maryland, College Park, MD, United States  
<sup>58</sup>Syracuse University, Syracuse, NY, United States  
<sup>59</sup>Pontifícia Universidade Católica do Rio de Janeiro (PUC-Rio), Rio de Janeiro, Brazil, associated to <sup>2</sup>  
<sup>60</sup>Institut für Physik, Universität Rostock, Rostock, Germany, associated to <sup>11</sup>  
<sup>61</sup>National Research Centre Kurchatov Institute, Moscow, Russia, associated to <sup>30</sup>  
<sup>62</sup>KVI - University of Groningen, Groningen, The Netherlands, associated to <sup>40</sup>  
<sup>63</sup>Celal Bayar University, Manisa, Turkey, associated to <sup>37</sup>
- <sup>a</sup>Universidade Federal do Triângulo Mineiro (UFTM), Uberaba-MG, Brazil  
<sup>b</sup>P.N. Lebedev Physical Institute, Russian Academy of Science (LPI RAS), Moscow, Russia  
<sup>c</sup>Università di Bari, Bari, Italy  
<sup>d</sup>Università di Bologna, Bologna, Italy  
<sup>e</sup>Università di Cagliari, Cagliari, Italy  
<sup>f</sup>Università di Ferrara, Ferrara, Italy  
<sup>g</sup>Università di Firenze, Firenze, Italy  
<sup>h</sup>Università di Urbino, Urbino, Italy  
<sup>i</sup>Università di Modena e Reggio Emilia, Modena, Italy  
<sup>j</sup>Università di Genova, Genova, Italy

<sup>k</sup> Università di Milano Bicocca, Milano, Italy

<sup>l</sup> Università di Roma Tor Vergata, Roma, Italy

<sup>m</sup> Università di Roma La Sapienza, Roma, Italy

<sup>n</sup> Università della Basilicata, Potenza, Italy

<sup>o</sup> AGH - University of Science and Technology, Faculty of Computer Science, Electronics and Telecommunications, Kraków, Poland

<sup>p</sup> LIFAELS, La Salle, Universitat Ramon Llull, Barcelona, Spain

<sup>q</sup> Hanoi University of Science, Hanoi, Viet Nam

<sup>r</sup> Università di Padova, Padova, Italy

<sup>s</sup> Università di Pisa, Pisa, Italy

<sup>t</sup> Scuola Normale Superiore, Pisa, Italy

Neutrinos can either be their own anti-particles, in which case they are called “Majorana” particles [1], or Dirac fermions. Heavy Majorana neutrinos can be sought in heavy flavor decays and couplings to a single fourth neutrino generation can be determined, or limits imposed, as in previous measurements [2, 3]. The lepton number violating process  $B^- \rightarrow \pi^+ \mu^- \mu^-$ , shown in Fig. 1, is forbidden in the Standard Model, but can proceed via the production of on-shell Majorana neutrinos. It is one of the most sensitive ways of looking for these particles in  $B$  meson decays, and has been modeled by Atre *et al.* [4]. Note that it is possible for virtual Majorana neutrinos of any mass to contribute to this decay. We investigate the  $B^- \rightarrow \pi^+ \mu^- \mu^-$  decay using  $3 \text{ fb}^{-1}$  of data acquired by the LHCb experiment in  $pp$  collisions. (In this Letter mention of a particular decay implies the use of the charge-conjugate decay as well.) One third of the data was recorded at 7 TeV center-of-mass energy, and the remainder at 8 TeV.

The search strategy is based on our previous analysis [2], but extends the sensitivity to neutrino lifetimes,  $\tau_N$ , from the picosecond range up to about 1000 ps. The selection is aimed at maximizing the efficiency squared divided by the background yield. For lifetimes  $\gtrsim 1 \text{ ps}$ , the  $\pi^+ \mu^-$  decay products can appear as significantly detached from the  $B^-$  decay vertex. Therefore, we use two distinct strategies, one for short  $\tau_N$  ( $\mathcal{S}$ ) and another for  $\tau_N$  up to 1000 ps ( $\mathcal{L}$ ).

The LHCb detector is a single-arm forward spectrometer covering the pseudorapidity range  $2 < \eta < 5$ , designed for the study of particles containing  $b$  or  $c$  quarks [5]. The detector includes a high precision tracking system consisting of a silicon-strip vertex detector surrounding the  $pp$  interaction region (VELO), a large-area silicon-strip detector located upstream of a dipole magnet with a bending power of about 4 Tm, and three stations of silicon-strip detectors and straw drift tubes placed downstream. The combined tracking system provides a momentum ( $p$ ) measurement with relative uncertainty that varies from 0.4% at 5 GeV to 0.6% at 100 GeV. (We use natural units where  $c=1$ .) The impact parameter (IP) is defined as the minimum track distance with respect to the primary vertex (PV). For tracks with large transverse momentum ( $p_T$ ) with respect to the proton beam direction, the IP resolution is approximately 20  $\mu\text{m}$ . Charged hadrons are identified using two ring-imaging Cherenkov (RICH) detectors. Photon, electron and hadron candidates are identified by a calorimeter system consisting of scintillating-pad and pre-shower detectors, an electromagnetic calorimeter and a hadronic calorimeter. Muons are identified by a system composed of alternating layers of iron and multiwire proportional chambers.

The LHCb trigger [6] consists of a hardware stage, based on information from the calorimeter and muon systems, followed by a software stage that performs full event reconstruction. The hardware trigger selects either a single muon candidate with  $p_T > 1.64 \text{ GeV}$ , or two muons with the product of their  $p_T$  values being greater than  $1.69 \text{ GeV}^2$ . In the subsequent software stage a muon candidate must form a vertex with one or two additional tracks that are detached from the PV. The trigger efficiency decreases for large lifetime Majorana neutrinos. Simulations are performed using PYTHIA [7] with the specific tuning given in Ref. [8], and the LHCb detector description based on GEANT4 [9] described in Ref. [10]. Decays of  $b$  hadrons are based on EVTGEN [11]. Simulation of

$B^- \rightarrow \pi^+ \mu^- \mu^-$  is carried out in two steps, the first being the two body decay  $B^- \rightarrow N \mu^-$ , where  $N$  is a putative Majorana neutrino, and the second  $N \rightarrow \pi^+ \mu^-$ .

In both categories  $\mathcal{S}$  and  $\mathcal{L}$ , only tracks that start in the VELO are used. We require muon candidates to have  $p > 3$  GeV and  $p_T > 0.75$  GeV, as muon detection provides fewer fakes above these values. The hadron must have  $p > 2$  GeV and  $p_T > 1.1$  GeV, in order to be tracked well. Muon candidate tracks are required to have hits in the muon chambers. The same criteria apply for the channel we use for normalization purposes,  $B^- \rightarrow J/\psi K^-$  with  $J/\psi \rightarrow \mu^+ \mu^-$ . Pion and kaon candidates must be positively identified in the RICH systems. For the  $\mathcal{S}$  case and the normalization channel, candidate  $B^-$  combinations must form a common vertex with a  $\chi^2$  per number of degrees of freedom (ndf) less than 4. For the  $\mathcal{L}$  candidates we require that the  $\pi^+ \mu^-$  tracks form a neutrino candidate ( $N$ ) decay vertex with a  $\chi^2 < 10$ . A  $B^-$  candidate decay vertex is searched for by extrapolating the  $N$  trajectory back to a near approach with another  $\mu^-$  candidate, which must form a vertex with the other muon having a  $\chi^2 < 4$ . The distance between the  $\pi^+ \mu^-$  and the primary vertex divided by its uncertainty must be greater than 10. The  $p_T$  of the  $\pi^+ \mu^-$  pair must also exceed 700 MeV. For both  $\mathcal{S}$  and  $\mathcal{L}$  cases, we require that the cosine of the angle between the  $B^-$  candidate momentum vector and the line from the PV to the  $B^-$  vertex be greater than 0.99999. The two cases are not exclusive, with 16% of the event candidates appearing in both.

The mass spectra of the selected candidates are shown in Fig. 2. An extended unbinned likelihood fit is performed to the  $J/\psi K^-$  mass spectrum with a double-Crystal Ball function [12] plus a triple-Gaussian background to account for partially reconstructed  $B$  decays and a linear function for combinatoric background. We find  $282\,774 \pm 543$  signal events in the normalization channel. Backgrounds in the  $\pi^+ \mu^- \mu^-$  final state come from  $B$  decays to charmonium and combinatoric sources. Charmonium backgrounds are estimated using fully reconstructed  $J/\psi K^-(\pi^-)$  and  $\psi(2S)K^-(\pi^-)$  events and are indicated by shaded regions; they can peak at the  $B^-$  mass. No signal is observed in either the  $\mathcal{S}$  or  $\mathcal{L}$  samples.

We use the  $CL_s$  method to set upper limits [13], which requires the determination of the expected background yields and total number of events in the signal region. We define the signal region as the mass interval within  $\pm 2\sigma$  of the  $B^-$  mass where  $\sigma$  is

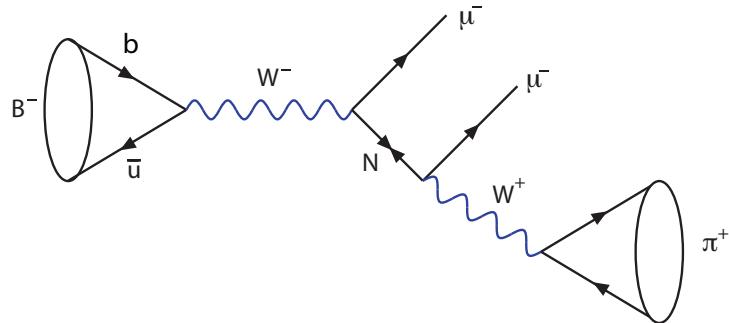


Figure 1: Feynman diagram for  $B^- \rightarrow \pi^+ \mu^- \mu^-$  decay via a Majorana neutrino labelled  $N$ .

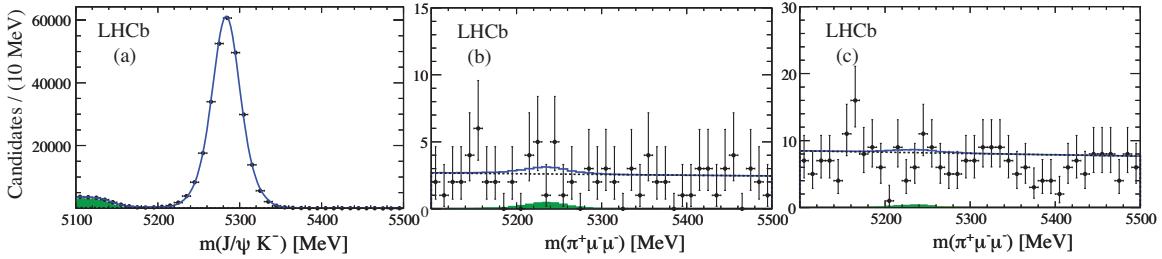


Figure 2: Invariant mass distributions with fits overlaid of candidate mass spectra for (a)  $J/\psi K^-$ , (b)  $\pi^+\mu^-\mu^-$  ( $\mathcal{S}$ ) , and (c)  $\pi^+\mu^-\mu^-$  ( $\mathcal{L}$ ). Backgrounds are (green) shaded; they peak under the signal in (b) and (c). The dotted lines show the combinatorial backgrounds only. The solid line shows the sum of both backgrounds.

the mass resolution, specifically  $5238.6 - 5319.8$  MeV. Peaking background shapes and normalizations are fixed from exclusive reconstructions in data. We fit the distributions outside of the  $B^-$  signal region with a sum of the peaking background tails, where both shape and normalization are fixed, and linear functions to account for the combinatorial backgrounds. The interpolated combinatoric background in each signal region is combined with the peaking background to determine the total background.

In the signal  $B$  mass range there are 19 events in the  $\mathcal{S}$  sample and 60 events in the  $\mathcal{L}$  sample. The  $\mathcal{S}$  and  $\mathcal{L}$  background fit yields are  $17.8 \pm 3.2$ , and  $54.5 \pm 5.4$ , respectively, in the same region.

The detection efficiency varies as a function of neutrino mass,  $m_N$ , and changes for the  $\mathcal{L}$  sample with  $\tau_N$ . To quote an upper limit on the branching fraction for the  $\mathcal{S}$  sample we take the average detection efficiency, as determined by simulation, with respect to the normalization mode of  $0.687 \pm 0.001$ . In computing the limit we include the uncertainties on background yields obtained from the fit to the  $m(\pi^+\mu^-\mu^-)$  distribution, and the systematic uncertainty described below. The normalization is obtained from the number of  $J/\psi K^-$  events and the known rate of  $\mathcal{B}(B^- \rightarrow J/\psi K^-, J/\psi \rightarrow \mu^+\mu^-) = (6.04 \pm 0.26) \times 10^{-5}$  [14,15]. We find

$$\mathcal{B}(B^- \rightarrow \pi^+\mu^-\mu^-) < 4.0 \times 10^{-9} \text{ at 95\% confidence level (C.L.)}$$

This limit is applicable for  $\tau_N \lesssim 1$  ps. The total systematic uncertainty is 6.6%. The largest source is  $\mathcal{B}(B^- \rightarrow J/\psi K^-)$  (4.2%), followed by modeling of the efficiency ratio (3.5%) and backgrounds (3.5%), relative particle identification efficiencies (0.5%), tracking efficiency differences for kaons versus pions (0.5%), and yield of the normalization channel (0.4%).

We also search for signals as a function of  $m_N$ . The  $\pi^+\mu^-$  mass spectra are shown in Fig. 3 for both  $\mathcal{S}$  and  $\mathcal{L}$  selections, requiring that the  $\pi^+\mu^-\mu^-$  mass be restricted to the  $B^-$  signal range. There is an obvious peak around 3100 MeV from misidentified  $J/\psi K^-$  (or  $\pi^-$ ) events. The  $\pi^+\mu^-$  mass spectra are fitted with a function derived from fitting the upper  $B^-$  sideband regions, from  $5319.8 - 5400.0$  MeV, for the combinatoric background, and peaking background components obtained from simulation.

As there is no evidence for a signal, upper limits are set by scanning across the  $m_N$

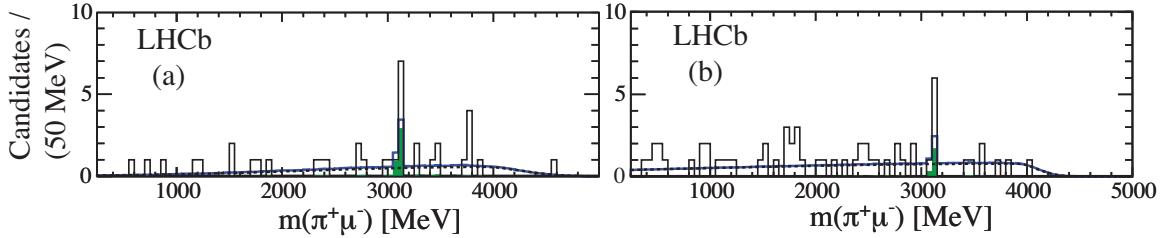


Figure 3: Invariant  $\pi^+\mu^-$  mass distribution for  $\pi^+\mu^-\mu^-$  candidates with masses restricted to  $\pm 2\sigma$  of  $B^-$  mass for the (a)  $\mathcal{S}$  and (b)  $\mathcal{L}$  selections. The shaded regions indicate the estimated peaking backgrounds. Backgrounds that peak under the signal in (a) and (b) are (green) shaded. The dotted lines show the combinatorial backgrounds only. The solid line the sum of both backgrounds. (In (a) there are two combinations per event.)

spectrum. At every 5 MeV step beginning at 250 MeV and ending at 5000 MeV we define a  $\pm 3\sigma$  search region, where  $\sigma$  ranges from approximately 3 MeV at low mass to 24 MeV at high mass. The mass resolution is determined from fitting signals in other LHCb data [2]. The fitted background is then subtracted from the event yields in each interval. The upper limit at 95% C.L. of  $\mathcal{B}(B^- \rightarrow \pi^+\mu^-\mu^-)$  at each mass value is computed using the  $CL_s$  method. The simulated efficiency ratio to the normalization mode averages about 0.8 up to 4000 MeV, and then approaching the phase space boundary, sharply decreases to 0.2 at 5000 MeV. The results of this scan are shown in Fig. 4.

The efficiency is highest for  $\tau_N$  of a few ps, and decreases rapidly until about 200 ps when it levels off until about 1000 ps, beyond which it slowly vanishes as most of the decays occur outside of the vertex detector. For  $\mathcal{L}$  candidates, we set upper limits as a function of both  $m_N$  and lifetime by performing the same scan in mass as before, but applying efficiencies appropriate for individual lifetime values between 1 and 1000 ps. The number of background events is extracted from the sum of combinatorial and peaking backgrounds in the fit to the  $m(\pi^+\mu^-)$  distribution in the same manner as for the  $\mathcal{S}$  sample. The estimated signal yield is the difference between the total number of events

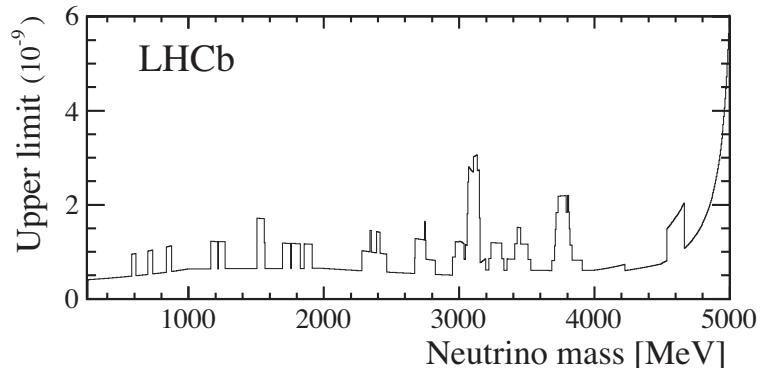


Figure 4: Upper limit on  $\mathcal{B}(B^- \rightarrow \pi^+\mu^-\mu^-)$  at 95% C.L. as a function of  $m_N$  in 5 MeV intervals for  $\mathcal{S}$  selected events.

computed by counting the number in the interval and the fitted background yield. We take the  $\tau_N$  dependence into account by using different efficiencies for each lifetime step. The two-dimensional plot of the upper limit on  $\mathcal{B}(B^- \rightarrow \pi^+ \mu^- \mu^-)$ , computed using the CL<sub>s</sub> method, is shown in Fig. 5.

Model dependent upper limits on the coupling of a single fourth-generation Majorana neutrino to muons,  $|V_{\mu 4}|$ , for each value of  $m_N$  are extracted using the formula from Atre *et al.* [4]

$$\mathcal{B}(B^- \rightarrow \pi^+ \mu^- \mu^-) = \frac{G_F^4 f_B^2 f_\pi^2 m_B^5}{128\pi^2 \hbar} |V_{ub} V_{ud}|^2 \tau_B \left(1 - \frac{m_N^2}{m_B^2}\right) \frac{m_N}{\Gamma_N} |V_{\mu 4}|^4, \quad (1)$$

with  $G_F = 1.166377 \times 10^{-5}$  GeV<sup>-2</sup>,  $f_B = 0.19$  GeV,  $f_\pi = 0.131$  GeV,  $|V_{ub}| = 0.004$ ,  $|V_{ud}| = 0.9738$ ,  $m_B = 5.279$  GeV,  $\tau_B = 1.671$  ps, and  $\hbar = 6.582 \times 10^{-25}$  GeV s [15]. The total neutrino decay width,  $\Gamma_N$ , is a function of  $m_N$  and proportional to  $|V_{\mu 4}|^2$ . In order to set limits on  $|V_{\mu 4}|^2$  a model for  $\Gamma_N$  is required. The purely leptonic modes are specified in Ref. [4]. For the hadronic modes we use the fraction of times the charged current manifests itself as a single charged pion in  $\tau^-$  and  $B^-$  decays, giving an additional  $m_N^3$  dependent factor in  $\Gamma_N$ . The total width for Majorana neutrino decay then is

$$\Gamma_N = [3.95m_N^3 + 2.00m_N^5(1.44m_N^3 + 1.14)] 10^{-13} |V_{\mu 4}|^2, \quad (2)$$

where  $m_N$  and  $\Gamma_N$  are in units of GeV. The first term corresponds to fully leptonic three-body decays, while the second is for decays into one lepton and hadrons.

To obtain upper limits on  $|V_{\mu 4}|^2$  for each value of  $m_N$  we assume a value for  $|V_{\mu 4}|$ , and calculate  $\Gamma_N$ . This allows us to determine the  $\tau_N$  dependent detection efficiency. We then use Eq. (1) to find the branching fraction. The value of  $|V_{\mu 4}|$  is adjusted to match the

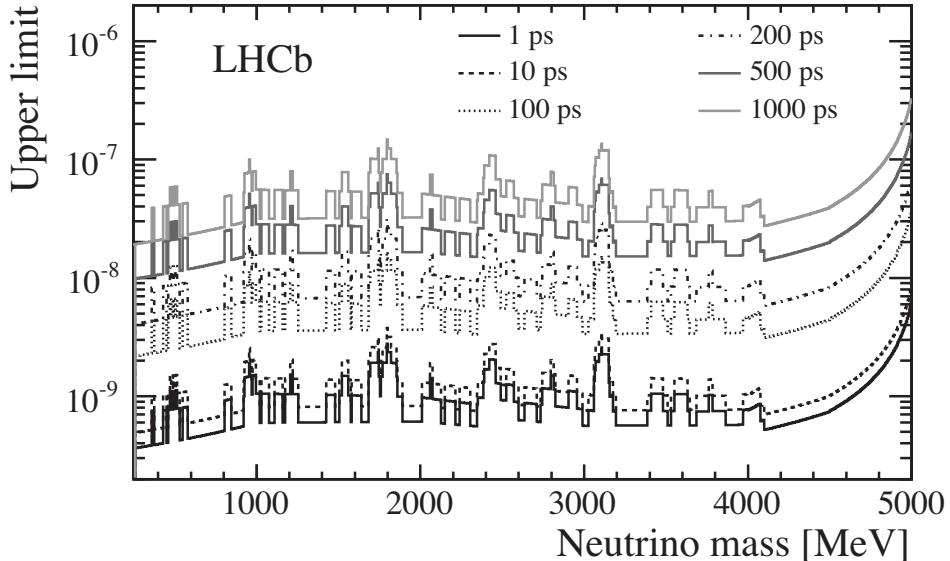


Figure 5: Upper limits on  $\mathcal{B}(B^- \rightarrow \pi^+ \mu^- \mu^-)$  at 95% C.L. as a function of  $m_N$ , in 5 MeV intervals, for specific values of  $\tau_N$ .

previously determined upper limit value (see Fig. 5). The resulting 95% C.L. limit on  $|V_{\mu 4}|^2$  is shown in Fig. 6 as a function of  $m_N$ . Limits have been derived by Atre *et al.* [4] for other experiments using different assumptions about the dependence of  $\Gamma_N$  with  $m_N$ , and thus cannot be directly compared. More searches exist for higher mass neutrinos [16]. The results presented here supersede previous LHCb results [2], significantly improve the limits on the  $B^- \rightarrow \pi^+ \mu^- \mu^-$  branching fraction and extend the lifetime range of the Majorana neutrino search from a few picoseconds to one nanosecond.

In conclusion, we have searched for on-shell Majorana neutrinos coupling to muons in the  $B^- \rightarrow \pi^+ \mu^- \mu^-$  decay channel as a function of  $m_N$  between 250 – 5000 MeV and for lifetimes up to  $\approx 1000$  ps. In the absence of a significant signal, we set upper limits on the  $B^- \rightarrow \pi^+ \mu^- \mu^-$  branching fraction and the coupling  $|V_{\mu 4}|^2$  as a function of the neutrino mass.

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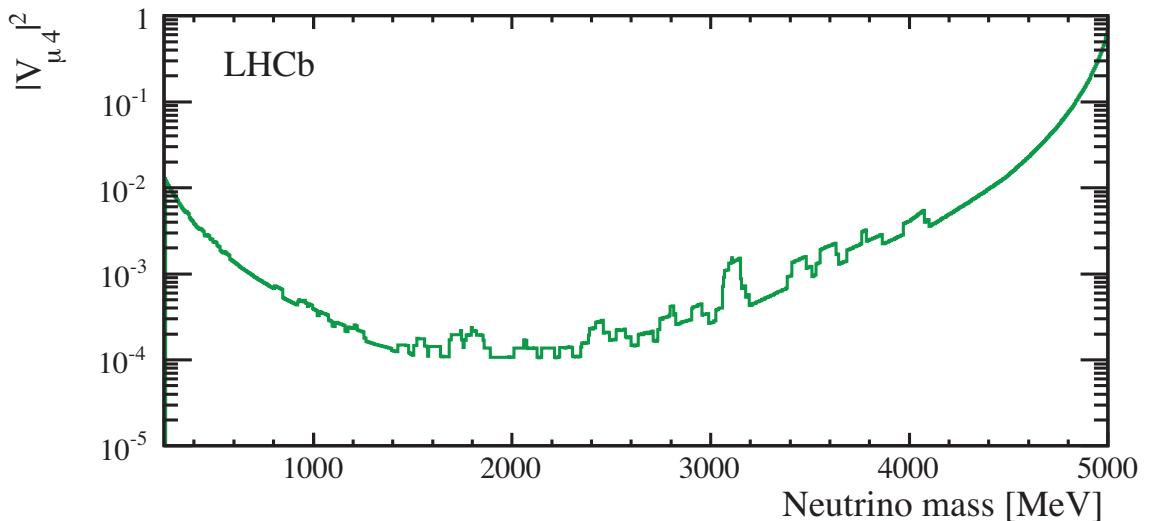


Figure 6: Upper limits at 95% C.L. on  $|V_{\mu 4}|^2$  are shown as a function of  $m_N$  for  $\mathcal{L}$  events.

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